



## Critical review on biofilm methods

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







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## Critical review on biofilm methods

Joana Azeredo<sup>a</sup> , Nuno F. Azevedo<sup>b</sup> , Romain Briandet<sup>c</sup> , Nuno Cerca<sup>a</sup> , Tom Coenye<sup>d</sup> , Ana Rita Costa<sup>a</sup> , Mickaël Desvaux<sup>e</sup> , Giovanni Di Bonaventura<sup>f</sup> , Michel Hébraud<sup>e</sup> , Zoran Jaglic<sup>g</sup> , Miroslava Kačániová<sup>h</sup> , Susanne Knøchel<sup>i</sup> , Anália Lourenço<sup>j</sup> , Filipe Mergulhão<sup>b</sup> , Rikke Louise Meyer<sup>k</sup> , George Nychas<sup>l</sup> , Manuel Simões<sup>b</sup> , Odile Tresse<sup>m</sup>  and Claus Sternberg<sup>n</sup> 

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### ABSTRACT

Biofilms are widespread in nature and constitute an important strategy implemented by microorganisms to survive in sometimes harsh environmental conditions. They can be beneficial or have a negative impact particularly when formed in industrial settings or on medical devices. As such, research into the formation and elimination of biofilms is important for many disciplines. Several new methodologies have been recently developed for, or adapted to, biofilm studies that have contributed to deeper knowledge on biofilm physiology, structure and composition. In this review, traditional and cutting-edge methods to study biofilm biomass, viability, structure, composition and physiology are addressed. Moreover, as there is a lack of consensus among the diversity of techniques used to grow and study biofilms. This review intends to remedy this, by giving a critical perspective, highlighting the advantages and limitations of several methods. Accordingly, this review aims at helping scientists in finding the most appropriate and up-to-date methods to study their biofilms.

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## Introduction

Microbial biofilms are commonly defined as sessile microbial consortia established in a three-dimensional structure and consist of multicellular communities composed of prokaryotic and/or eukaryotic cells embedded in a matrix composed, at least partially, of material synthesized by the microbial community (Costerton et al., 1999). Biofilm formation is a multistage process that starts with microbial adhesion with a subsequent production and accumulation of an extracellular matrix composed by one or more polymeric substances such as proteins, polysaccharides, humic substances, extracellular DNA and sometimes other molecules such as those

involved in cell-to-cell communication (Flemming & Wingender, 2010).

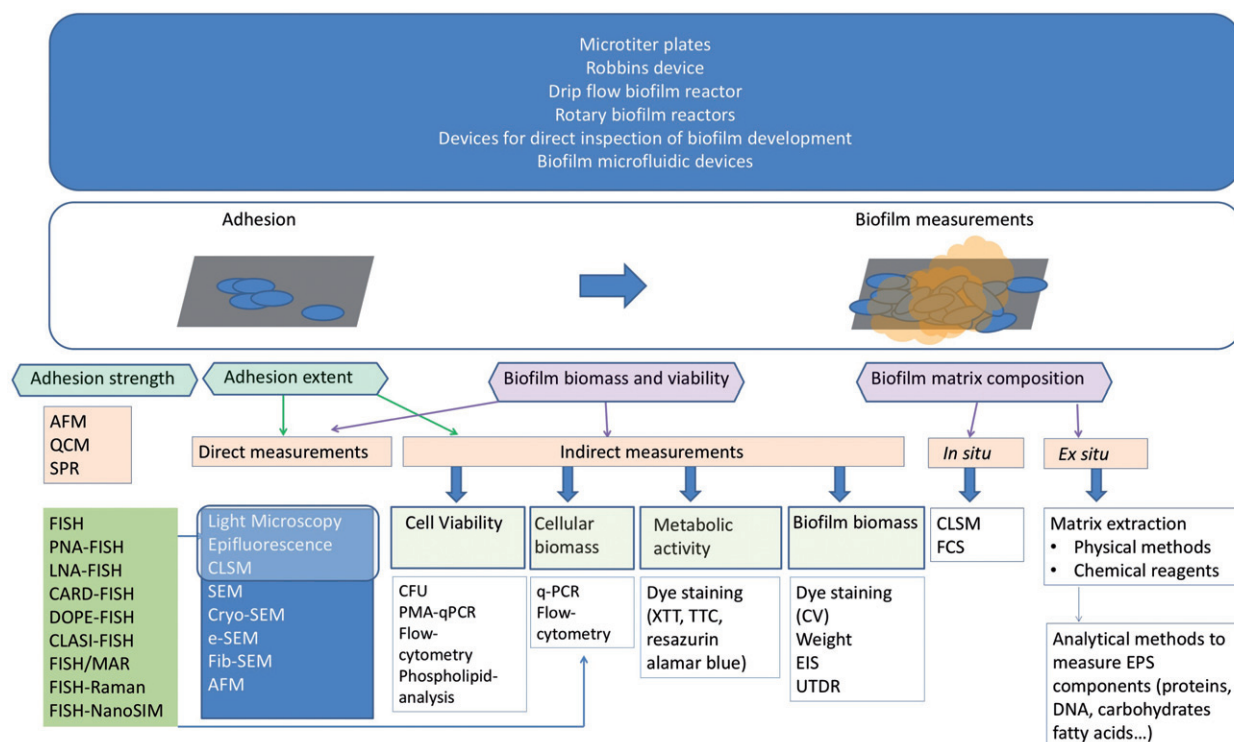
Biofilm science and technology has been an active field of study since the late seventies when the first definition of biofilms was brought to public attention by Bill Costerton and coworkers in 1978 (Costerton et al., 1978). Today, it is well established that the majority of microbes found in nature exist attached to surfaces within a structured biofilm ecosystem and not as free-floating organisms. The perception of biofilms has changed considerably during the last four decades as a consequence of the technology development and adaptation to biofilm science, including new imaging

