

Universidad de Chile



# ***Role of protein misfolding in neurodegenerative disorders***

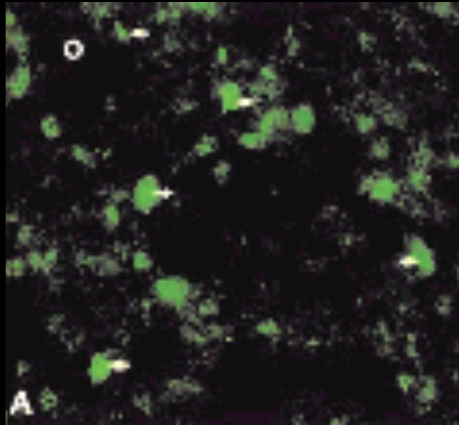
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Claudio Hetz, PhD

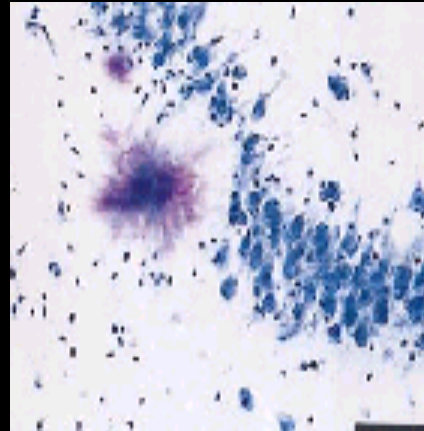
*Instituto de Neurociencia Biomédica, ICBM,  
Facultad de Medicina  
Universidad de Chile*