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 Supplemental data for this article can be accessed [here](#).

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**Figure 1.** Overview of methods to grow and characterize biofilms, which includes different biofilm devices, methods to assess adhesion extent and strength, and techniques to measure biofilm biomass, viability and matrix composition. © Joana Azeredo.

technologies, biochemical methods and molecular ecosystem biology tools. It is now possible to get an overall view of the 3-D biofilm structure and a more detailed knowledge of the structure down to the nano-scale level (Neu & Lawrence, 2015).

Simultaneously, it is also now conceivable to obtain a deeper understanding of the physiology of the biofilm cells, the genotypic and phenotypic variation among the biofilm community, as well as the biofilm metabolome, proteome and transcriptome (Raes & Bork, 2008). The same way, biofilm technology also evolved towards the development of biofilm devices that better mimic real environmental conditions.

A deeper knowledge of the biofilm as a whole and at a single cell level and how it interplays with the surrounding environment will aid the development of efficient methods to control deleterious biofilms (clinical biofilms, food contaminants, biofouling on industrial equipment and on ship hulls) or to enhance and modulate beneficial ones (for waste-water treatment, bioremediation, production of electricity and bio-filtration). This requires a multidisciplinary approach assisted by adequate methods. This article comprises a comprehensive and critical review on several biofilm methods (summarized in Figure 1), aiming at guiding scientists into the most appropriate and cutting edge techniques for a better understanding of biofilms.

## Biofilm formation devices

Choosing the experimental platform for biofilm experiments determines what kind of data can be extracted, and care must be taken to ensure that the selected platform will fulfill the requirements of the experiments. All platforms have advantages and limitations, which will be highlighted here and summarized in Table 1.

### Microtiter plates

Biofilm formation in microtiter plates is certainly the most commonly used method (Figure 2). Originally developed by Madilyn Fletcher to investigate bacteria attachment (Fletcher, 1977), it further proved to be compatible with the study of sessile development (O'Toole & Kolter, 1998). In the classical procedure, bacterial cells are grown in the wells of a polystyrene microtiter plate (Djordjevic et al., 2002). At different time points, the wells are emptied and washed to remove planktonic cells before staining the biomass attached to the surface of the wells. Biofilm biomass can alternatively be quantified by detachment and subsequent plating.

In the microtiter plate assay, the biofilm biomass is assessed by measuring all attached biomass. However,

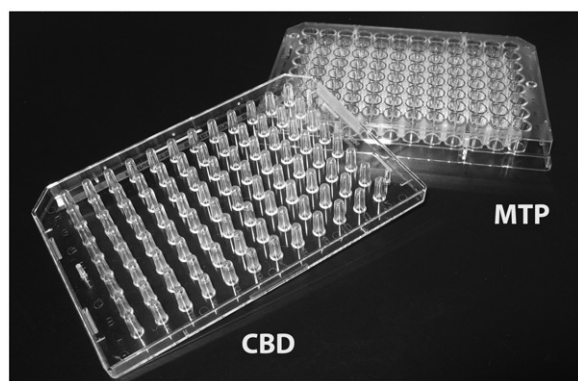
**Table 1.** Biofilm cultivation devices.