# Protein aggregates in neurodegenerative diseases

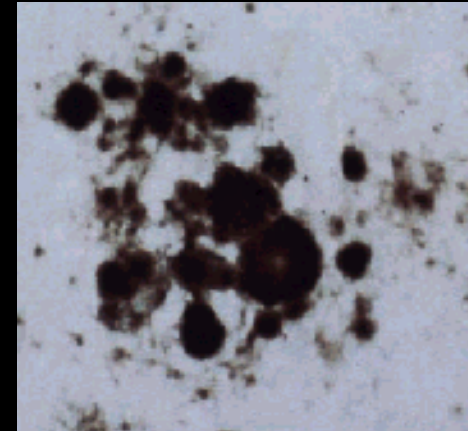
**Parkinson**



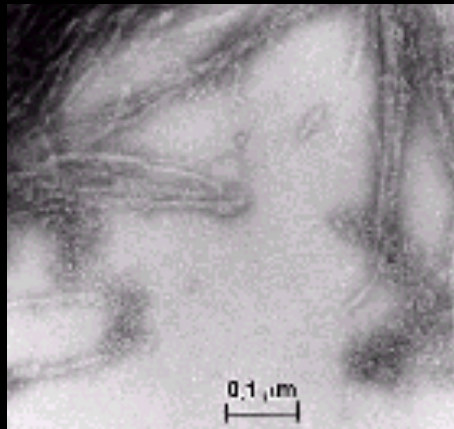
**Alzheimer**



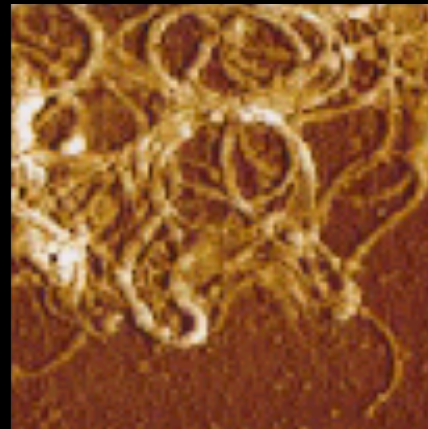
**Prion Disorders**



**$\alpha$ -synuclein**



**Amyloid  $\beta$**

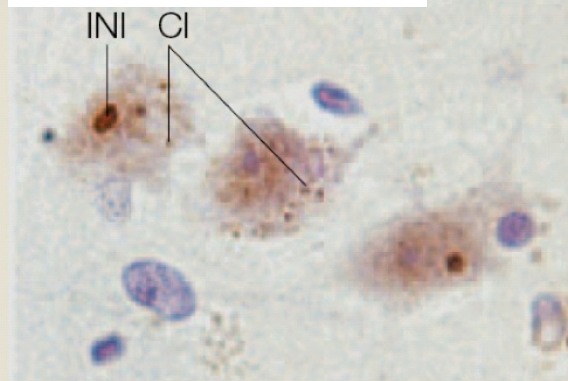


**PrP<sup>Sc</sup>**

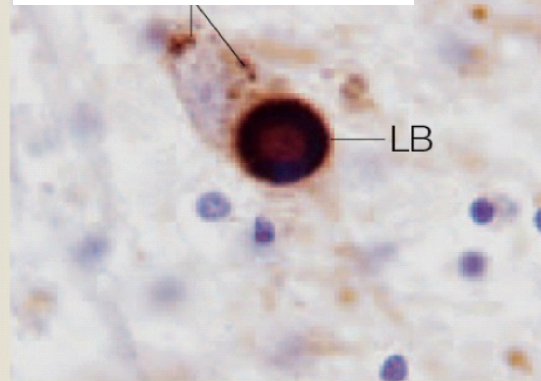


# Protein aggregates in neurodegenerative diseases

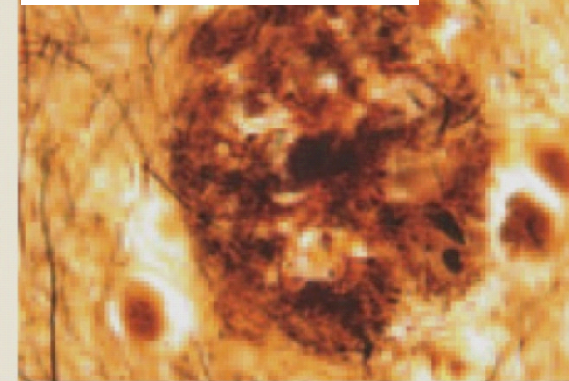
Huntington's Disease



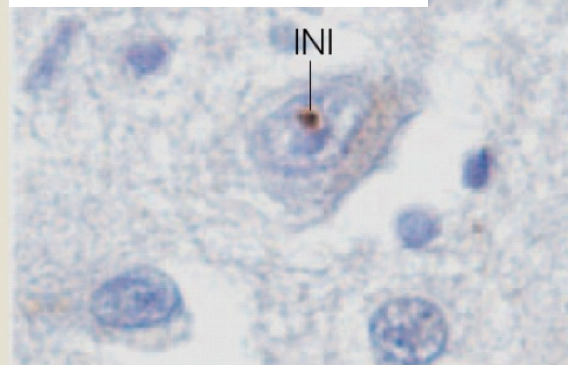
Parkinson's Disease



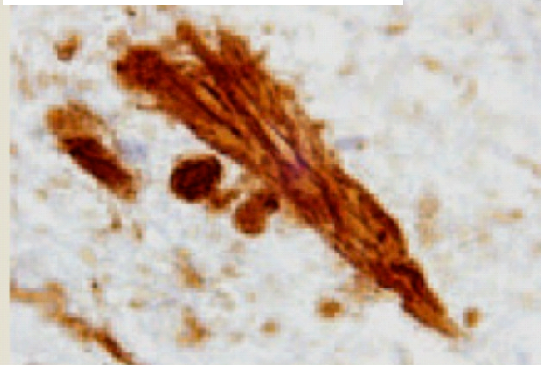
Alzheimer's Disease



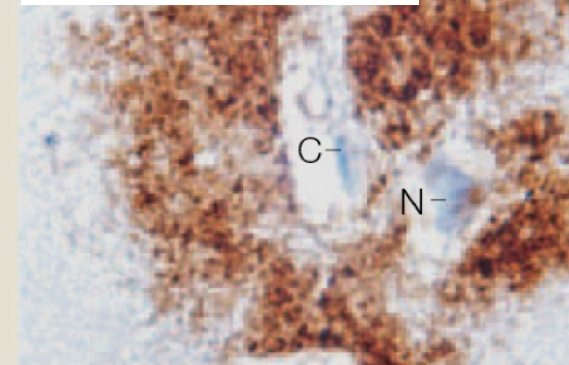
Huntington's Disease



Alzheimer's Disease

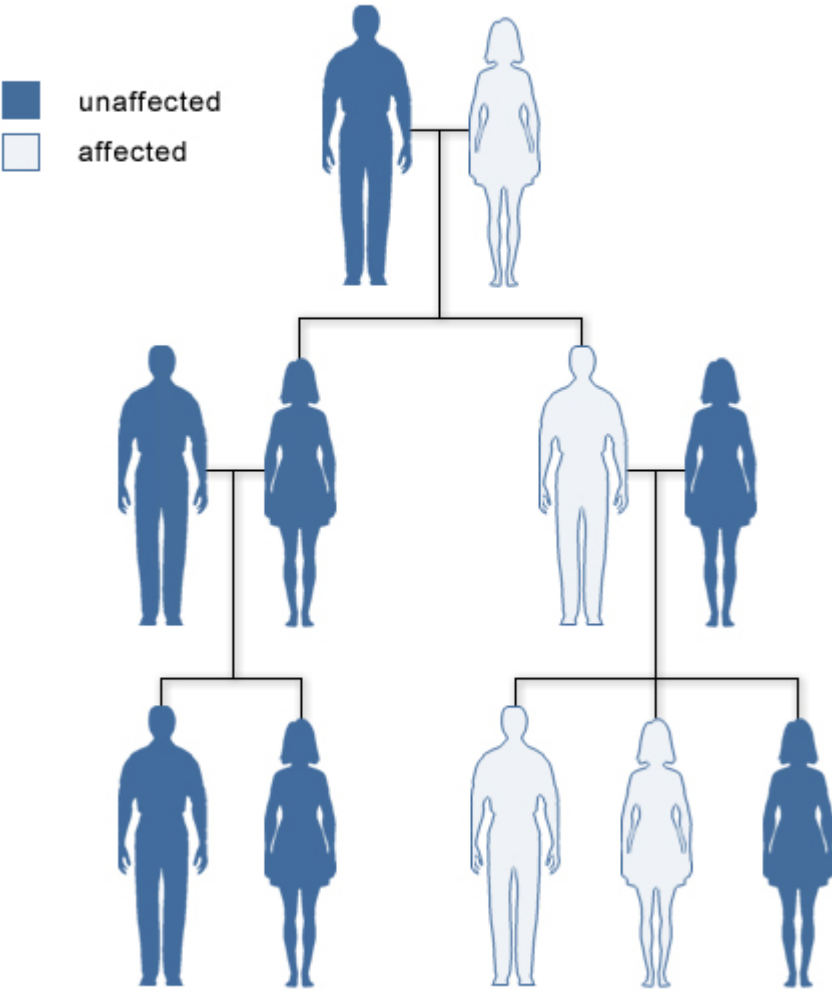


Alzheimer's Disease

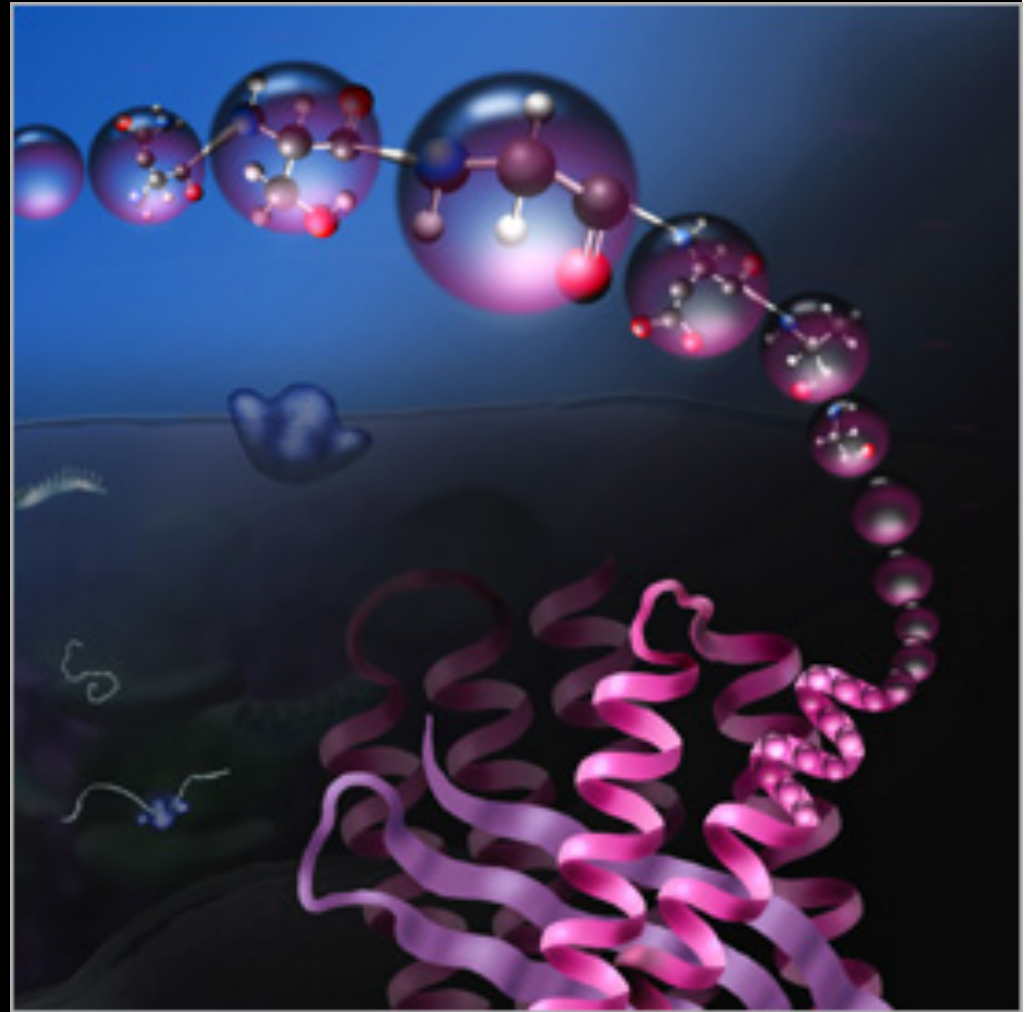


# Hereditary Diseases

Condition affecting members of a family

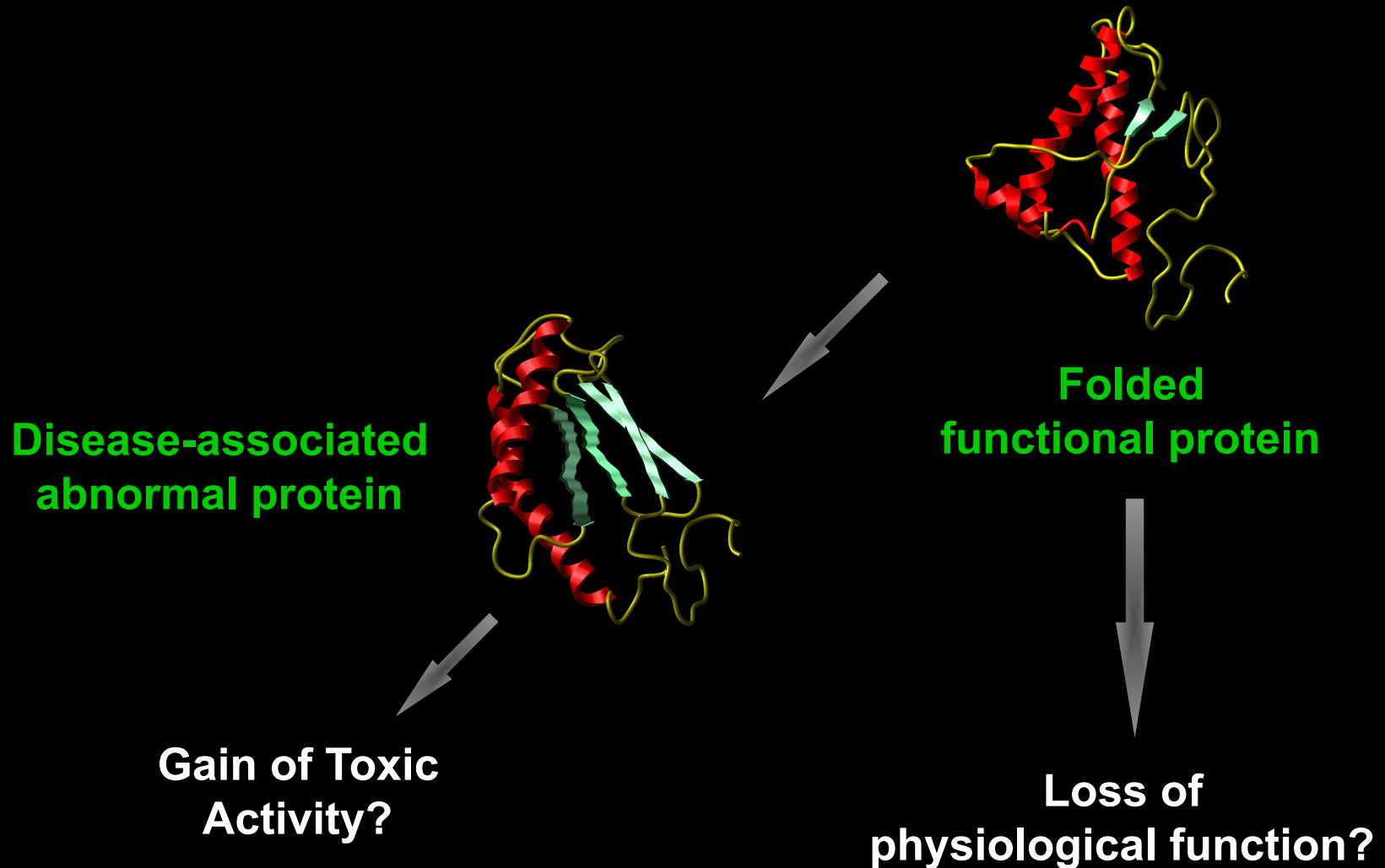


# Protein structure: from shape to function

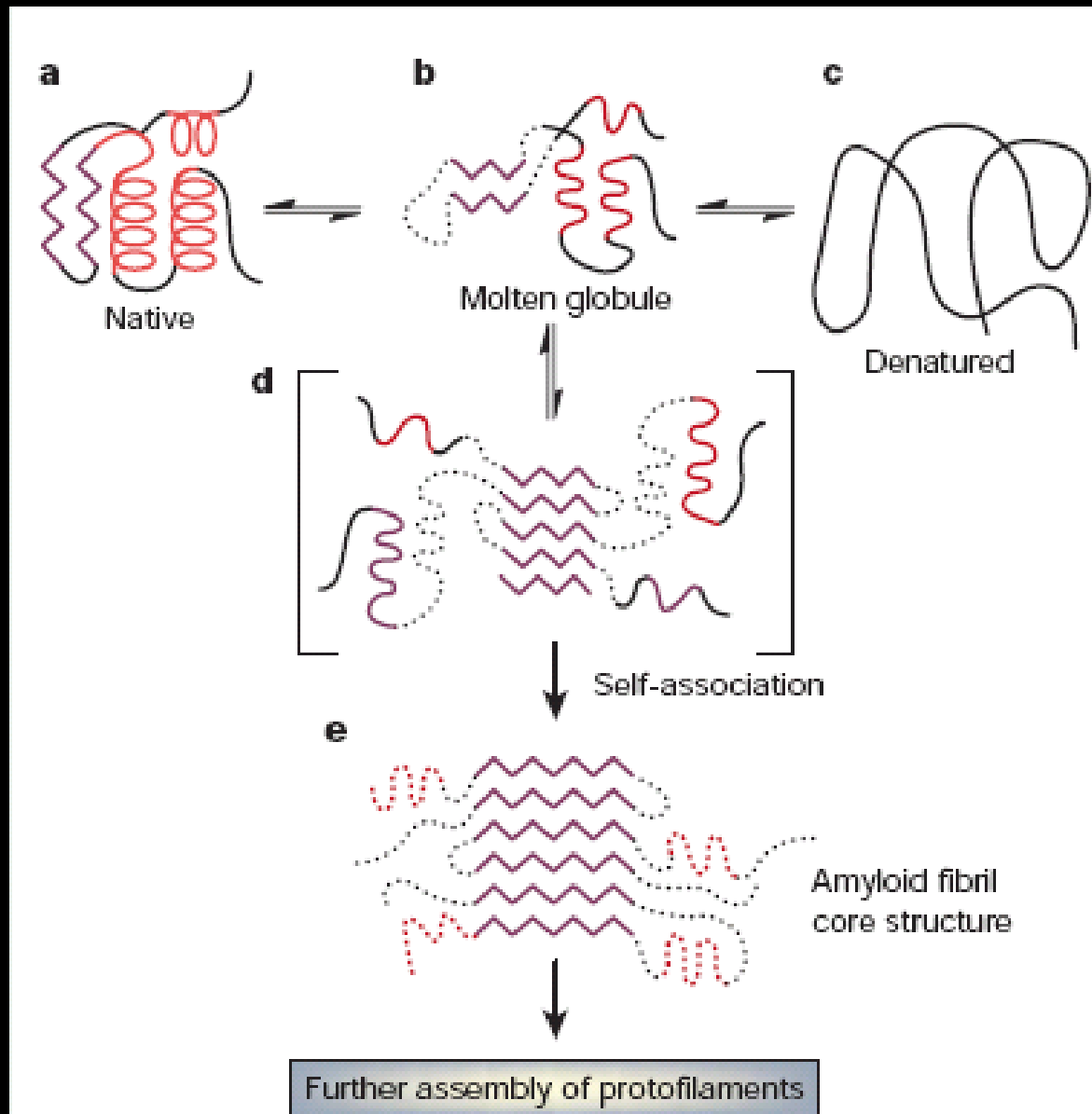


# New concept: Protein Conformational Disorders

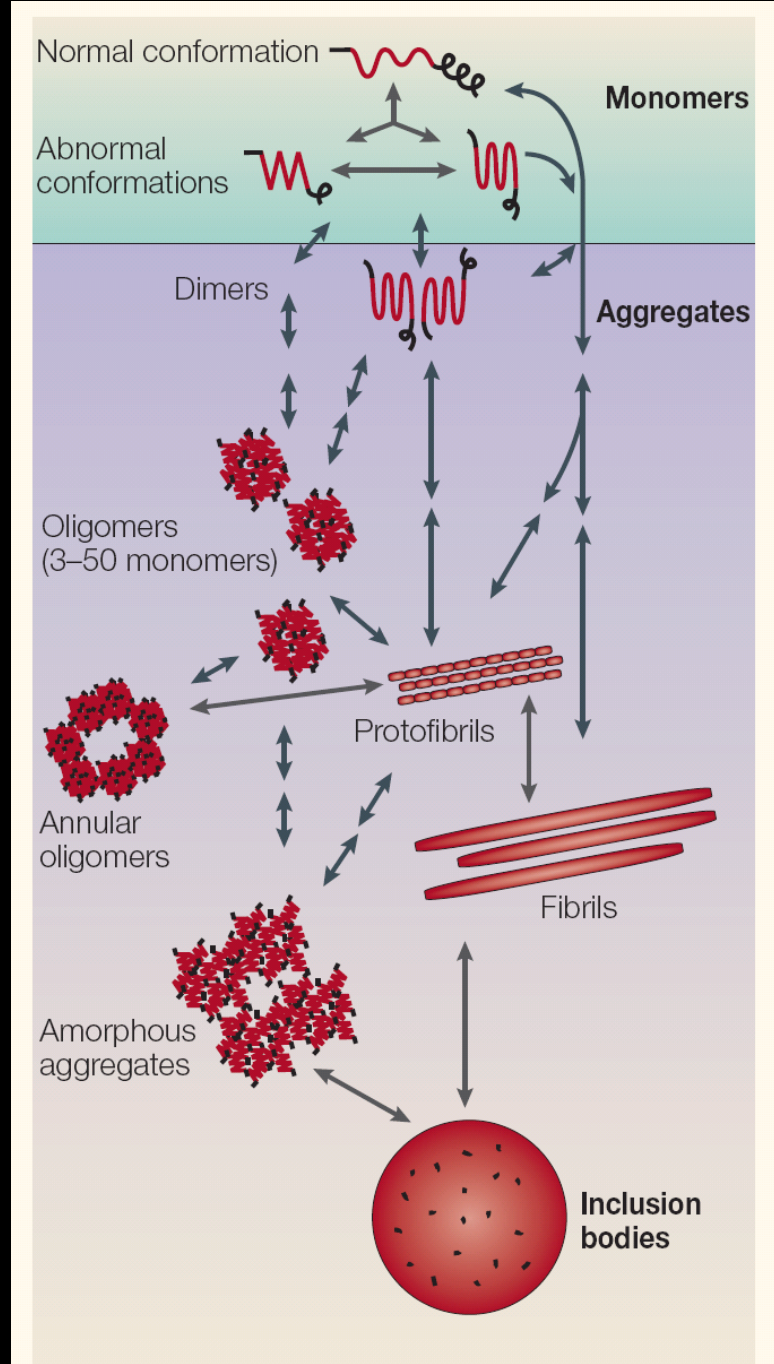
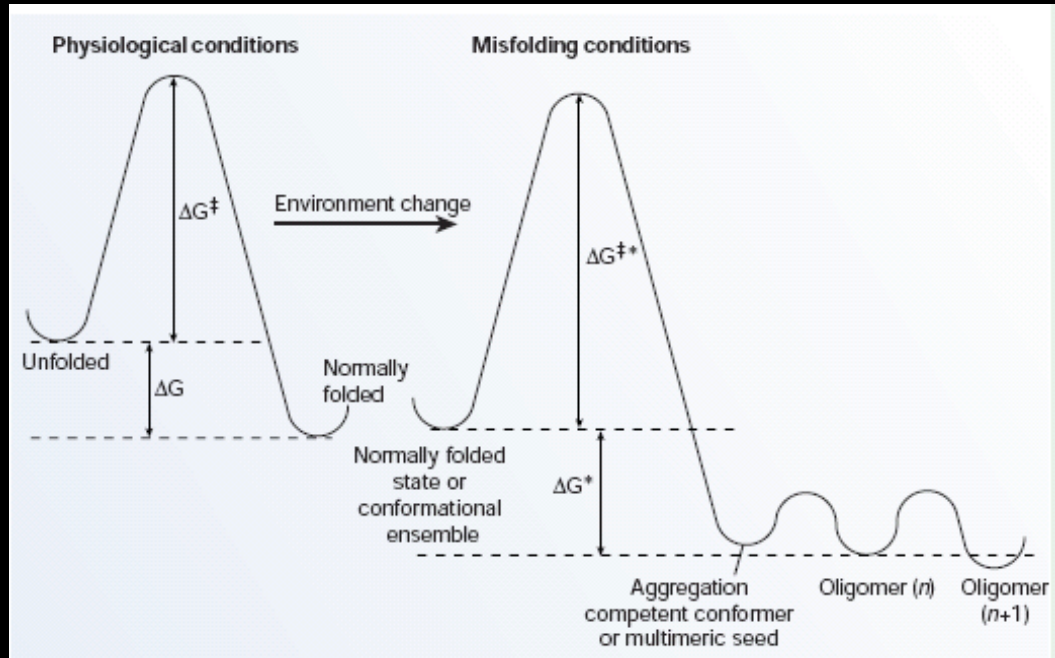
The hallmark event is the misfolding of a natural protein



# Protein Conformational Disorders



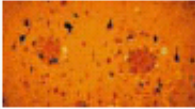
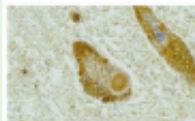
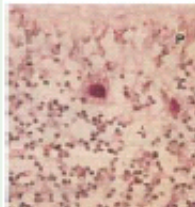
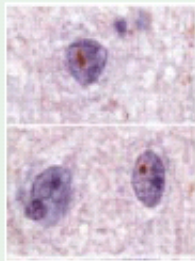
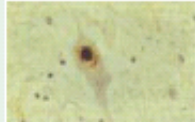
# Protein Conformational Disorders





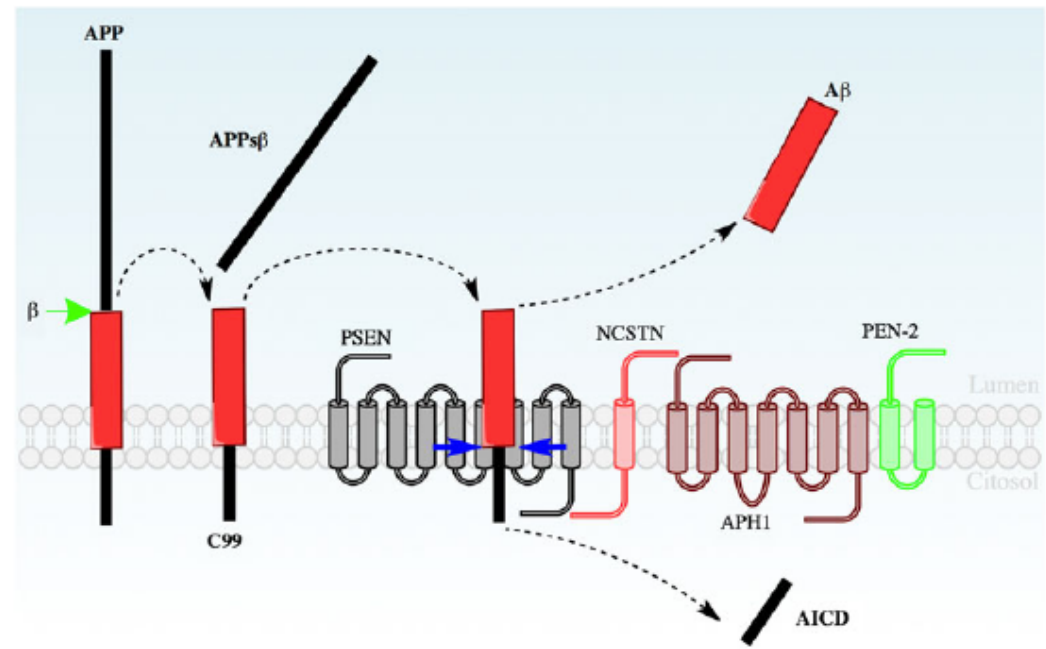
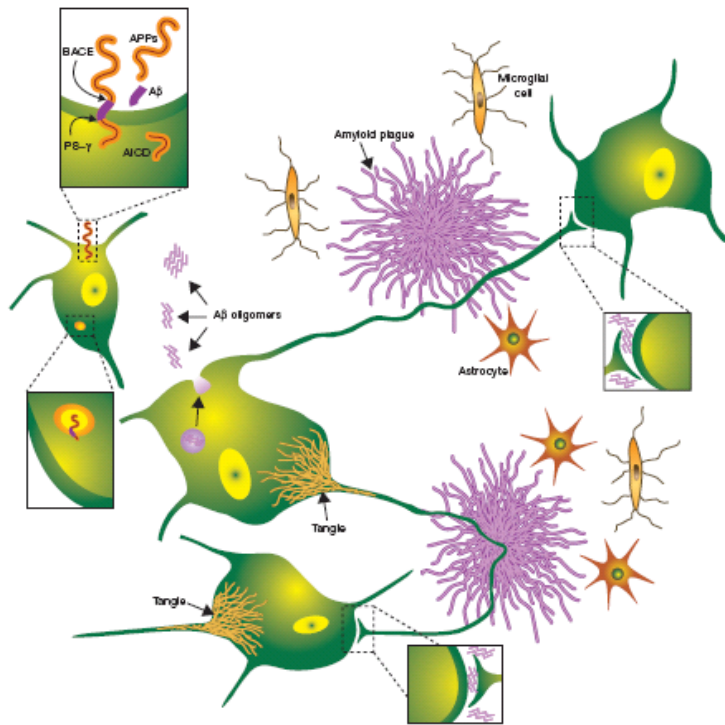
# Protein Conformational Disorders

**Table 3 Some human brain diseases characterized by progressive misfolding and aggregation of proteins**

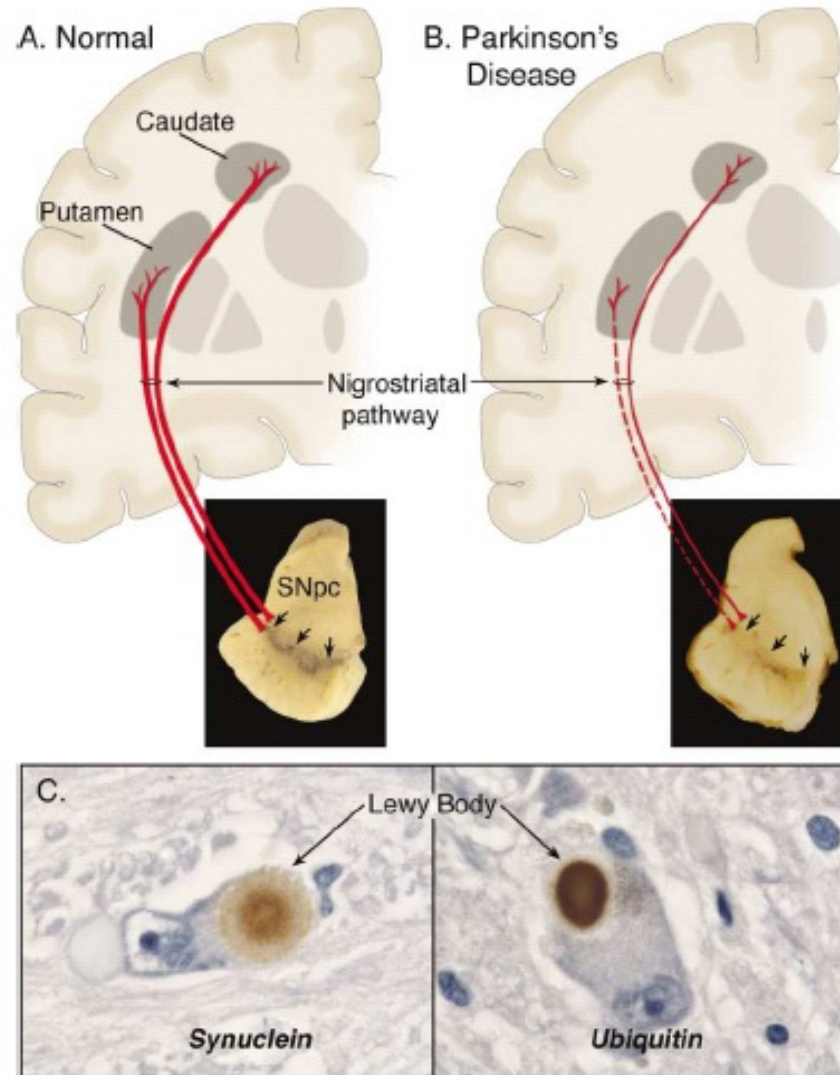
Disease	Protein	Locus	
Alzheimer's disease	Amyloid $\beta$ -protein Tau	Extracellular plaques Tangles in neuronal cytoplasm	
Frontotemporal dementia with parkinsonism	Tau	Tangles in neuronal cytoplasm	
Parkinson's disease; dementia with Lewy bodies	$\alpha$ -Synuclein	Neuronal cytoplasm	
Creutzfeldt–Jakob disease; 'mad cow disease'*	Prion protein (PrP <sup>Sc</sup> )	Extracellular plaques Oligomers, inside and outside neurons	
Polyglutamine expansion diseases (Huntington's disease, spinocerebellar ataxias, and so on)*	Long glutamine stretches within certain proteins	Neuronal nuclei and cytoplasm	
Amyotrophic lateral sclerosis*	Superoxide dismutase	Neuronal cytoplasm	

\*Figures reproduced from *Greenfield's Neuropathology* (copyright Hodder Arnold)

# Alzheimer's disease



# Parkinson's disease



# Huntington's disease

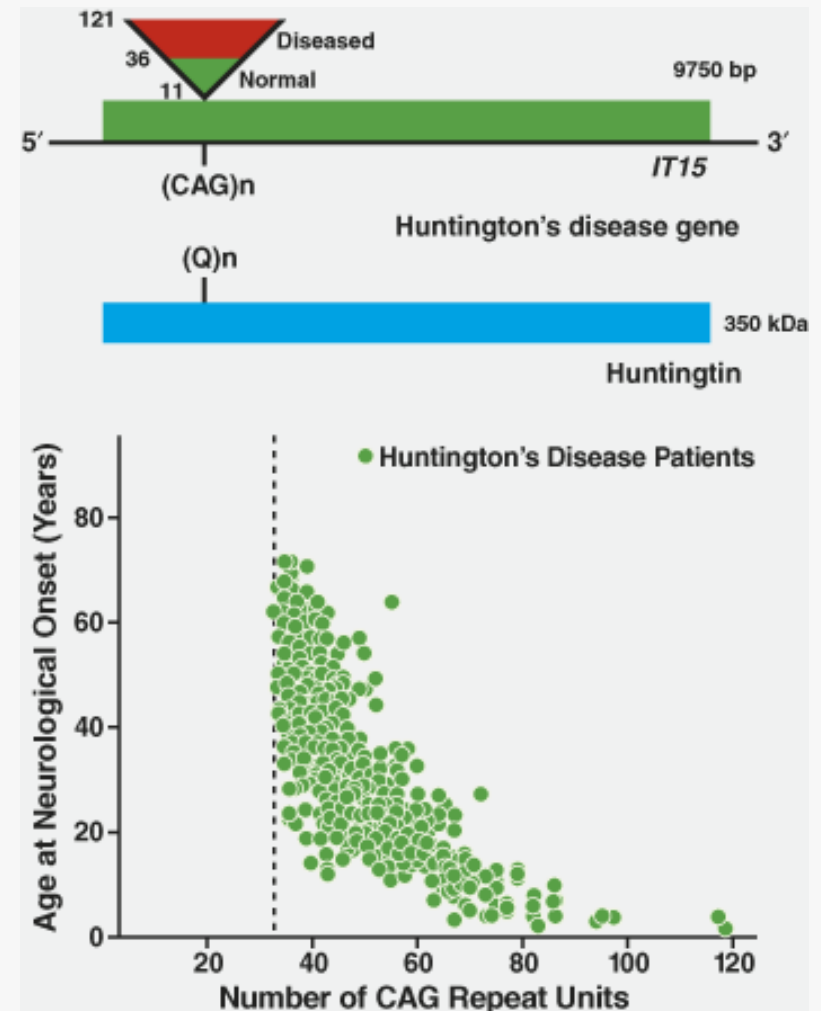
## Onset & Symptoms:

- Personality changes
- Involuntary movements
- Middle adulthood, progresses over 15-20 years
- Loss of motor control and intellectual function



HD

Normal



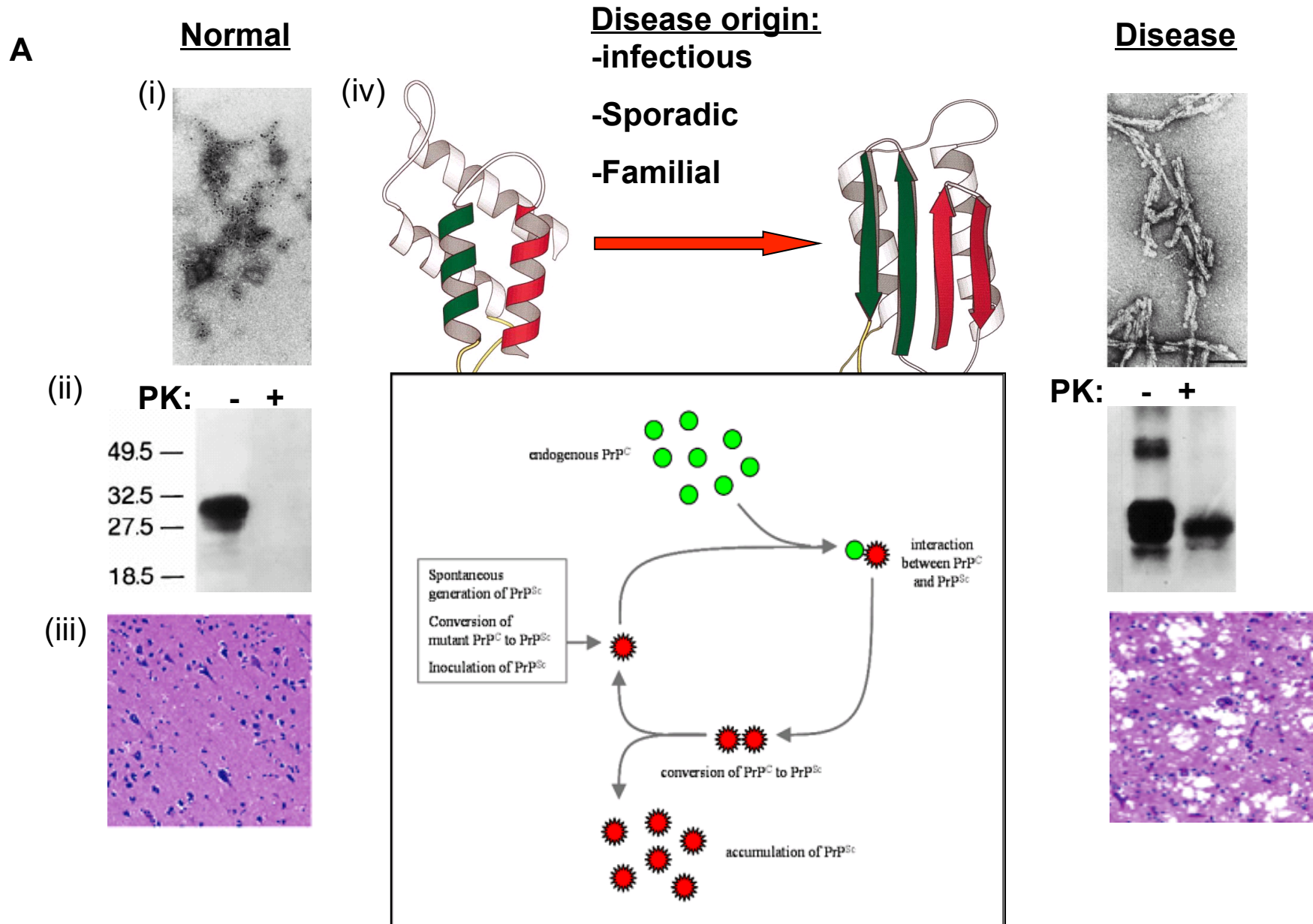
# Prion-related disorders

Table 1. The prion diseases

Disease	Host	Mechanism of pathogenesis
Kuru	Fore people	Infection through ritualistic cannibalism
iCJD	Humans	Infection from prion-contaminated HGH, dura mater grafts, etc.
vCJD	Humans	Infection from bovine prions?
fCJD	Humans	Germ-line mutations in PrP gene
GSS	Humans	Germ-line mutations in PrP gene
FFI	Humans	Germ-line mutation in PrP gene (D178N, M129)
sCJD	Humans	Somatic mutation or spontaneous conversion of PrP <sup>C</sup> into PrP <sup>Sc</sup> ?
FSI	Humans	Somatic mutation or spontaneous conversion of PrP <sup>C</sup> into PrP <sup>Sc</sup> ?
Scrapie	Sheep	Infection in genetically susceptible sheep
BSE	Cattle	Infection with prion-contaminated MBM
TME	Mink	Infection with prions from sheep or cattle
CWD	Mule deer, elk	Unknown
FSE	Cats	Infection with prion-contaminated bovine tissues or MBM
Exotic ungulate encephalopathy	Greater kudu, nyala, oryx	Infection with prion-contaminated MBM

iCJD, iatrogenic CJD; vCJD, variant CJD; fCJD, familial CJD; sCJD, sporadic CJD; GSS, Gerstmann–Sträussler–Sheinker disease; FFI, fatal familial insomnia; FSI, fatal sporadic insomnia; BSE, bovine spongiform encephalopathy; TME, transmissible mink encephalopathy; CWD, chronic wasting disease; FSE, feline spongiform encephalopathy; HGH, human growth hormone; MBM, meat and bone meal.

# TSE: *The role of Prion (PrP<sup>C</sup>) misfolding*



# Mad Cow Disease and Creutzfeldt-Jacob

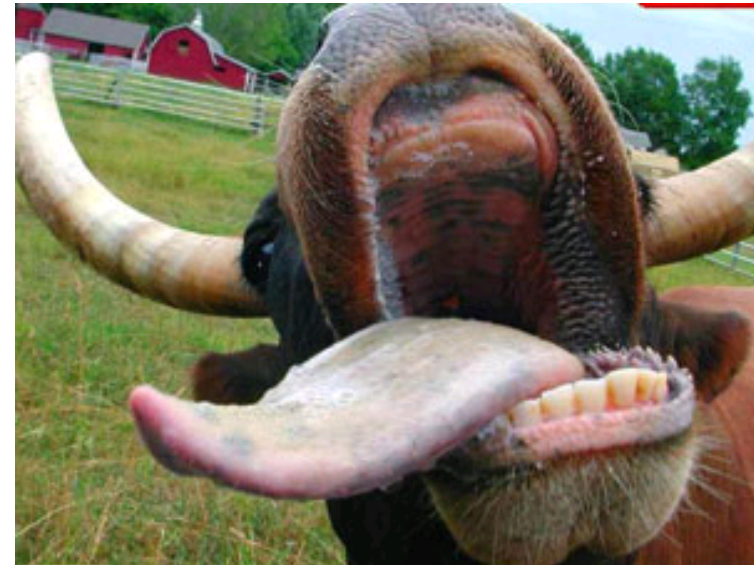
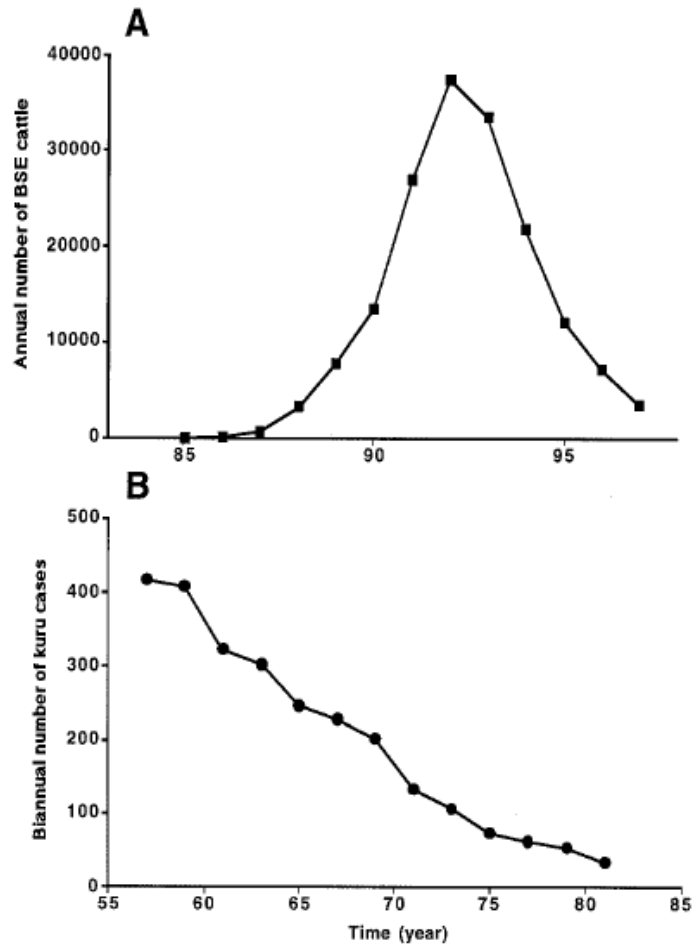
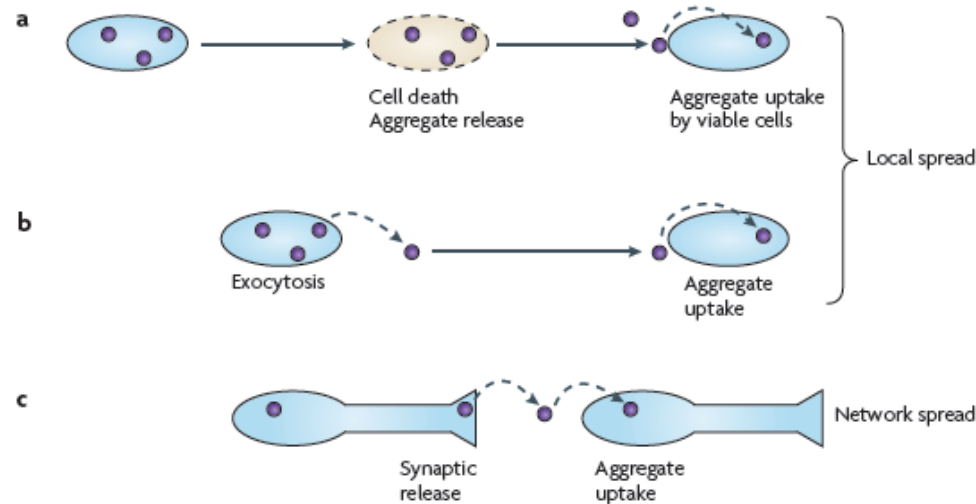


FIG. 8. Disappearance of the kuru and BSE epidemics. (A) Annual number of cases of BSE in cattle in Great Britain. (B) Biannual number of cases of kuru in Papua New Guinea. Data were compiled for BSE by John Wilesmith and for kuru by Michael Alpers.

# Novel concept: Prion-like propagation of disease



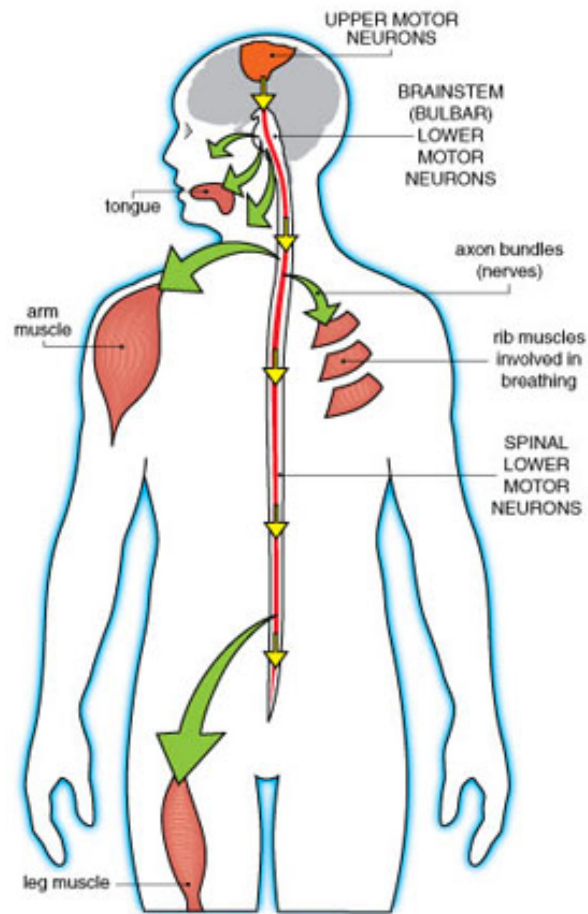
**Table 1. Potential Candidate Disease-Associated Transmissible Proteins**

Disease	Protein (Location)	Experimental Transmission	Natural Transmission	References <sup>a</sup>
Prion diseases	PrP <sup>Sc</sup> (extracellular)	infectious in diverse animal species by various routes	infectious in diverse species by various routes	(Prusiner, 1998; Aguzzi and Calella, 2009)
Alzheimer's disease	A $\beta$ (extracellular)	induction of pathology in transgenic mice by intracerebral and intraperitoneal inoculation	not shown	(Kane et al., 2000; Meyer-Luehmann et al., 2006; Eisele et al., 2010; Morales et al., 2011)
Parkinson's disease	$\alpha$ -synuclein (cytoplasmatic)	cell-to-cell and host-to-graft spreading in animal models and transmission by intracerebral inoculation	host-to-graft spreading in humans	(Desplats et al., 2009; Luk et al., 2009; Volpicelli-Daley et al., 2011; Mougnot et al., 2012; Hansen et al., 2011; Luk et al., 2012)
Huntington's disease	Huntingtin (nuclear)	cell-to-cell spreading in culture	not shown	(Ren et al., 2009)
Tauopathies	Tau (cytoplasmatic)	cell-to-cell spreading in culture and transmission in transgenic mice by intracerebral inoculation	not shown	(Clavaguera et al., 2009; Frost et al., 2009; Nonaka et al., 2010; Guo and Lee, 2011; de Calignon et al., 2012; Liu et al., 2012)
Secondary amyloidosis	Amyloid-A (extracellular)	acceleration of pathology in mice by various routes of administration	possible transmission to captive cheetah	(Lundmark et al., 2002; Zhang et al., 2008)
Mouse senile amyloidosis	Apolipoprotein A (extracellular)	acceleration of pathology in mice by various routes of administration	transmission to mice in the same cage by feces consumption	(Xing et al., 2001; Korenaga et al., 2006)



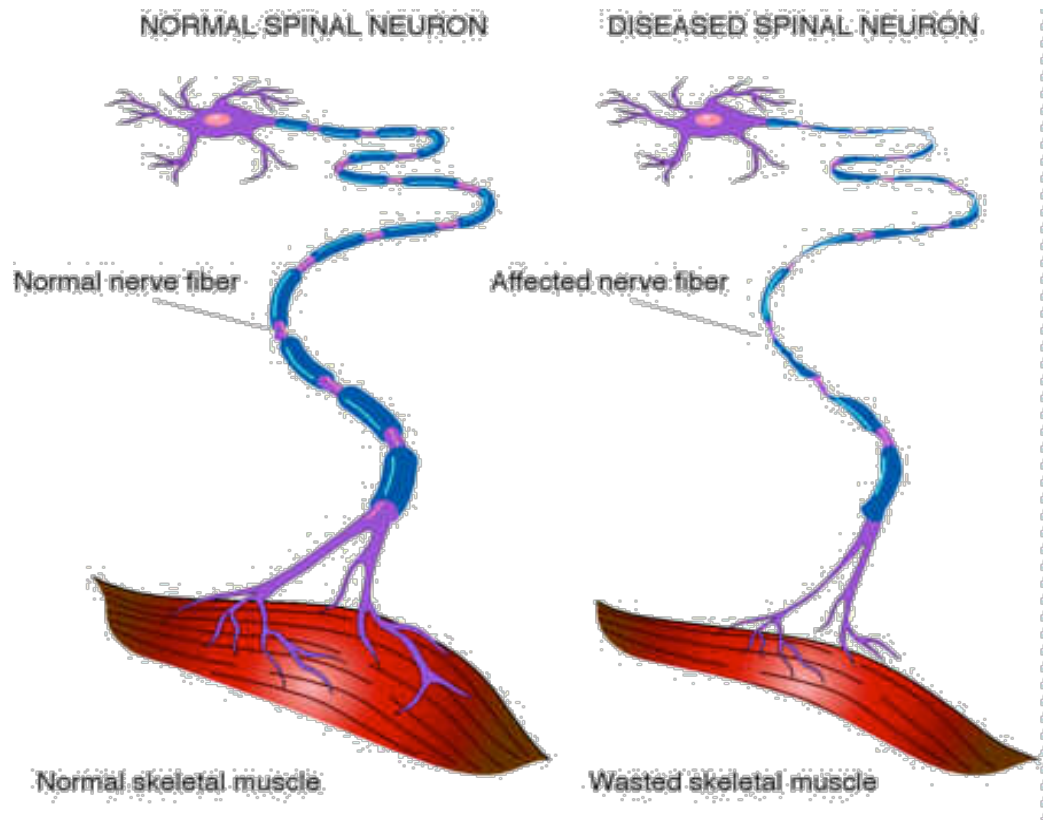
# **Amyotrophic Lateral Sclerosis (ALS)**

# Amyotrophic Lateral Sclerosis (ALS)



- Fatal neurodegenerative disease.
- Selective lost of motoneurons in the primary cortex, brainstem and spinal cord.
- Symptoms: muscle weakness, spasms, lack of coordination, paralysis, respiratory insufficiency and death.
- Symptoms start in adulthood (40-70 years).
- And also, in some cases, behavioral and cognitive impairment, related to **frontotemporal dementia**

# Amyotrophic Lateral Sclerosis (ALS)



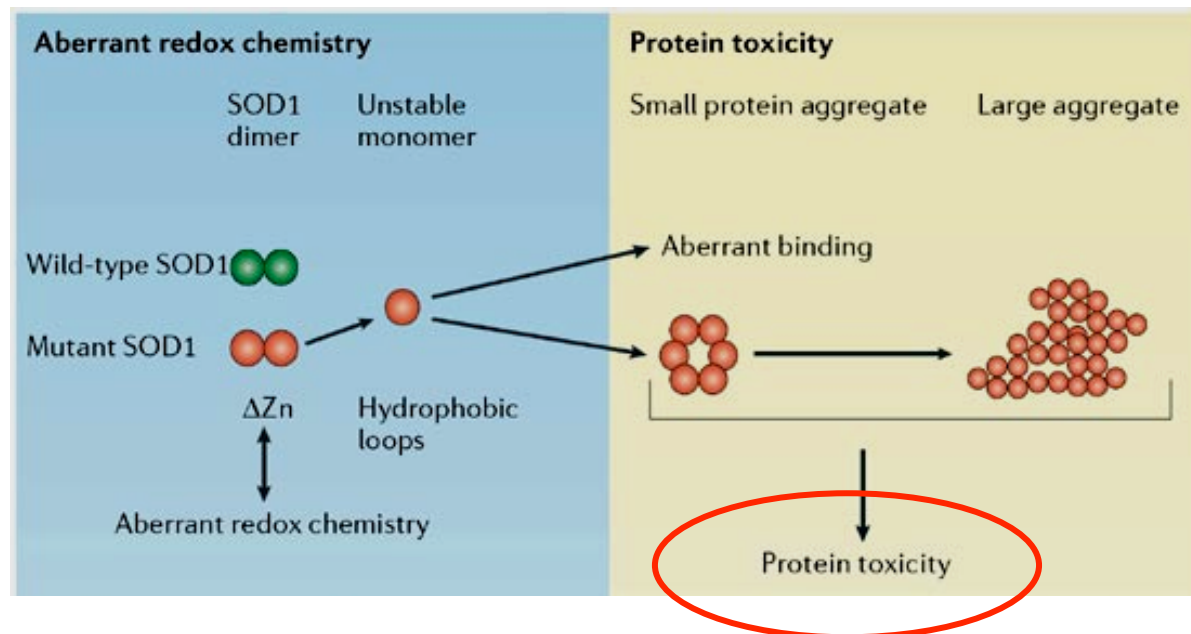
Stephen Hawking

**Panel 3: Amyotrophic lateral sclerosis functional rating scale, revised<sup>206</sup>**

- |   |   |
|---|---|
| 1 Speech  | 7 Turning in bed  |
| 4 Normal speech   | 4 Normal  |
| 3 Detectable disturbance                                  | 3 Slow and clumsy   |
| 2 Intelligible without repeating                          | 2 Can turn alone with difficulty                                |
| 1 Speech with non-verbal communication                    | 1 Can initiate but cannot turn or adjust sheets                 |
| 0 Loss of useful speech                                   | 0 Total dependence  |
| 2 Salivation  | 8 Walking   |
| 4 Normal  | 4 Normal  |
| 3 Slight, but definite excess of saliva                   | 3 Early ambulation difficulties                                 |
| 2 Moderate excessive saliva, minimum drooling             | 2 Walks with assistance   |
| 1 Marked excessive of saliva, some drooling               | 1 Non-ambulatory, functional movement                           |
| 0 Marked drooling, needs constant tissue                  | 0 No purposeful leg movement                                    |
| 3 Swallowing  | 9 Climbing stairs   |
| 4 Normal eating habits                                    | 4 Normal  |
| 3 Early eating problems, occasional choking               | 3 Slow  |
| 2 Dietary consistency changes                             | 2 Mild unsteadiness or fatigue                                  |
| 1 Needs supplemental tube feeding                         | 1 Needs assistance  |
| 0 Nil orally  | 0 Cannot do   |
| 4 Handwriting   | 10 Dyspnoea   |
| 4 Normal  | 4 None  |
| 3 Slow or sloppy, all words legible                       | 3 Occurs when walking   |
| 2 Not all words legible                                   | 2 Occurs when eating, bathing, or dressing                      |
| 1 Able to grip pen, but cannot write                      | 1 Occurs at rest  |
| 0 Unable to grip pen                                      | 0 Considerable difficulty                                       |
| 5 Cutting food and handling utensils                      | 11 Orthopnoea   |
| 4 Normal  | 4 None  |
| 3 Slow and clumsy, but no help needed                     | 3 Some difficulty, does not routinely use more than two pillows |
| 2 Can cut most foods, although clumsy and needs some help | 2 Needs extra pillows to sleep                                  |
| 1 Food must be cut by someone else                        | 1 Only sleeps sitting up  |
| 0 Needs to be fed   | 0 Unable to sleep   |
| 6 Dressing and hygiene                                    | 12 Respiratory insufficiency                                    |
| 4 Normal  | 4 None  |
| 3 Independent, but decreased efficiency                   | 3 Intermittent use of non-invasive ventilation                  |
| 2 Some help with closures and fasteners                   | 2 Continuous use of non-invasive ventilation at night           |
| 1 Provides minimum assistance to caregiver                | 1 Continuous use of non-invasive ventilation, day and night     |
| 0 Unable to perform any task                              | 0 Mechanical ventilation via tracheostomy                       |

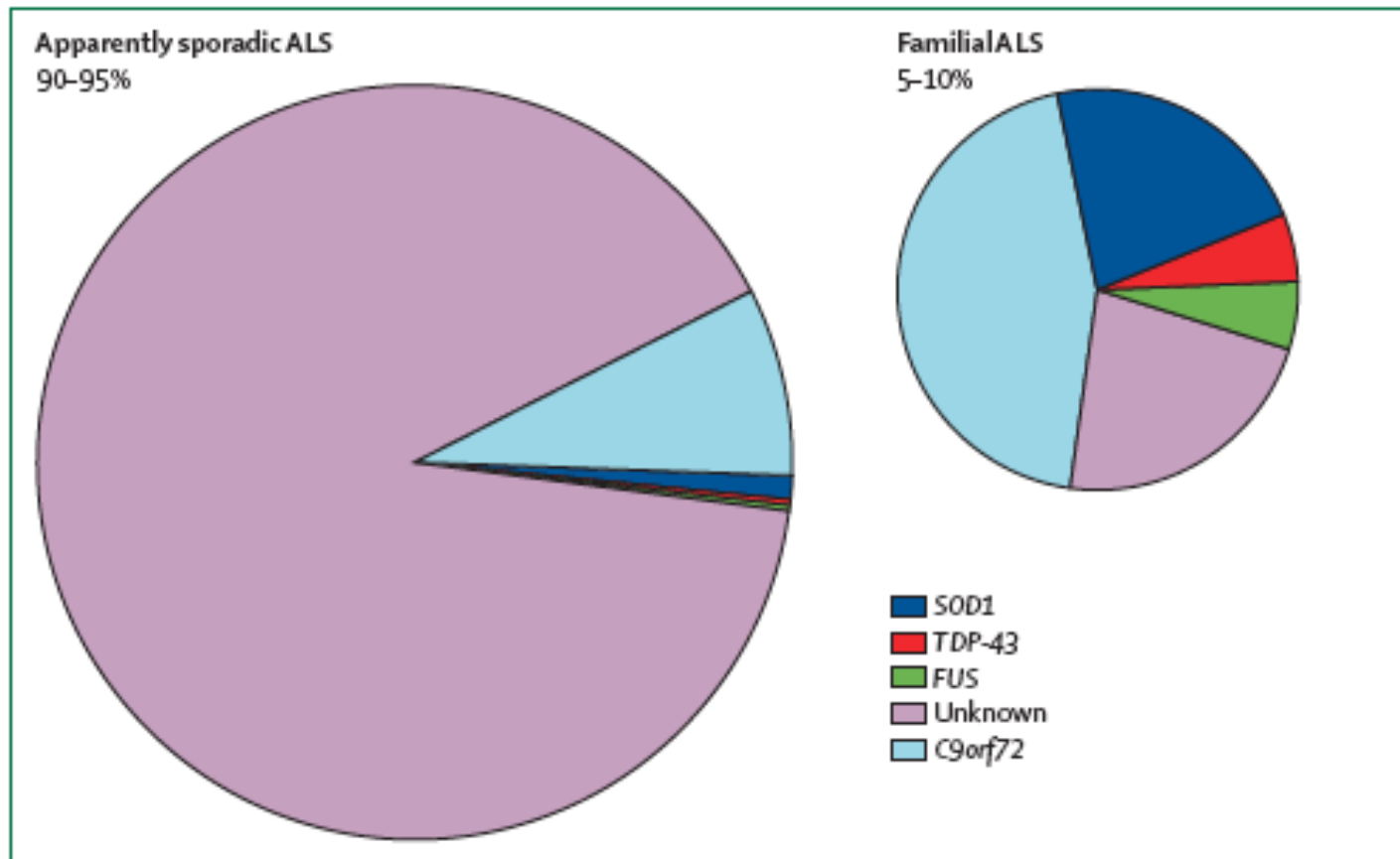
# Amyotrophic Lateral Sclerosis (ALS)

- ALS is the most common adult-onset paralytic disease (4-6 cases per 100,000 individuals). Estimated 400 total cases in Chile each year.
- 10% of ALS cases are familial. Dominant mutations in the gene for superoxide dismutase-1 (SOD1) cause familial ALS.



## ALS etiology

- Mutations in four genes (*C9ORF72*, *SOD1*, *TARDBP*, and *FUS/TLS*) account for most familial ALS cases.



# Genetic of ALS

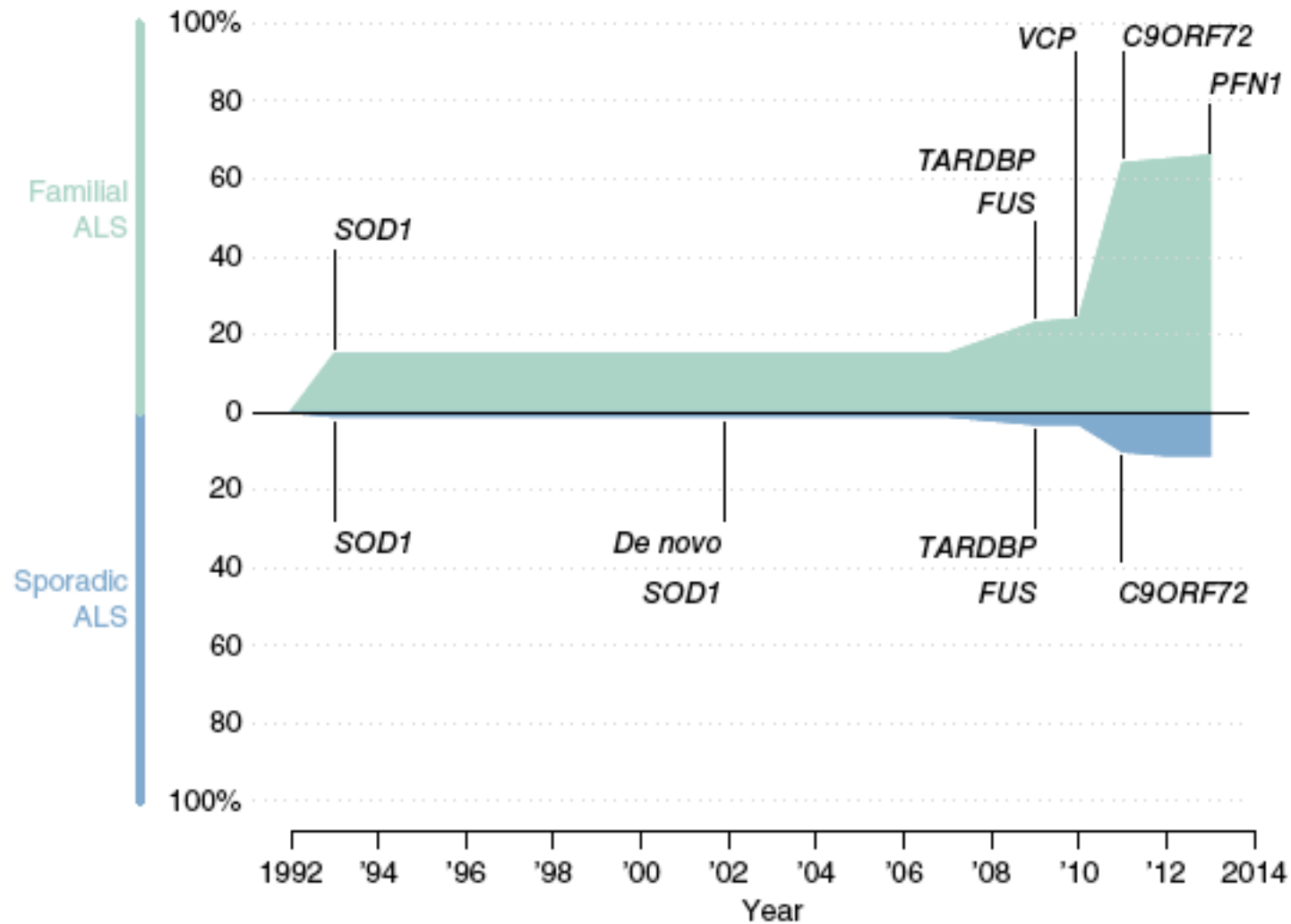
	Clinical involvement	Neuropathological protein aggregates
SOD1	LMN, UMN	Cytoplasmic inclusions of SOD1, or neurofilaments (eg, I113T); generally no TDP-43 aggregates
VAPB	LMN, UMN	Probable TDP-43 aggregates
ANG	LMN, UMN, FTD	TDP-43 aggregates
TARDBP	LMN, UMN, FTD	TDP-43 aggregates
FIG4	UMN	Not known
FUS	LMN, UMN, FTD	FUS aggregates, no TDP-43 aggregates
OPTN	LMN, UMN, FTD	TDP-43 aggregation (Glu478Gly)
C9orf72	LMN, UMN, FTD	TDP-43 aggregates, but also pathognomonic TDP-43-negative aggregates in cerebellar and hippocampal neurons (molecule currently unknown)
UBQLN2	LMN, UMN, FTD	Ubiquilin-2, also TDP-43-positive and FUS-positive

LMN=lower motor neurons. UMN=upper motor neurons. FTD=frontotemporal dementia.

**Table 1:** Genes (in order of discovery) associated with dominant mutations linked to adult-onset motor-predominant syndromes, showing the range of clinical phenotypes and principal neuropathological protein aggregates