Device	Application	Advantages	Limitations
Microtiter plate	Screening for biofilm formation capacity Test of anti-biofilm compounds	High-Throughput Inexpensive No need for advanced equipment apart from plate reader Dedicated microscopic-grade microplates allow noninvasive imaging	Loosely attached biofilm may not be measured correctly (can be detached during washing steps) Sensitive to sedimentation End-point measurement Batch mode. Exhaustion of nutrients Direct inspection difficult Usually only short term experiments Possible interference with liquid-air pellicle Sometimes poor reproducibility Results person- or laboratory-dependent Assessment possible only at a sufficiently high cell density Not suitable for investigating early stages of biofilm formation
Calgary device	Screening for biofilm formation capacity Test for biofilm minimal inhibitory concentration (e.g. of antibiotics)	High-Throughput Possibility to change growth conditions Less sensitive to sedimentation No need for advanced equipment apart from plate reader	Each change of medium requires that pegs pass through the liquid-air interface Loosely attached biofilm may not be measured correctly (can be detached during washing steps) Direct inspection difficult End-point measurement Difficulty to collect individual pegs for enumeration Sonication may not remove firmly attached cells Usually only short term experiments Not suitable for investigating early stages of biofilm formation
The Biofilm Ring Test	Screening for biofilm formation capacity Test of antibiofilm molecules Antibiofilmogram	Rapid high-throughput Easy-handling method (no washing, fixation or staining steps) Well-designed to investigate early stage of biofilm formation Applicable to loosely attached biofilm highly reproducible, not person- or laboratory-dependent	Relatively expensive Requires specific magnetic device and scanner Not intended to investigate late stage of biofilm formation Not adapted for biofilms at the air-liquid interface Possible restriction due to the growth medium (e.g. some minimal or salt media spontaneously block the paramagnetic microbeads)
Robbins Device and Modified Robbins Device	Screening of biofilm supporting surfaces Suitable for in-line flow experiments (e.g. utility water systems)	Can run for very long periods without intervention Substratum coupons can be extracted or exchanged during the experiment	Low- to medium throughput Expensive Requires pumps or flow systems Does not allow <i>in situ</i> online inspection of biofilms Requires prior knowledge of the flow dynamics inside the device which can limit the operational range
Drip Flow Biofilm Reactor	Visualization and quantification of biofilm formation on coupons at low shear stress	Compatible with coupons of various geometry	Heterogeneity of biofilm development on the coupons
Rotary Biofilm Devices	Evaluation of the effect of material and shear stress on biofilm development	A variety of materials can be compared in similar nutritional and hydrodynamic conditions Constant shear stress field Shear stress and feed flow rate can be set independently Possible to apply high shear stress	Low number of microbial strains can be analyzed The geometry of the coupon is fixed and determined by the reactor design (coupon holder) Expensive
Flow Chamber	Suitable for growing smaller number of biofilms with continuous supply of fresh medium Can be used to study growth	Allows direct inspection Allows nondestructive observation of developing biofilms Optimized for on-line <i>in situ</i>	Low throughput Does not allow direct access to the biofilm cells Requires peristaltic pumps and

(continued)

**Table 1.** Continued

Device	Application	Advantages	Limitations
	physiology, response to stresses (e.g. antibiotics), flow conditions	microscopy Can be designed with glass or other substrata	other special equipment
Microfluidics	Can in some extent be used to mimic natural flow conditions, e.g. in the body or in other natural environments Can be designed for special purposes, e.g. mimicking air-liquid interfaces, provide <i>in situ</i> mixing of reagents, include customized measuring devices	Can be custom made for specific purposes Versatile Compatible with single cells analysis	Requires special equipment for manufacturing and running systems Can be expensive Operation can be tedious Clogging can occur due to small dimensions



**Figure 2.** The microtiter plate (MTP) system and the calgary biofilm device (CBD). © Claus Sternberg.

parts of the biomass may stem from cells sedimented to the bottom of the wells, and subsequently embedded by extracellular polymeric substances (EPS). This biomass is thus not solely formed as the result of a biofilm forming process. To overcome this artifact, the Calgary biofilm device was developed (Ceri et al., 1999). In the Calgary biofilm device, biofilm formation is assayed at the coverlid, composed of pegs that fit into the wells of the microtiter plate containing the growth medium and bacteria (Figure 1). The biofilm formed on the pegs does not result from cell sedimentation but only from sessile development. In this system, biomass quantitation generally involves bacterial cell recovery from the pegs by sonication, which has some limitations. First, only a fraction of the sessile community can be suspended by sonication, typically between 5% and 90% of the community (Edmonds et al., 2009; Muller et al., 2011). Second, the physiological properties of the detached population may not reflect the physiology of sessile cells, as different populations could exhibit different adhesive and detachment properties on the material (Grand et al., 2011).