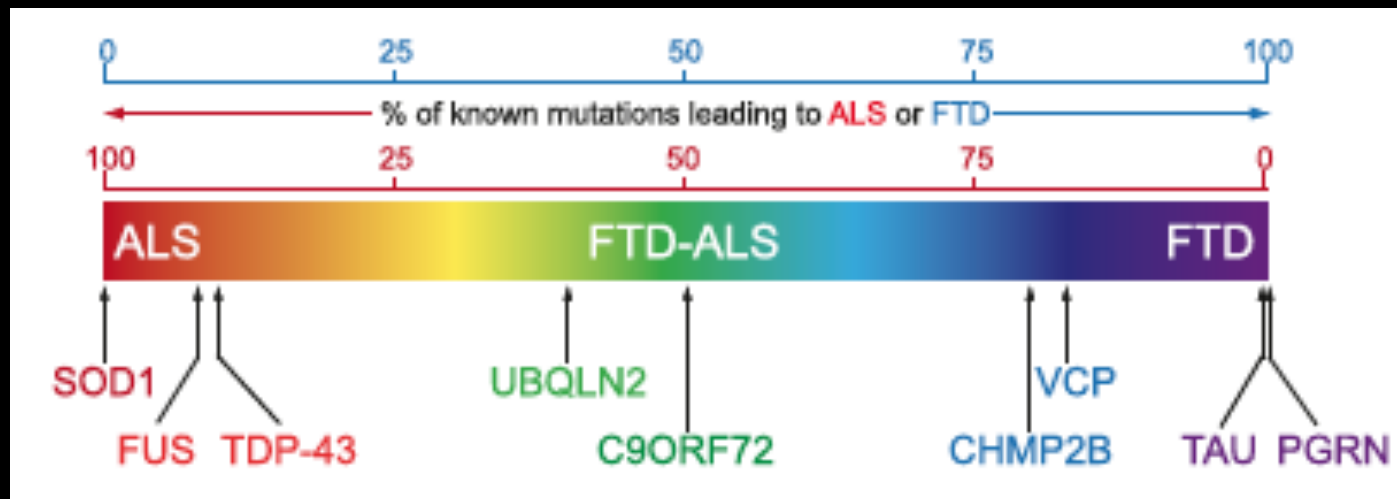
# Genetic of ALS

Percentage ALS explained by genetic mutation since 1992





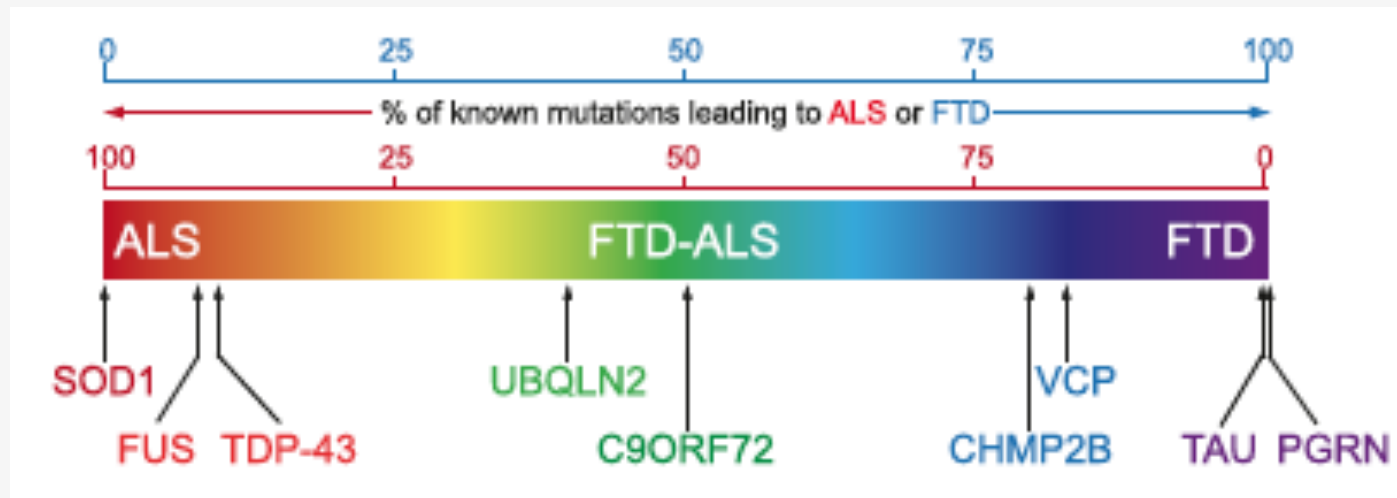
# ALS and FTD



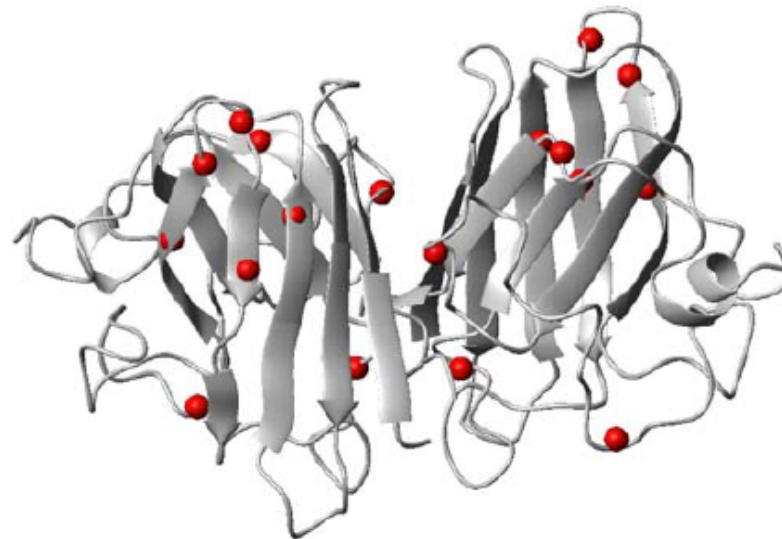
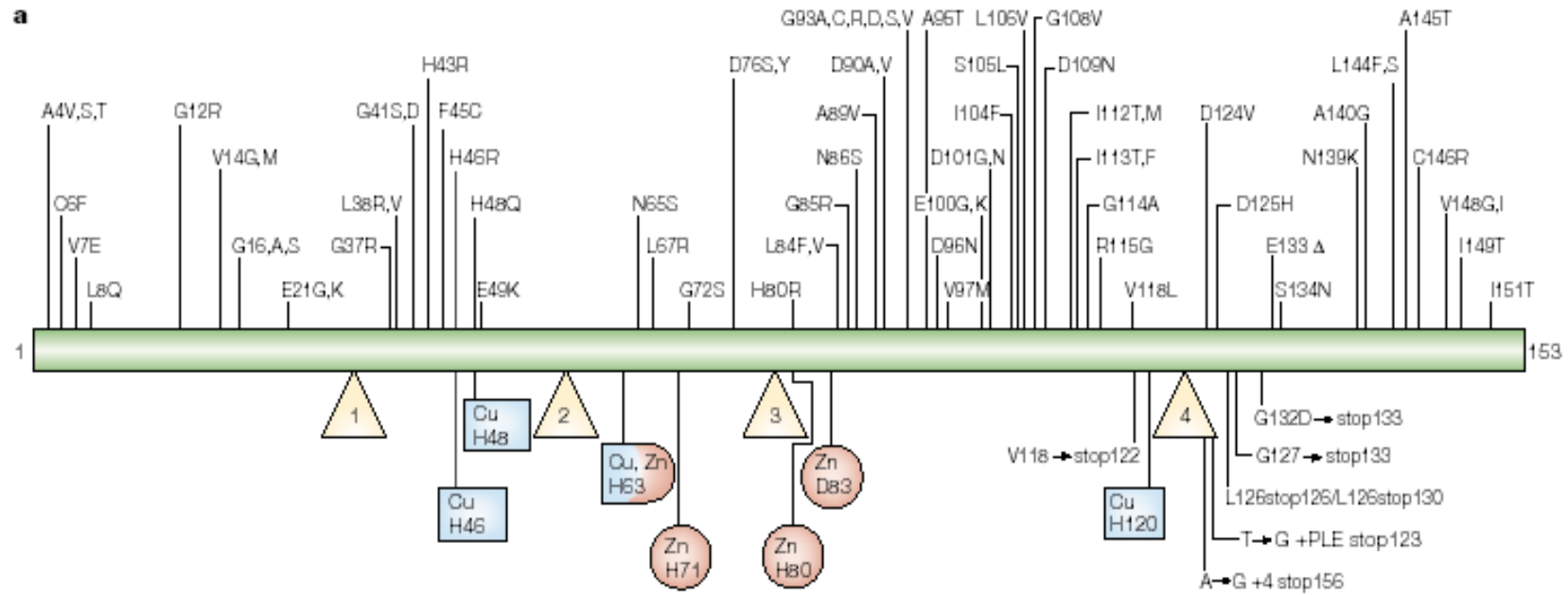
# ALS and FTD

ALS usually first affects the limbs (spinal onset). Then brainstem and upper motor neurons in the cortex are affected in ALS. 15% of ALS patients develop behavioral or cognitive impairment.

Fronto-temporal lobe degeneration (FTLD) is characterized by abnormalities of behavior in a large proportion of patients. 15% of FTD patients develop symptoms that fit ALS disease.

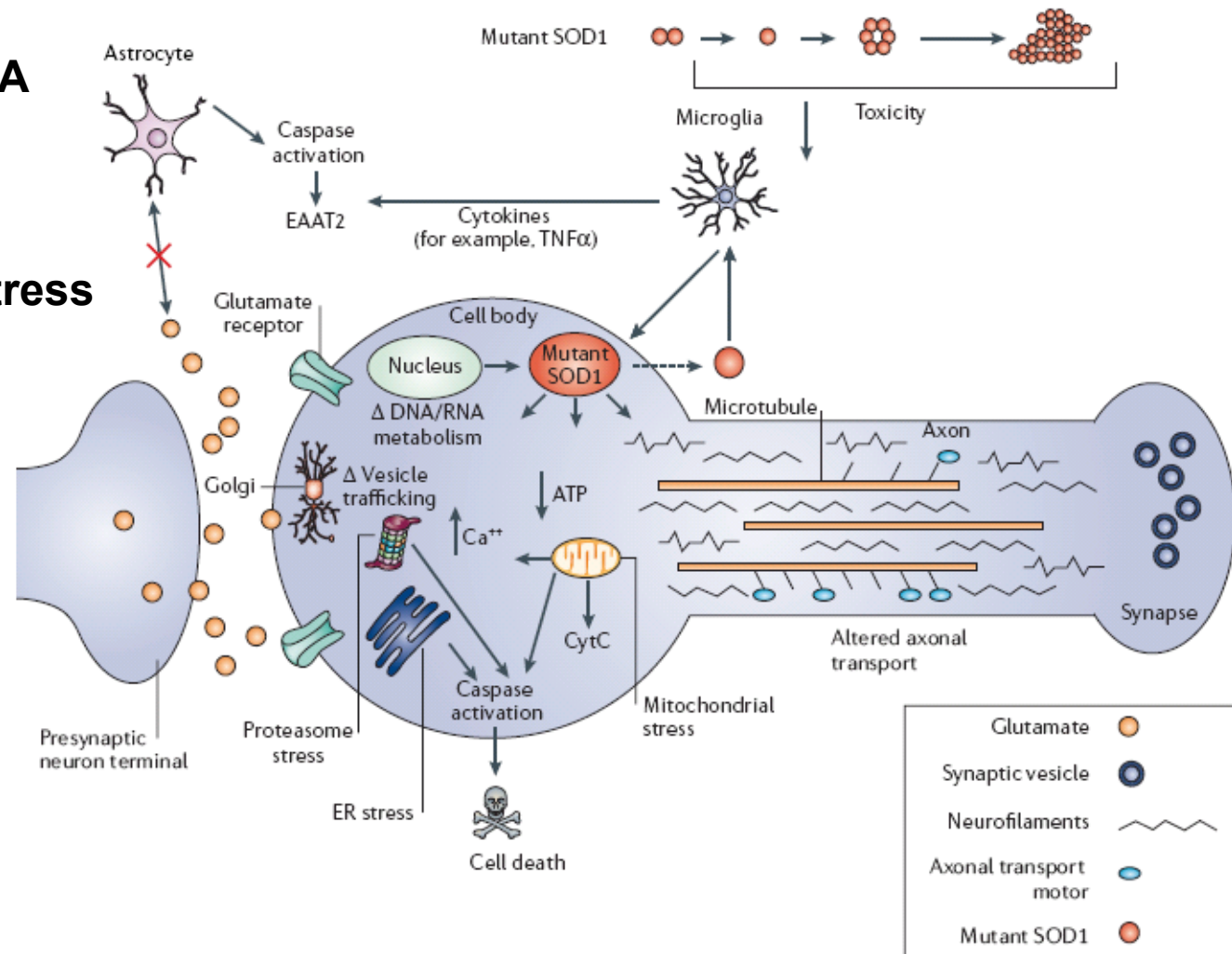


# Mutant SOD1 and ALS

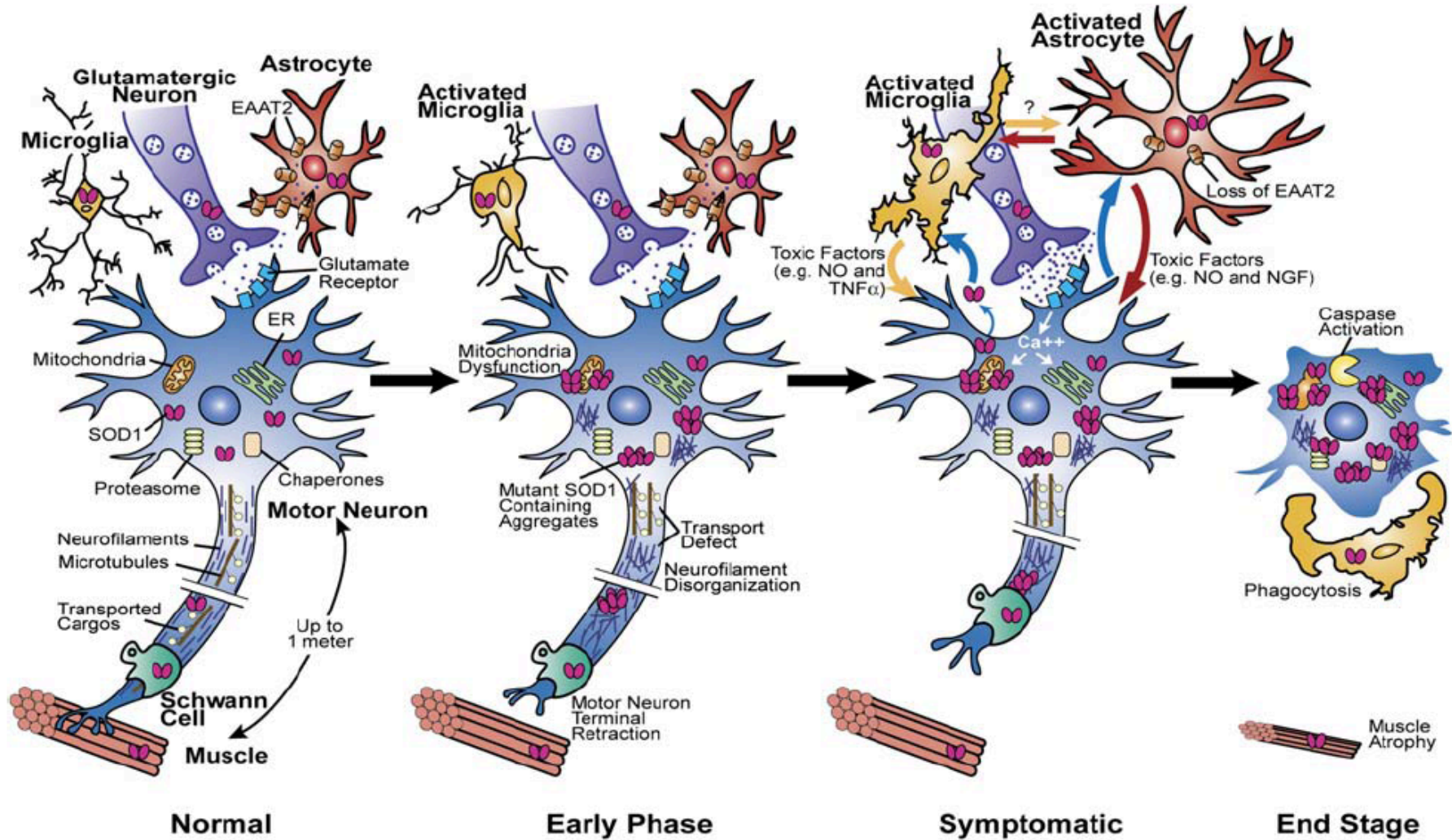


# Cellular events triggered by SOD1

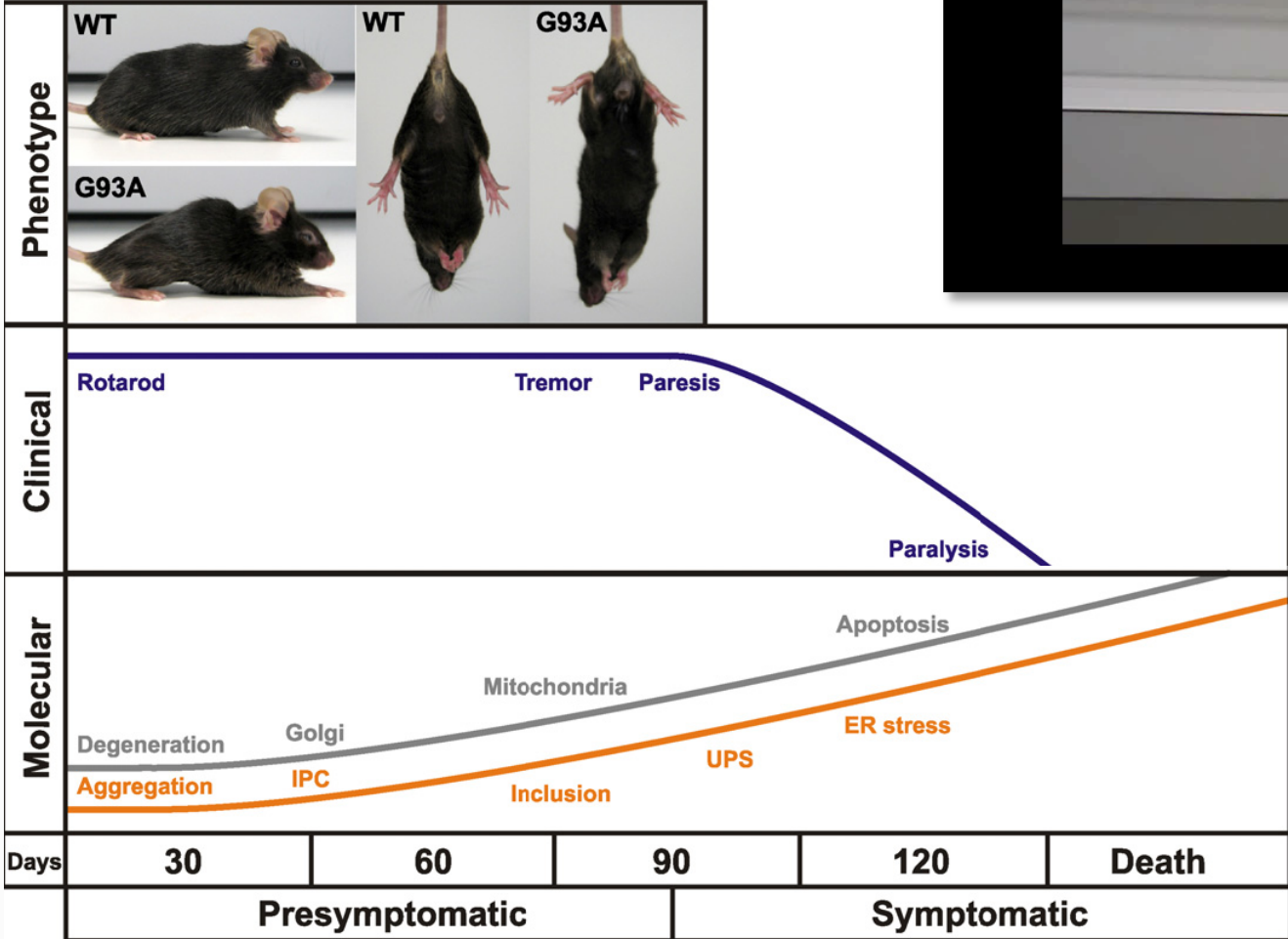
- Axonal transport
- Neurotoxicity -NMDA
- Inflammation
- Oxidative and ER stress
- Apoptosis



# Temporal events in ALS



# Mouse models of ALS

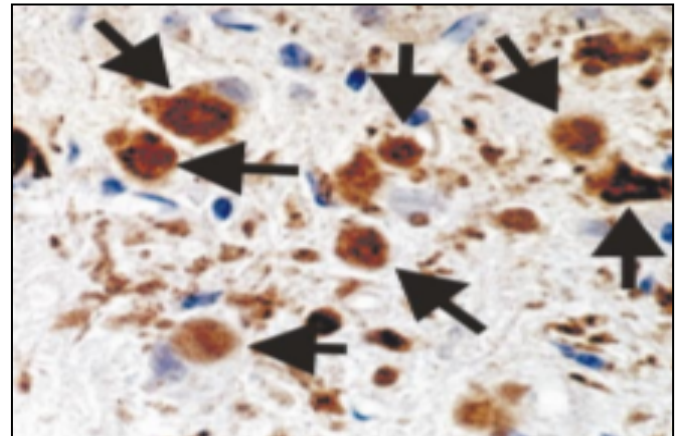
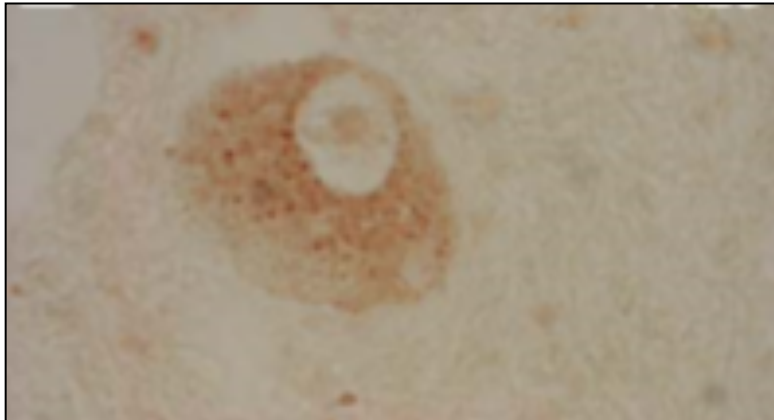
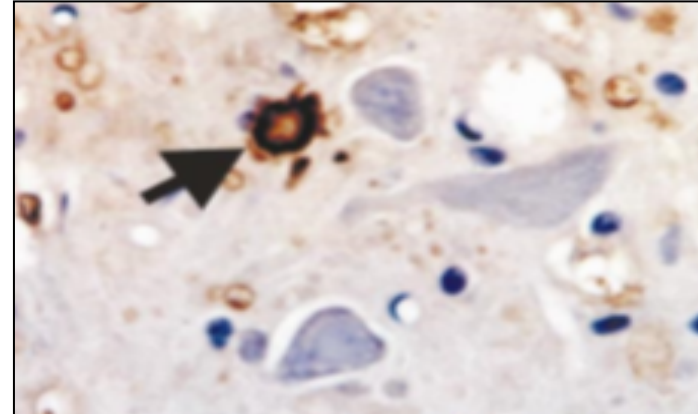
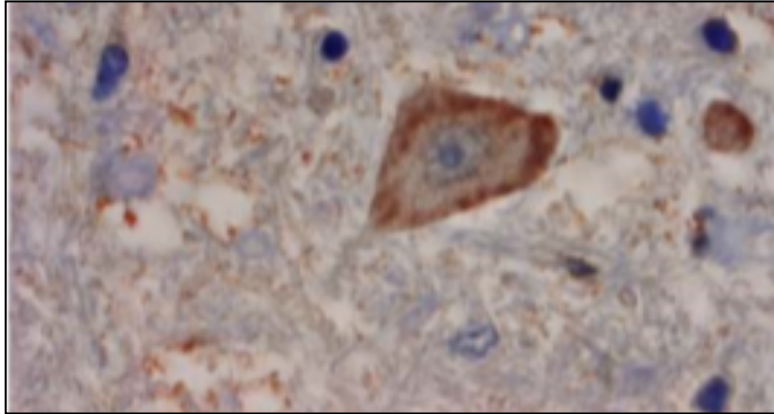