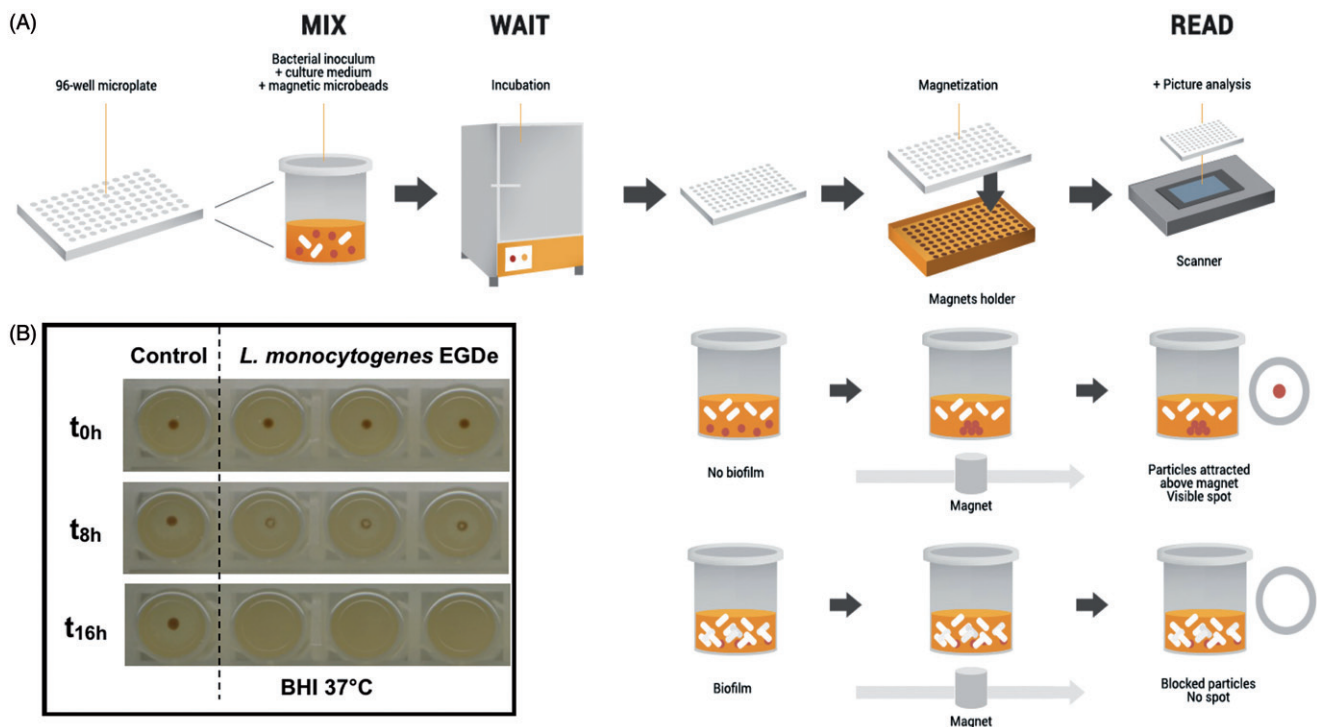
In both microtiter tray based assays, the pegs or wells can further be coated with different molecules to investigate or promote biofilm formation to different biotic and abiotic supports. However, none allows

biofilm formation to be easily followed by direct observation with microscopy.

A method devised specifically for investigating early stages of biofilm development is the Biofilm Ring Test (Chavant et al., 2007), based on the capacity of bacteria to immobilize microbeads when forming a biofilm at the surface. A bacterial suspension is mixed with paramagnetic microbeads before being loaded into the wells of a microtiter plate. The microtiter plate is then incubated and direct measurements can be performed at different time points, without any staining and washing steps. The basis of the assay is the blockage of the beads by developing biofilm matrix – the more biofilm, the less the beads can move when a magnetic field is applied (Figure 3).

The Biofilm Ring Test requires no intervention on the mixture initially inoculated, such as fixation or staining procedures, avoiding all steps that typically generate some significant bias in the results between persons or laboratories. Like the Calgary biofilm device it was originally developed to rapidly screen antibiotics or biocides against sessile bacteria and thus determine the minimum biofilm eradication concentration (Olson et al., 2002), but just in a couple of hours. The Biofilm Ring Test was further developed to test the sensitivity to antibiotics of clinical isolates in biofilm as “the anti-biofilmogram”, which provides complementary and sometimes more relevant information compared to a classical antibiogram. The Biofilm Ring Test has also been extended to study the contribution of different molecular determinants to the mechanisms of biofilm formation by different bacterial species (Badel et al., 2008, 2011). Like the microtiter plate, the Biofilm Ring Test is sensitive to sedimentation due to gravity. However, since the Biofilm Ring Test is intended for use primarily in the early stages of biofilm formation, this problem may be of less importance.

Each of the different static devices described above present some advantages and disadvantages, which



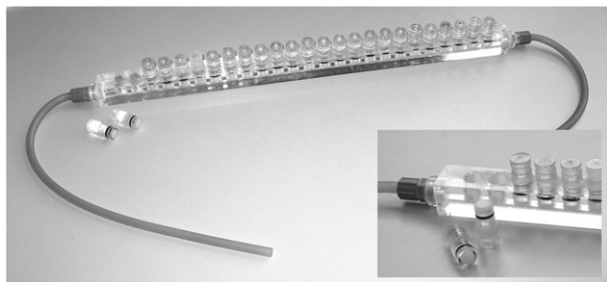
**Figure 3.** The Biofilm Ring Test protocol. The Biofilm Ring Test is based on the capacity of bacteria to immobilize microbeads when forming a biofilm at the surface. (A) A bacterial suspension is mixed with paramagnetic microbeads before being loaded into the wells of a microtiter plates. The microtiter plates is then incubated and direct measurements can be performed at different time points, without any staining and washing steps. First, the wells are covered with a contrast liquid, an inert opaque oil, allowing to read the microtiter plate with a plate reader specifically designed for the Biofilm Ring Test. Then, the microtiter plate is placed for 1 min on a block consisting of individual magnets centered under the bottom of each well. Free (unblocked) paramagnetic microbeads are concentrated in the center of the bottom of the wells after magnet contact, forming a black ring, whereas those blocked by sessile cells remain in place. (B) Kinetic of biofilm formation on polystyrene microplates by *Listeria monocytogenes* EGDe with the Biofilm Ring Test after different incubation times. Control with only BHI medium and contrast liquid and assays after magnetization (second scan). Schematic in panel A courtesy of Thierry Bernardi, BioFilm Control SAS, Saint-Bauzire, France. © Thierry Bernardi. Reuse not permitted.

must be considered before using them together or separately. Compared to microtiter plates or the Calgary biofilm device, the Biofilm Ring Test is faster in providing data (generally within a couple of hours). The Biofilm Ring Test actually measures the blockage of microbeads at the early stage of biofilm formation (Nagant et al., 2010), but like the two other techniques, it does not provide information about structure or thickness of the mature biofilms. In that sense, the Biofilm Ring Test is mainly a technique to measure biofilm formation, whereas microtiter plates and the Calgary biofilm device are much more appropriate to provide information at later stages of biofilm formation and maturation (Renier et al., 2014). Using specialized microtiter plates it is possible to follow biofilm development using an inverted microscope, whereas this is not possible using standard microtiter plates. Microtiter plates can be used to follow biofilm formed at the air–liquid interface by assaying the biomass ring left on the wells but neither the Calgary biofilm device nor the Biofilm Ring Test are appropriate for such analyses. The

microtiter tray based techniques are all batch experiments prone to exhaustion of nutrients unless special actions are taken to replenish. While this may be appropriate for (large scale) screening purposes, other investigations may require specific hydrodynamic conditions, substratum composition or large quantity of biomass material that the microtiter tray based methods cannot provide. The choice of the device depends on the scientific question and a complete characterization of biofilm formation ability for a strain generally requires combining different approaches (Puig et al., 2014; Renier et al., 2014).