# Mouse models of ALS

## Spinal cord ALS patients

## Mutant SOD1 transgenic mice

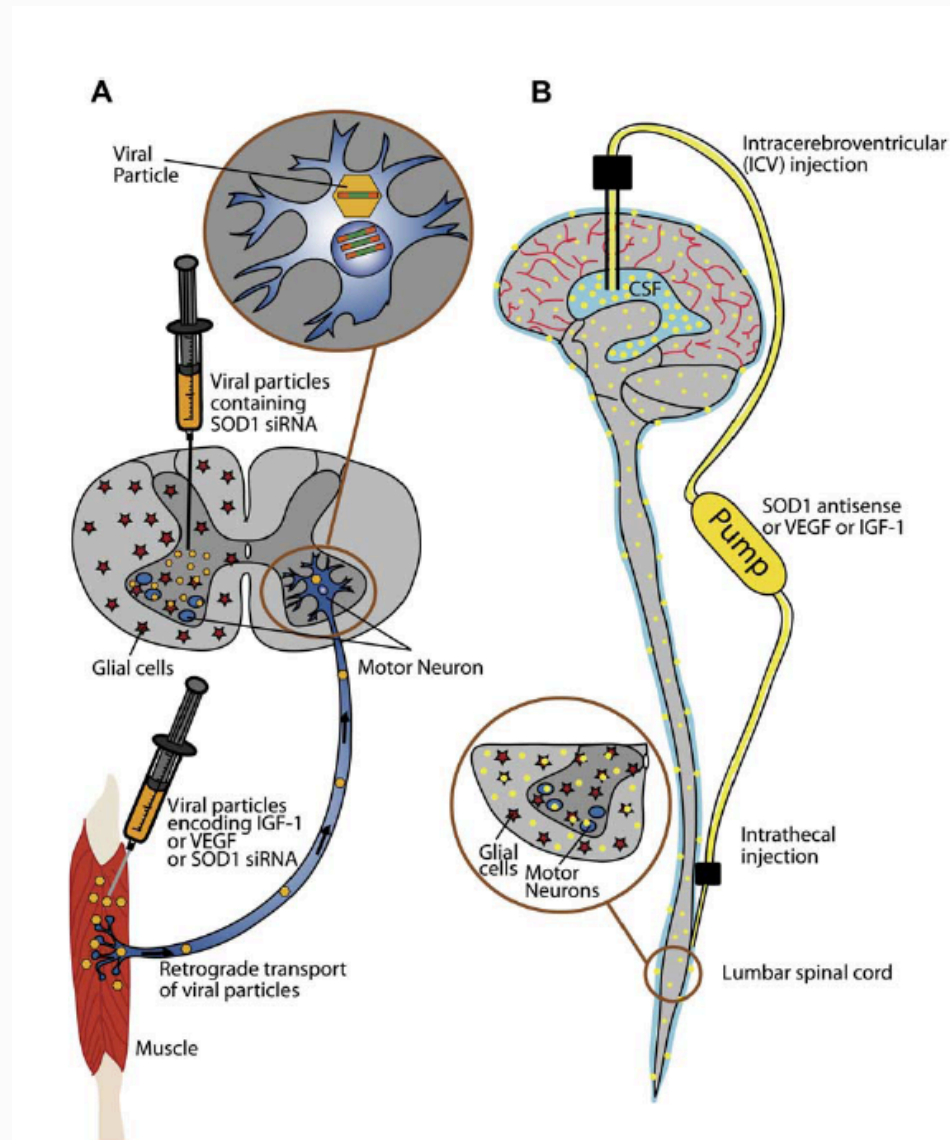
Anti-SOD1 staining



Forsberg et al. PLoS One, 2010

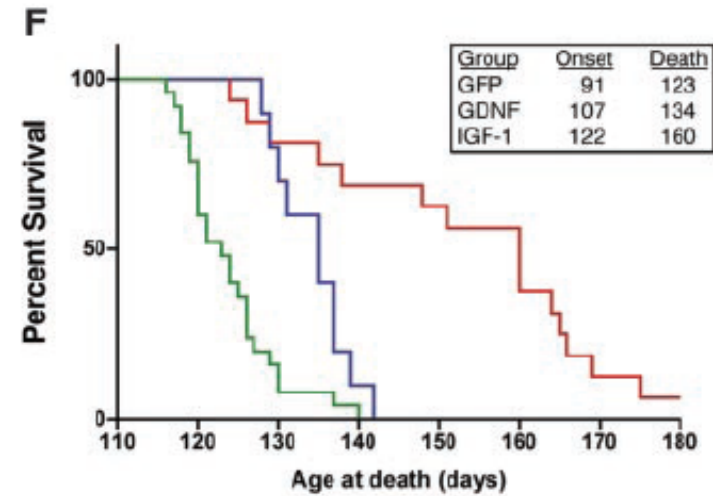
Watanabe et al. Neurobiol Dis, 2010

# Gene therapy in ALS: Prosurvival factor



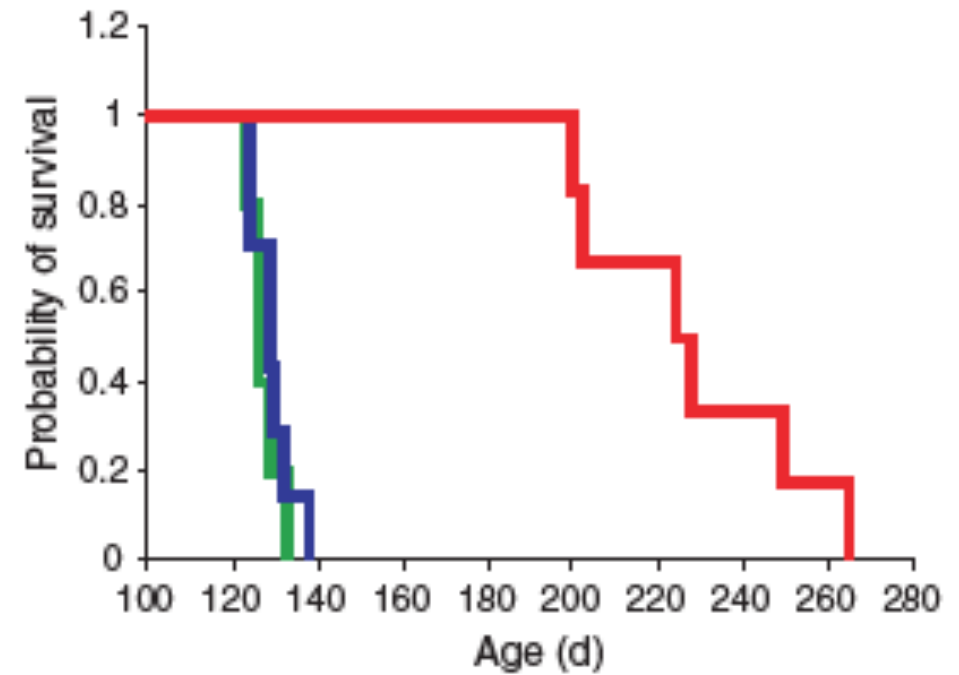
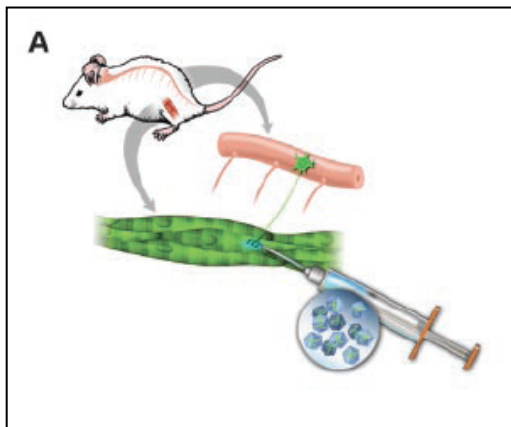
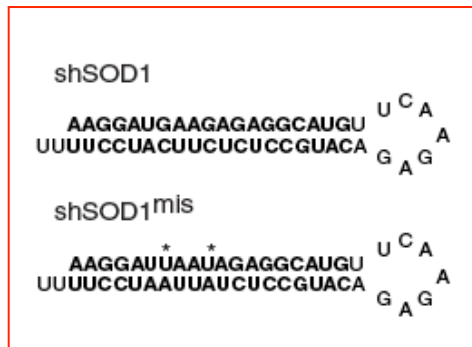
## Retrograde Viral Delivery of IGF-1 Prolongs Survival in a Mouse ALS Model

Brian K. Kaspar,<sup>1</sup> Jerònia Lladó,<sup>2\*</sup> Nushin Sherkat,<sup>1</sup> Jeffrey D. Rothstein,<sup>2</sup> Fred H. Gage<sup>1†</sup>





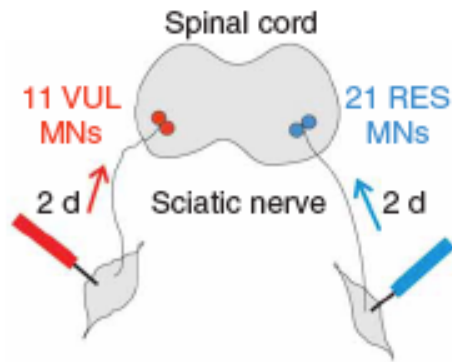
# Gene therapy to target mutant SOD1



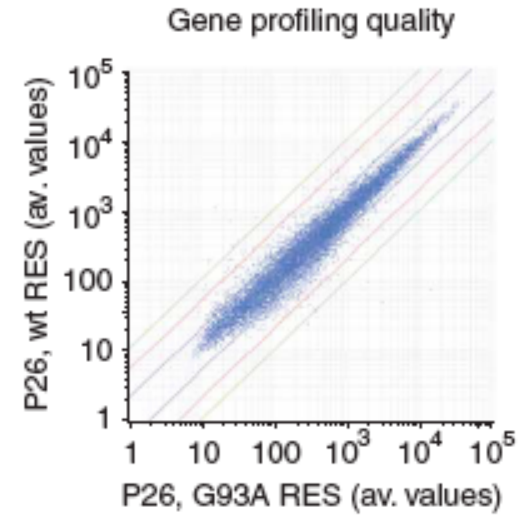
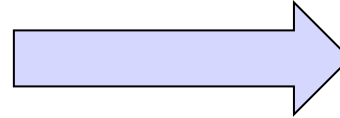
Ralph et al., (2005) *Nat Med*

Raoul al., (2005) *Nat Med*

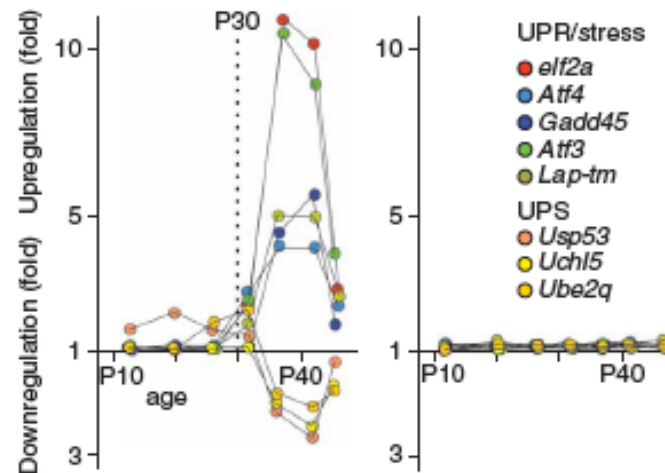
# Gene expression profile in ALS



Laser capture

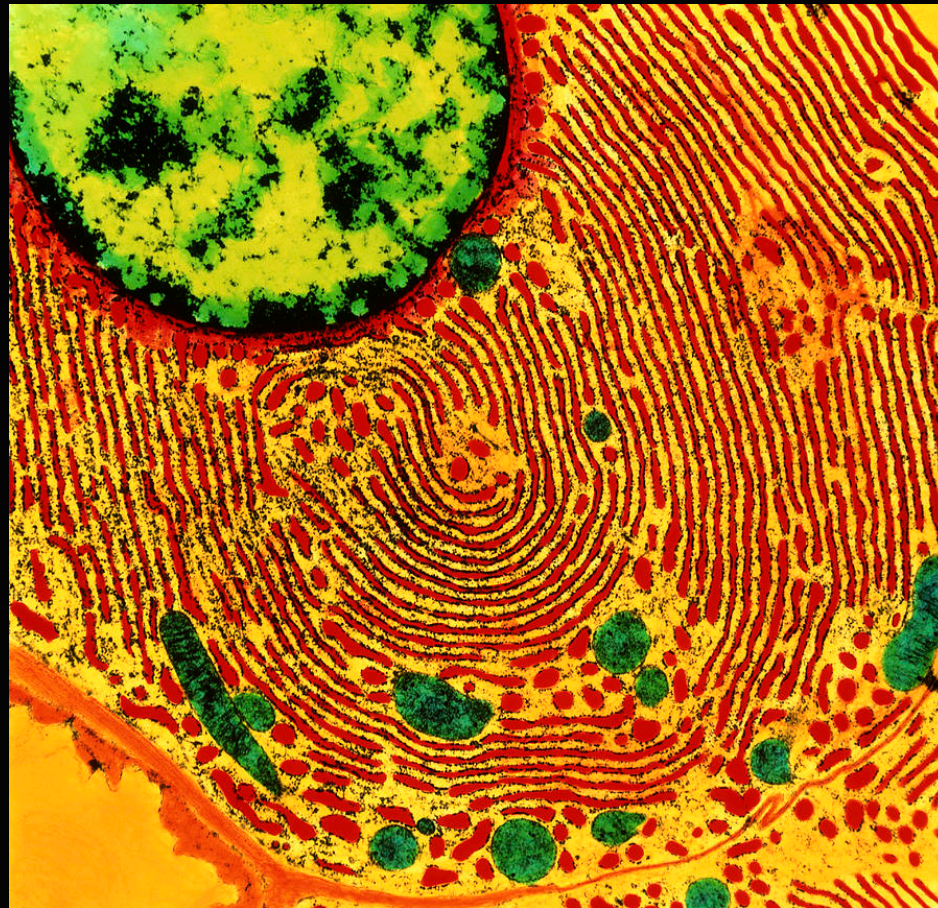


**Vulnerable**      **Resistant**



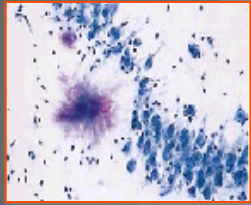
# Biomedical research preclinical models of ALS

**A new target:  
Protein folding stress at the endoplasmic reticulum (ER)**

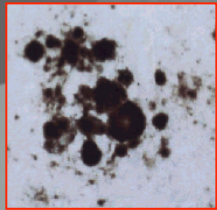


# Protein misfolding and ER stress

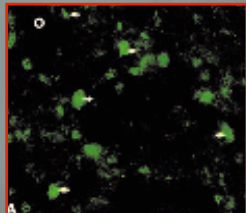
Amyloid  $\beta$  Deposition



Prion aggregation



$\alpha$ -Synuclein



**ER STRESS**

Accumulation of misfolded  
Proteins

**UPR**  
target genes

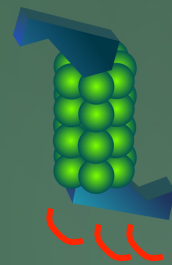
Autophagy



Folding

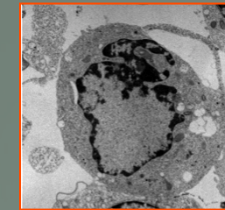


Protein quality  
control



Irreversible or chronic  
ER stress

**APOPTOSIS**

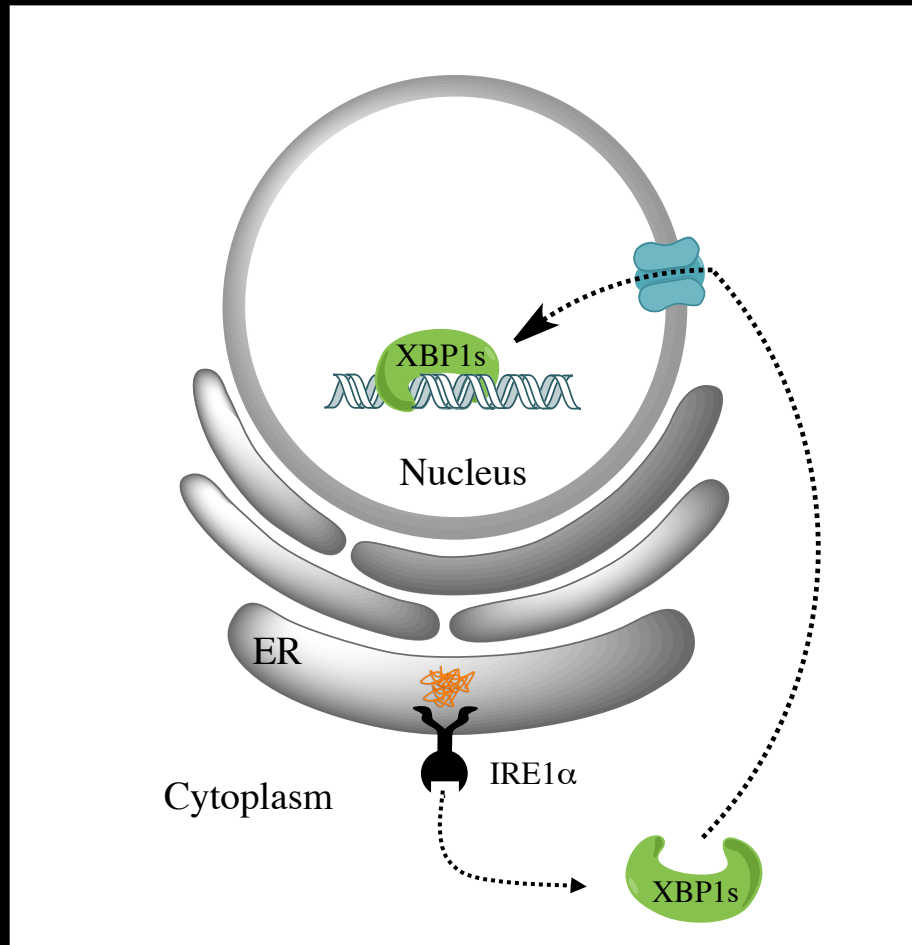


# ER stress in neurodegenerative diseases

Disease	Animal models	Human studies (post-mortem)
Alzheimer	✓	✓
Parkinson	✓	✓
Amyotrophic lateral sclerosis	✓	✓
Creutzfeldt-Jacob (Prion)	✓	✓
Multiple sclerosis	✓	
Huntington	✓	✓
Spinocerebellar Ataxia	✓	
Spinal cord injury	✓	
Ischemia	✓	

## The Unfolded Protein Response (UPR)

Is a cellular response triggered by conditions that alters the function of the ER (ER stress) and aims restoring organelle homeostasis.