### **Robbins device**

The Robbins device is based on the design of Jim Robbins and Bill McCoy, and later patented in a revised version by the Shell Oil Company (Salanitro & Hokanson, 1990). It consists of a pipe with several threaded holes where coupons are mounted on the end of screws placed into the liquid stream (McCoy



**Figure 4.** The Modified Robbins Device. In the Modified Robbins Device (MRD) sample, coupons are inserted into the liquid stream. The coupons are mounted on small pistons that can be removed for inspection (see inset). The samples distal to the inlet will experience different nutritional environment than those proximal to the inlet, due to consumption. The Modified Robbins Device and the Robbins Device were originally designed for low nutrient (drinking water), high flow rate systems where this effect has less significance. © Claus Sternberg.

et al., 1981). The coupons are aligned parallel to the fluid flow and can be removed independently. The original Robbins device was used to monitor biofilm formation under different fluid velocities in a simulated drinking water facility (McCoy et al., 1981). This has subsequently been adapted for use in smaller scale laboratory experiments and has become an established model system for studying various aspects of biofilm formation under controlled conditions (Nickel et al., 1985). The modified Robbins device consisted of a square channel pipe with equally-spaced sampling ports attached to sampling plugs aligned with the inner surface, without disturbing the flow characteristics (Figure 4), a considerable advance over the original device. This device can operate under different hydrodynamic conditions, from laminar to turbulent flow conditions (Linton et al., 1999). The applications of the modified Robbins device are vast, from biomedical to industrial scenarios. Since the device is not designed to allow direct observation of the biofilm development, coupons must be removed for examination. This can introduce artifacts due to the handling of the samples. Additionally, the user must have prior knowledge of the flow dynamics inside the device in order to make sure that the flow is completely developed in the area where the coupons are located. Entry effects are common on these devices and therefore a stabilization length is required to allow direct comparison of the biofilm obtained in different coupons (Teodosio et al., 2013b). However, the versions of the Robbins device have the advantage that they can sustain continued biofilm growth for several weeks or more without interruption (Manz et al., 1993; Teodosio et al., 2011, 2012).



**Figure 5.** The Drip Flow Biofilm Reactor. Commercial version of the drip flow biofilm reactor, with four chambers each accommodating a microscope slide. © Bryan Warwood. Reuse not permitted.

### **Drip flow biofilm reactor**

The drip flow biofilm reactor was developed by Darla Goeres and colleagues from the Center for Biofilm Engineering, Montana State University (Goeres et al., 2009). The current drip flow biofilm reactor consists in a device with four parallel chambers with vented lids (Figure 5). Each chamber contains a coupon (e.g. a standard microscope slide) where the biofilm can form. The microbial growth medium or cell suspension enters in each chamber through a gauge needle inserted through the lid septum. During operation, the reactor tilts  $10^\circ$  from horizontal and the fluid passes through the length of coupons (Agostinho et al., 2011; Buckingham-Meyer et al., 2007; Stewart et al., 2001). The drip flow biofilm reactor has several advantages: small space needed, easy operation, simultaneous use of different surface materials and possibility to analyze samples noninvasively. Therefore, this reactor has been extensively used for different assays, e.g. to assess the effect of disinfection strategies and nanocomposites on biofilm control under low shear stress (Buckingham-Meyer et al., 2007; Sawant et al., 2013; Stewart et al., 2001), to mimic indwelling medical devices and evaluate antimicrobial properties (Ammons et al., 2011; Carlson et al., 2008). Limitations of this device include biofilm heterogeneity on the coupons associated with the device hydrodynamic and the low shear stress, low similarity with industrial conditions and the limited number of samples.

### **Rotary biofilm reactors**

There are three main types of rotary biofilm reactors including the rotary annular reactor, the rotary disk reactor and the concentric cylinder reactor.

The development of the rotary annular reactor is attributed to Kornegay and Andrews in 1968 and this reactor can also be called the rotatorque or the annular reactor (Kornegay & Andrews, 1968; Pavarina et al., 2011). The reactor is composed by a stationary outer cylinder and a rotating inner cylinder. A variable speed motor controls the rotation frequency of the internal cylinder so that a well-mixed liquid phase, turbulent flow and constant shear stress fields may be obtained (Lawrence et al., 2000). These reactors use retrievable coupon surfaces where the biofilms grow and enable a vast array of chemical and biochemical analyses as well as microscopy observation of the biofilms (Teodosio et al., 2013a). In the rotatorque reactor, coupons are fixed onto the static external cylinder whereas in most Annular Reactors, coupons are mounted onto the rotating inner cylinder. Coupons can be made from a variety of materials and during operation they are subjected to identical hydrodynamic conditions (Teodosio et al., 2013a).

The annular reactor has been successfully used to simulate biofilms that occur in drinking water systems, river ecosystems, hulls of ships and also to assess the effect of nutrient concentration, surface properties or the efficiency of antimicrobial agents in biofilm eradication (Pavarina et al., 2011). The rotatorque reactor has been used for instance to study gene expression, enzymatic activity and also to develop biofilm control strategies (Pavarina et al., 2011).

The rotary disk reactor contains a disk, which is designed to hold several coupons. This disk is attached to a magnet that provides adjustable rotational speed when the reactor is placed on top of a magnetic stirrer (Coenye & Nelis, 2010). The disk rotation creates a liquid surface shear across the coupons and as they are placed at the same radial distance they will experience a similar shear stress field. Thus, different shear stresses can be tested simultaneously by placing the coupons at different radial orbits. This reactor has been used to study biofilm resistance, to develop biofilm control strategies or to evaluate interspecies interactions in multispecies biofilms (Coenye & Nelis, 2010). It is also used in the ASTM standard methods E2196-12 and E2562-12 for quantification of *Pseudomonas aeruginosa* biofilms, in the version also known as the "CDC rotary biofilm reactor" (Figure 6), named after the Center for Disease Control in the USA (ASTM, 2012a, b).

The concentric cylinder reactor is composed of four cylindrical sections that can be rotated at variable speeds within four concentric chambers. This configuration allows for simultaneous testing of different shear stresses, which are dependent on the surface radius (Willcock et al., 2000). The reactor enables testing of the



**Figure 6.** The Center for Disease Control (CDC) biofilm reactor. The CDC Biofilm Reactor consists of eight (8) polypropylene coupon holders suspended from a UHMW-polyethylene ported lid. The coupon holders can accommodate three 1/2 inch (12.7 mm) diameter coupons each. The lid with coupon holders and coupons is mounted in a 1L glass vessel with side-arm discharge port. A liquid growth media is circulated through the vessel while mixing and shear is generated by a magnetic stir bar rotated by a magnetic stir plate. Image and description text courtesy of Bryan Warwood, BioSurface Technologies Corp, Bozeman, MT. © Bryan Warwood. Reuse not permitted.

same bacterial suspension in different hydrodynamic conditions but it can also be used to test different suspensions as each chamber of the concentric cylinder reactor contains independent feeding and sampling ports. Major limitations of this reactor are that only one surface can be tested per experiment and that the sampling process is difficult. This reactor has been used to study the effects of shear stress on biofilm formation by freshwater bacteria (Peterson et al., 2011).

The major advantages of these reactors are that the biofilms are formed in relatively constant shear stress fields and in the case of the rotary annular reactor and the rotary disk reactor different surfaces can be tested simultaneously. Thus, coupons can be made from different materials like PVC, steel, plastics, or can be coated with biologically relevant substrates or materials that are used in implants. Another important advantage is that the rotation frequency of the cylinders or the disk in the rotary disk reactor can be set independently of



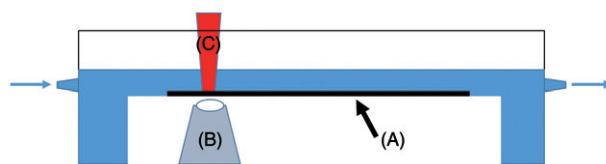
the feed flow rate. Thus, the dilution rate becomes independent of the shear stress and therefore both parameters can be adjusted separately (Teodosio et al., 2013a). The major weakness of these reactors is that the number of individual strains that can be analyzed simultaneously is low as only one per experiment in the rotary annular reactor and rotary disk reactor and up to four can be used in the concentric cylinder reactor. Another weakness it that due to the semi-open design, contamination problems are common, and these may be difficult to sort out. Thus, high-throughput analysis of large numbers of strains is not possible with these systems.