Effector genes:  
Adaptation to stress

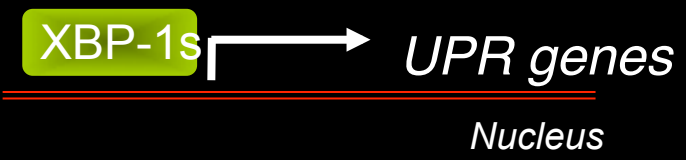
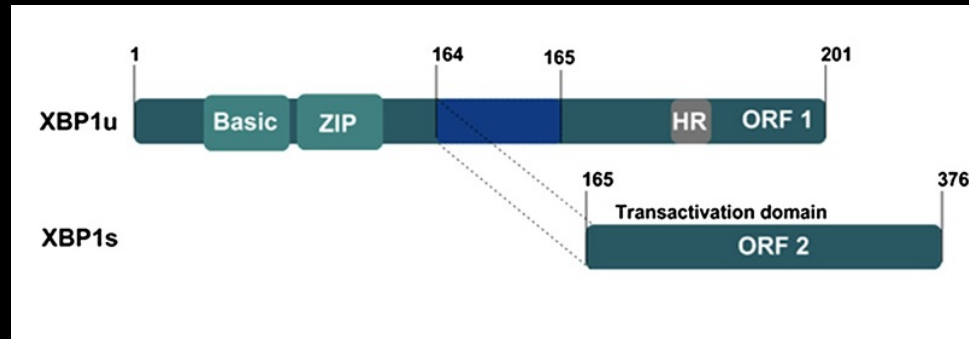
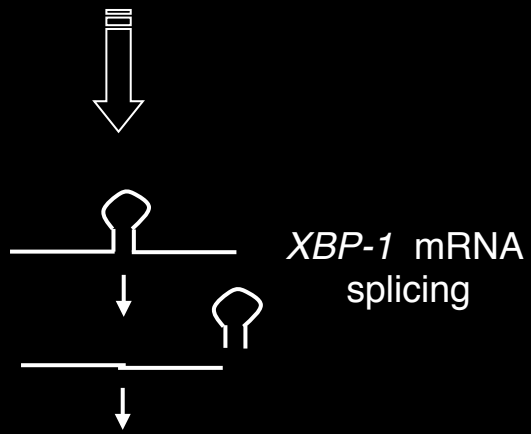
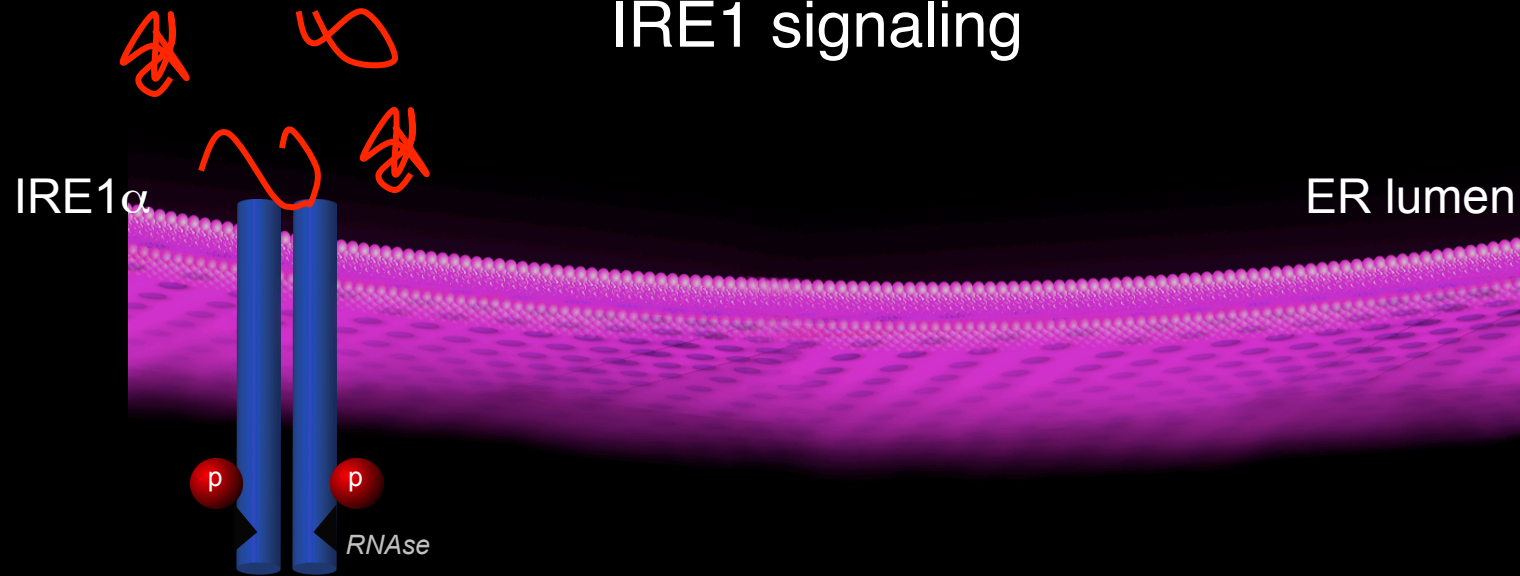


Transcription  
factor



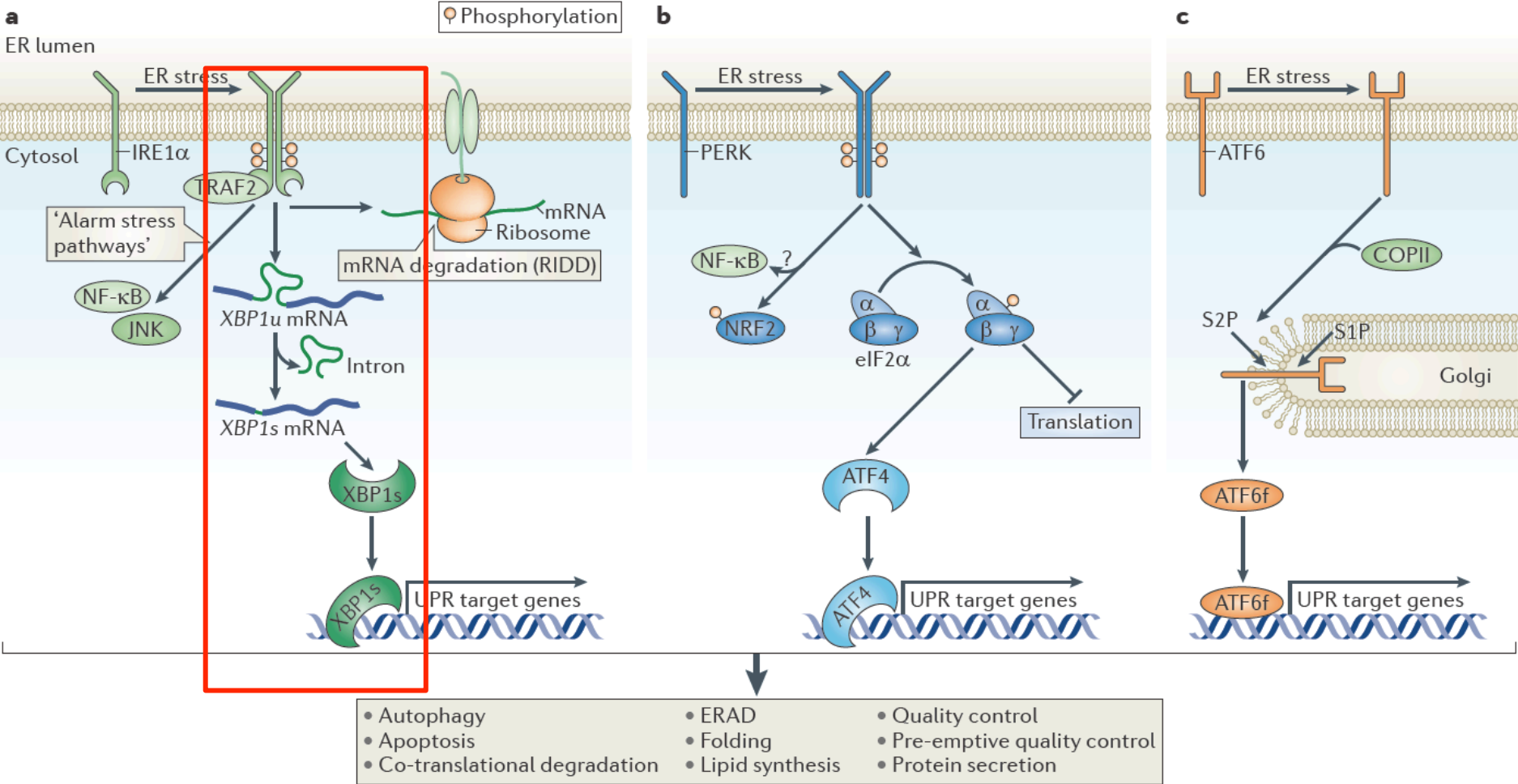
Stress sensor

# IRE1 signaling



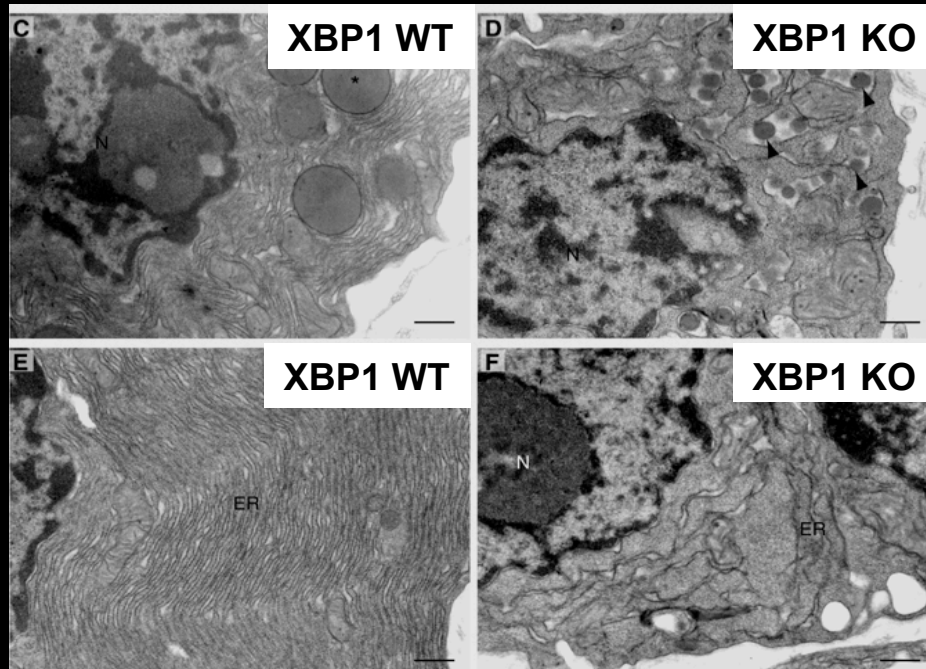
Calfon et al. (2002). *Nature*  
Yoshida et al., (2001). *Cell*  
Lee et al (2002). *Genes & Dev*

# ER stress signalling

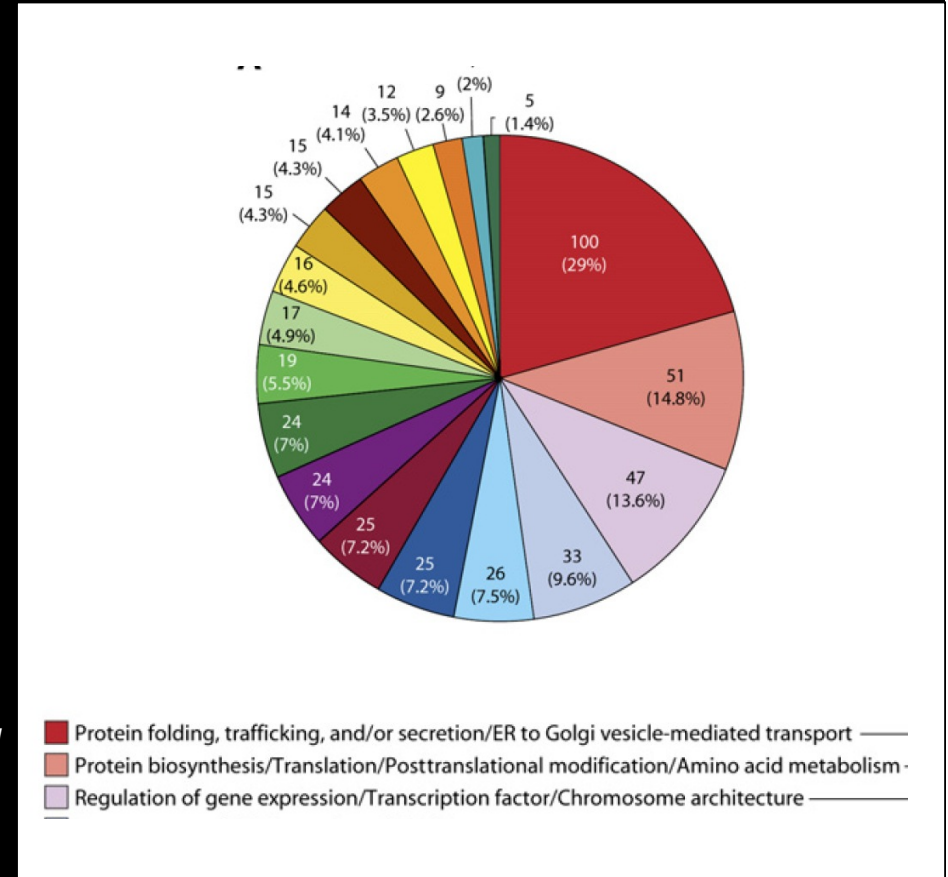




# The UPR is essential for secretory cell function

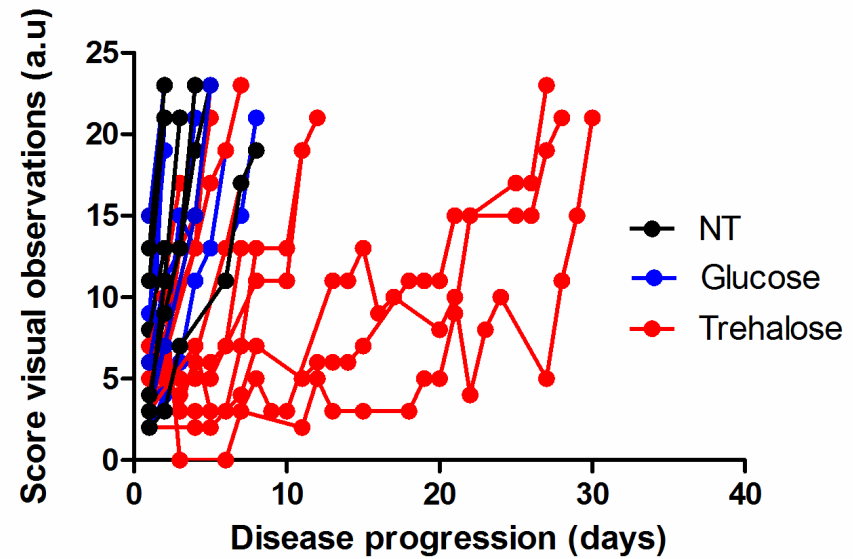
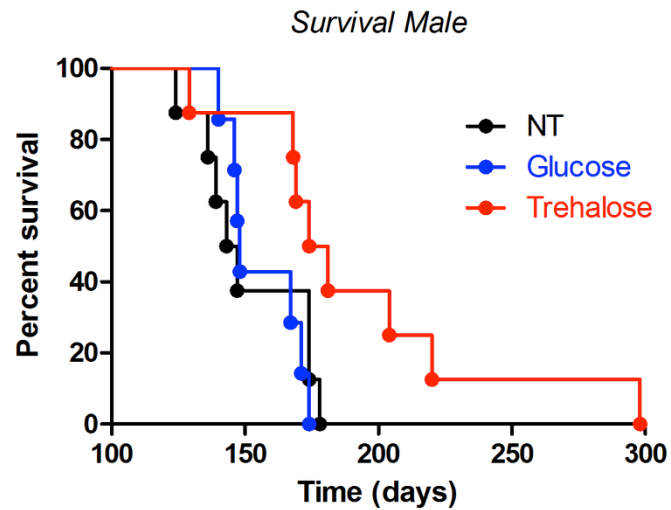
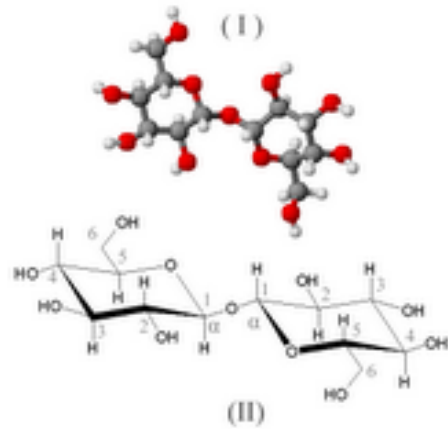


Lee et al., (2005) *EMBO J*



Acosta-Alvear et al., (2007) *Mol Cell*

# A neuroprotective compound: *Trehalose, alleviates neuronal stress*



Castillo et al., (2013) *Autophagy*

# Gene therapy



Collaboration Donna Armentano and Geoffrey Parsons

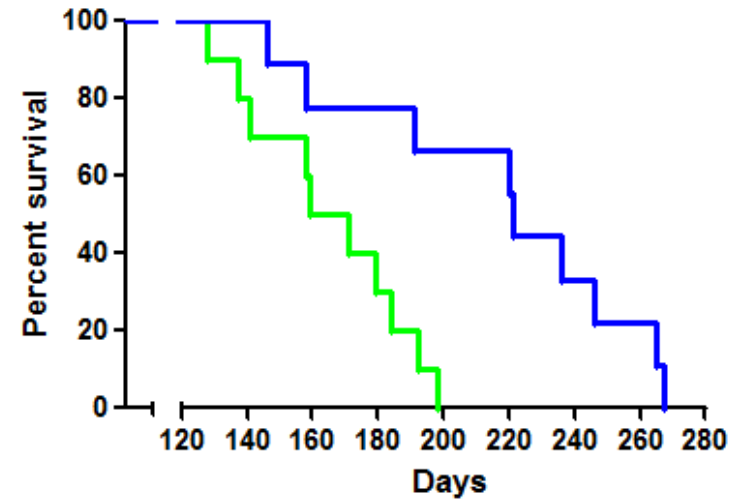
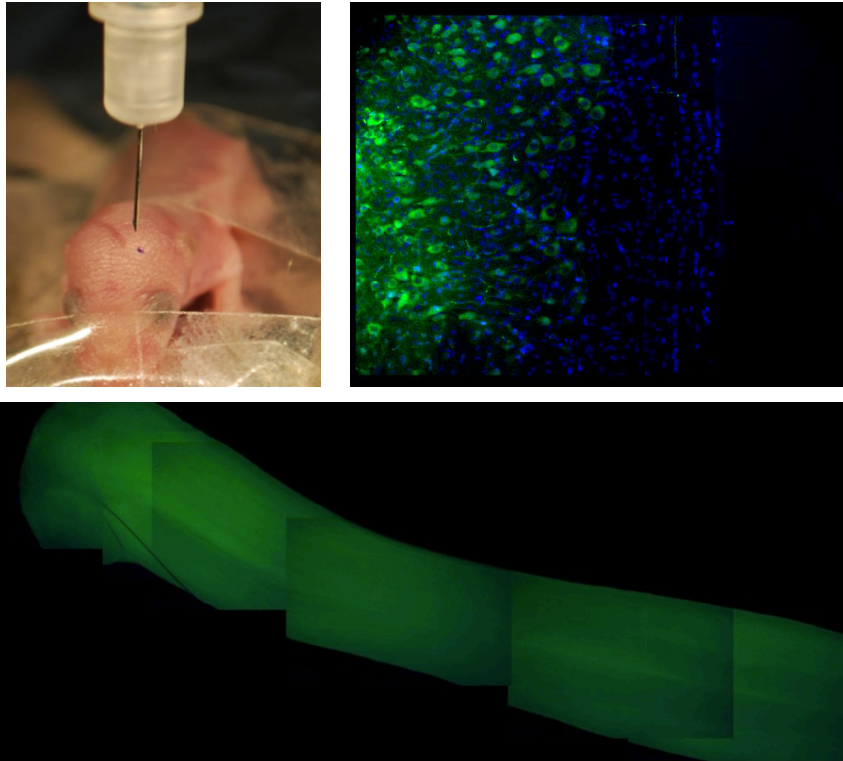
## **AAVs: Adeno-associated viruses**

- Small viruses. No diseases associated with AAV infections.
- AAVs causes minimal immune responses.
- Can infect dividing and non dividing cells.
- The vector is maintained on an extra-chromosomal state without integrating into the genome of the host cell.
- Can be produces on a large scale.
- Recent clinical trials in humans show safety properties and therapeutic benefits in Leber's Congenital Amaurosis

# AAV-XBP1s delivery protects against ALS

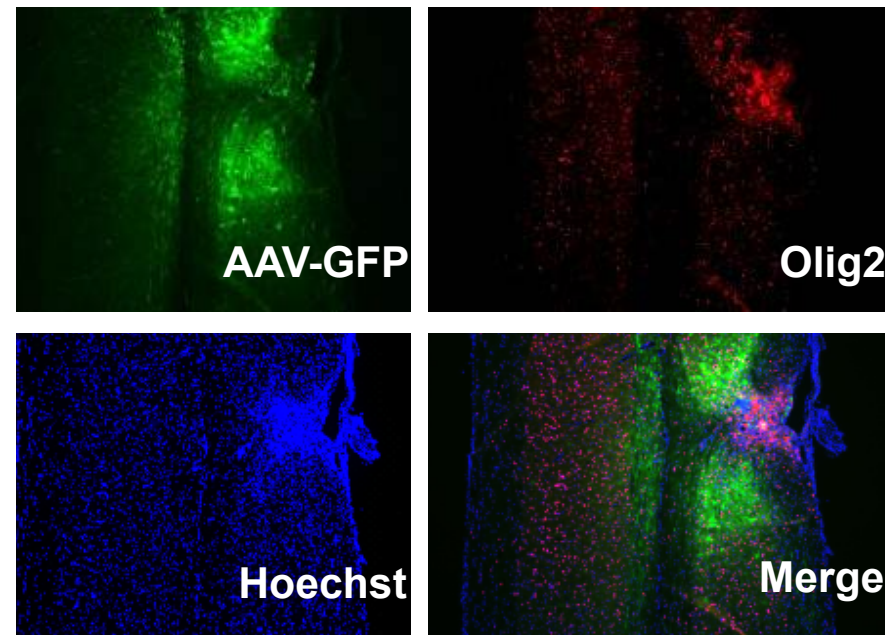
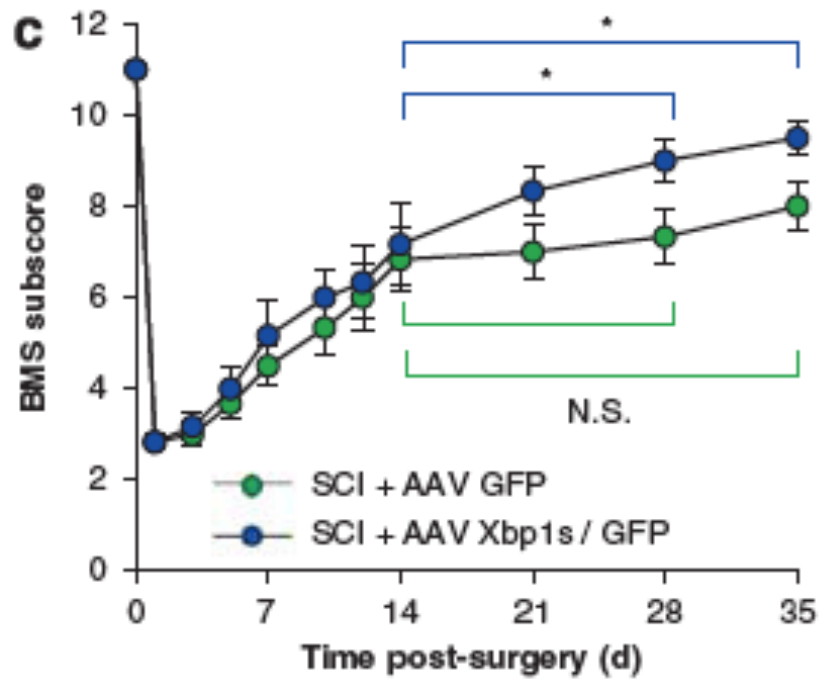
*In progress...*

SOD1<sup>G86R</sup> Tg mice

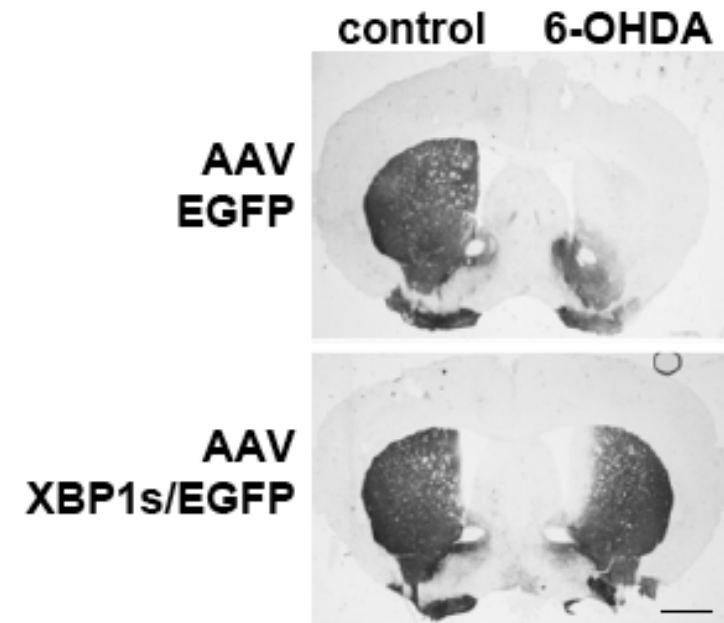
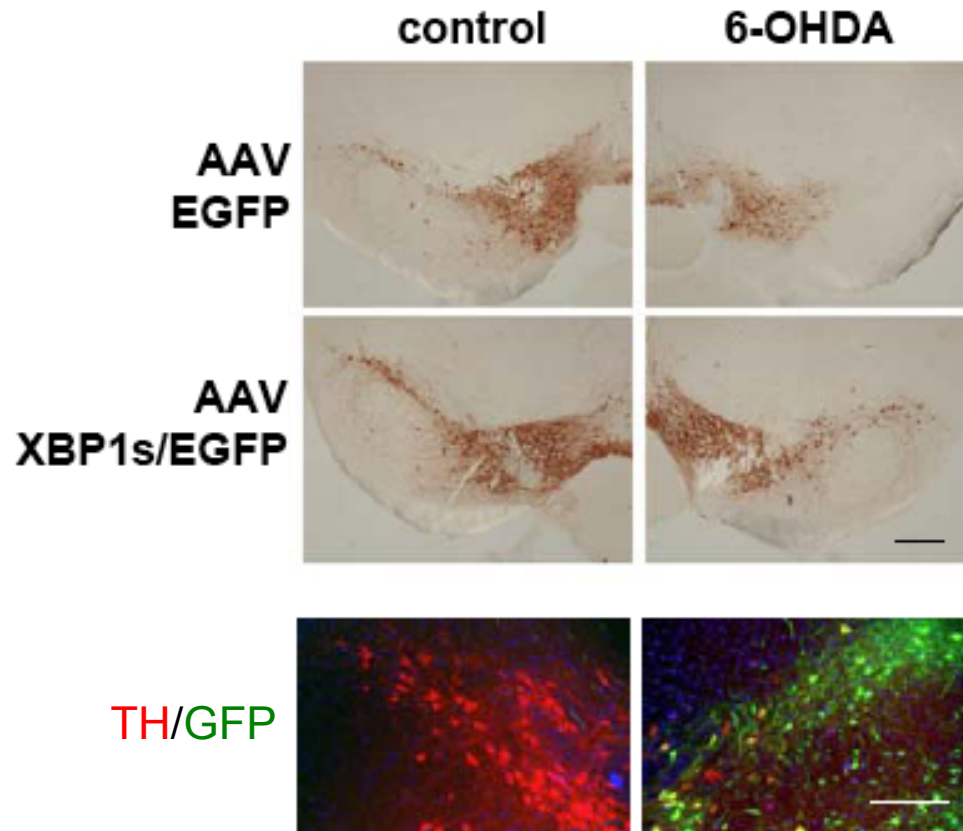


N=10 + SOD1<sup>G86R</sup> + AAV GFP  
N=9 + SOD1<sup>G86R</sup> + AAV Xbp1s/GFP

# Gene-therapy in Spinal cord injury

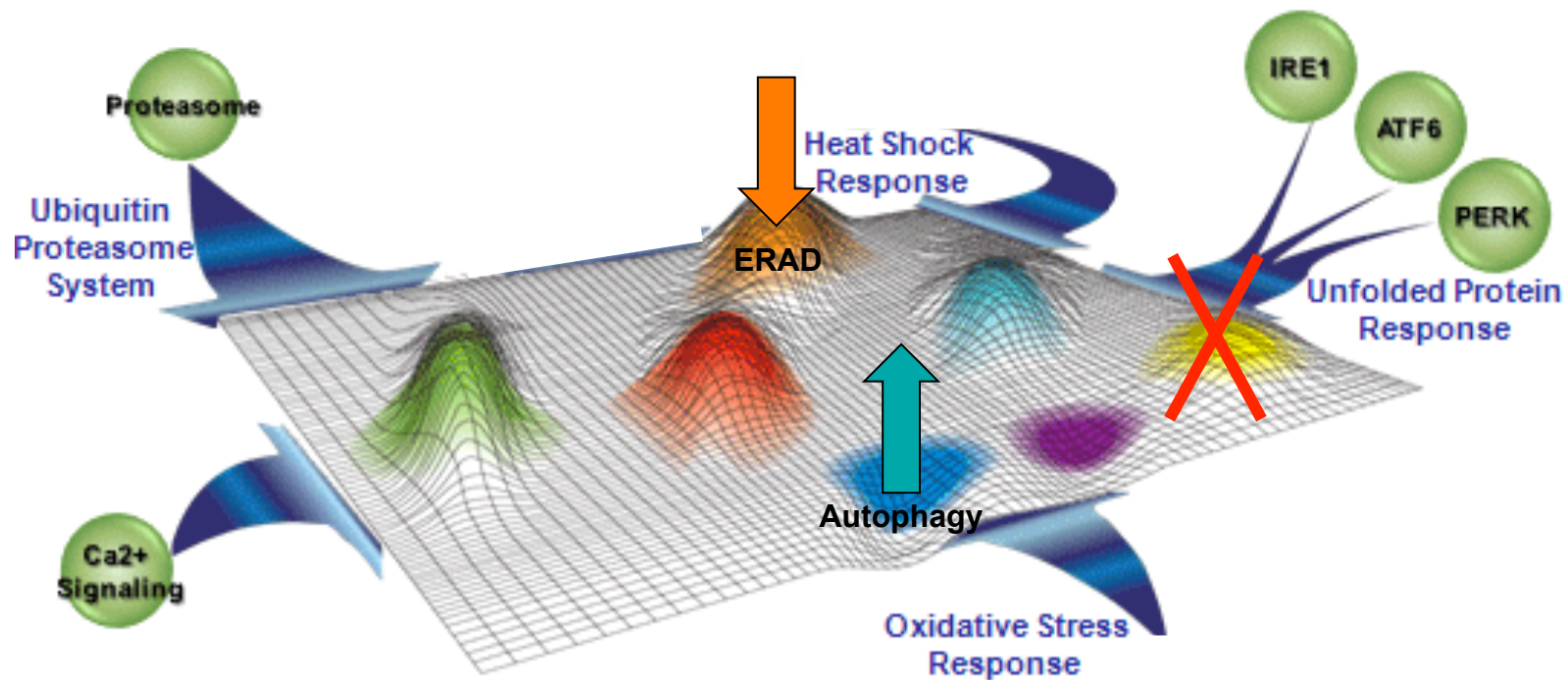


# AAV-XBP1s delivery protects against Parkinson



Valdez, Mercado et al (2014). *PNAS*

# Shifting the *protein homeostasis* network to protect against protein misfolding



Transcription/Translation

Foldase

Degradation

Autophagy

Trafficking

Disaggregation

Aggregation



# Therapeutic strategies to target ER stress

Table 1 | **Examples of therapeutic effects of targeting the UPR in disease models in vivo**

Organ	Disease	Agent	Effects	Refs
<i>Pharmacological approaches</i>				
CNS	Amyotrophic lateral sclerosis	Salubrinal	Extends lifespan of mutant <i>SOD1</i> transgenic mice	147
	Parkinson's disease	Salubrinal	Extends lifespan and increases neuronal survival of $\alpha$ -synuclein transgenic mice	146
	Ischaemia	BIX	Reduces infarct volume	161,162
	Excitotoxicity	Salubrinal	Improves neuronal survival	145
	Parkinson's disease	Tunicamycin	Protects neurons against 6-hydroxydopamine-induced toxicity	167
<i>Gene therapy</i>				
CNS	Retinitis pigmentosa	AAV-BiP	Restores visual function in mutant rhodopsin transgenic rats	169
	Retinal degeneration	AAV-XBP1s	Reduces axonal degeneration	170
	Glaucoma	AAV-XBP1s	Increases neuronal survival	170
	Spinal cord injury	AAV-XBP1s	Improves locomotor recovery	58
	Huntington's disease	AAV-XBP1s	Reduces aggregation of mutant huntingtin	171
	Parkinson's disease	AAV-BiP	Reduces toxicity and aggregation of $\alpha$ -synuclein	172
	Parkinson's disease	AV-XBP1s	Protects neurons against MPTP-induced toxicity	173
	Prion-related disorder	LV-GADD34	Reduces neuronal degeneration	60
Heart	Ischaemia	AV-PDIA1	Improves survival of myocardial cells	174
Liver	Diabetes	AAV-XBP1s	Improves glucose metabolism and insulin resistance	78
	Obesity	AAV-BiP	Reduces liver steatosis in obese mice	175

# Hetz·Lab

Laboratory of Cellular Stress and Biomedicine



[www.hetzlab.cl](http://www.hetzlab.cl)

# Distinct contribution of the UPR to brain diseases

Table 2 | Functional impact of distinct UPR signalling components in brain diseases

Disease	Model	UPR manipulation	Phenotype	Refs
Amyotrophic lateral sclerosis	Mutant SOD1 Tg mice	PERK heterozygous	Disease exacerbation, increased SOD1 aggregation	40
		Salubrinal	Extended lifespan	39
		ATF4 knockout	Partial embryonic lethality, protection against disease progression	41
		> XBP1 CNS-specific knockout	Neuroprotection, extended lifespan, decreased SOD1 aggregation	46
Parkinson's disease	α-synuclein Tg mice	Salubrinal	Neuroprotection	36
	Neurotoxins	AV XBP1s	Increased dopaminergic neuron survival	209
		ATF6 knockout	Increased neurodegeneration	33,34
		CHOP knockout	Neuroprotection	38
		AAV BiP	Dopaminergic neuron survival, decreased α-synuclein aggregation	35
Huntington's disease	Mutant HTT Tg mice	ATF4 knockout	No effects on mutant HTT aggregation	47
		> XBP1 CNS-specific knockout	Neuroprotection, improved motor performance, reduced HTT levels	47
		AAV XBP1s	Decreased mutant HTT aggregation	210
Prion-related diseases	Scrapie prion	Salubrinal	Disease exacerbation	55
		> XBP1 CNS-specific knockout	No effects on disease progression or prion replication	53
		Caspase 12 knockout	No effect on disease progression or prion replication	54
		LV GADD34	Global neuroprotection	55
		PERK inhibitor	Reduced neurodegeneration, delayed disease progression	56
Spinal cord injury	Mechanical injury	Salubrinal	Improved motor recovery and oligodendrocyte survival	67,211
		ATF4 knockout	Reduced motor recovery, increased oligodendrocyte apoptosis	66
		> XBP1 CNS-specific knockout	Reduced locomotor recovery	66
		CHOP knockout	Increased locomotor recovery and oligodendrocyte survival	68,69
		AAV XBP1s	Improved motor recovery and oligodendrocyte survival	66
Alzheimer's disease	APP/PS1 Tg mice	JNK3 knockout	Reduced amyloid-β, neuronal loss and cognitive dysfunction	59
		PERK CNS-specific knockout	Improved learning, memory and LTP	61