### Devices for direct inspection of biofilm development

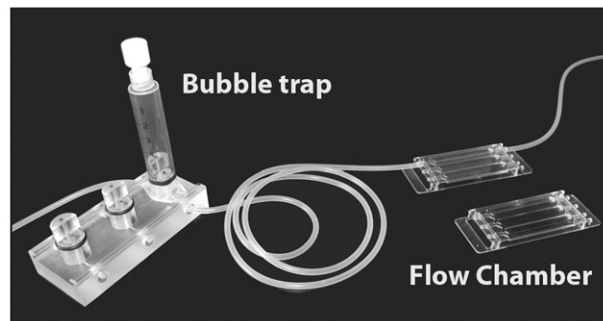
The Robbins device, the modified Robbins device and the drip flow reactors all have a common disadvantage: there is little opportunity to follow biofilm development on line and in real time. To record temporal changes in biofilm development, several devices have been designed to allow direct inspection of living biofilms, which may be divided into two general types, an open type and a closed type. In the first, the biofilm can be inspected by placing the sampling probe (e.g. microscope lens or microelectrode) directly in the liquid surrounding the biomass. In the other, the biofilm is encapsulated in a reactor (flow) chamber with an inspection glass or plastic window onto which the biofilm can develop. Then, the microscope lens can record images from the substratum side of the biofilm. In particular, the use of fluorescent gene fusions in combination with confocal microscopy makes flow chambers useful for *in situ* gene expression studies in live biofilms (Haagensen et al., 2007; Moller et al., 1998; Sternberg et al., 1999).

The open channel flat plate reactor represents the first type (Lewandowski et al., 2004). It consists of two liquid chambers connected by a beam (Figure 7). The liquid flows from one chamber, across the substratum and is collected in the other chamber. Fresh medium is added continuously and typically recycled several times before a fraction is purged. This system is quite versatile but allows only single experiments to be carried out at one time, and requires a large volume of medium. It has the clear advantage of allowing direct access to the biofilm for manipulation or sampling. At the same time this design potentially allows easy contamination of the system (Lewandowski & Beyenal, 2014; Lewandowski et al., 2004).

To allow for many parallel biofilm flow chambers a closed miniature design was created by Wolfaardt et al. (1994). Here a Plexiglas slab is milled with several 1 mm



**Figure 7.** Open Flat Channel Flow Chamber. The substratum (A) is placed at the bottom of the chamber where it is irrigated with media (which can be recirculated). The development can be followed using a microscope camera from the bottom (B). Samples can be taken from the biofilm from the top (C) Adapted from Lewandowski et al. (2004). © Claus Stern.



**Figure 8.** Flow chamber system. The setup consists of a bubble trap to capture small air bubbles in the medium and the flow chamber. In this example the flow chambers are molded in poly-ethylene. On top of the flow chambers, a microscope coverslip is attached using silicone glue. Only one channel is mounted with silicone tubing. For details see Tolker-Nielsen & Sternberg (2014). © Claus Sternberg.

deep channels, with connecting bores in each end. The flow chamber is sealed with a microscope cover glass, which is glued to the flow cell with silicone or similar glue. Media flows through the channels while microscopic examination can be performed on-line. Variations of this reactor are now available commercially (e.g. Ibidi GmbH, Martinsried, Germany) or on a non-profit basis from academic sources (Figure 8) (Bakker et al., 2003; Tolker-Nielsen & Sternberg, 2011; Zhao et al., 2014).

The flow chamber biofilm systems require considering of the flow conditions inside the channels. Depending on the geometry of the flow chamber and the flow rate, the flow may be laminar or turbulent, influencing the distribution of nutrients and dismissal of waste products, and ultimately biofilm structure (Lewandowski & Beyenal, 2014; Skolimowski et al., 2010; Stoodley et al., 1998).

Biofilms in closed flow channels are vulnerable to the passage of air bubbles, which might cause detachment of biofilm portions (Gomez-Suarez et al., 2001). Therefore systems to remove stray bubbles have been developed, such as bubble traps (Figure 8) (Christensen et al., 1999; Tolker-Nielsen & Sternberg, 2011) and a

simple approach consisting on placing the supply bottle above the chambers and passively draw medium in instead of pumping it (Crusz et al., 2012). In general, flow chamber systems have the advantage over the microtiter plate based systems that they easily allow on-line monitoring of dynamic, evolving systems. For most of these systems sampling of cells from the running systems is difficult if not impossible, except for the open channel flat plate type reactors.

### **Biofilm microfluidic devices**

Microfluidic devices present a promising platform for bacterial biofilm studies. They provide a closed system where bacterial biofilms can interact with hydrodynamic environments, and allow developing mathematical models that account for influences of these interactions and reveal the effects of hydrodynamic conditions on biofilm development (Janakiraman et al., 2009). Microfluidic channels may be designed to elucidate the combined effects of several influencing factors on biofilm formation (Lee et al., 2008). Stable flow conditions in microfluidic devices facilitate the generation of flow-free, steady gradients of arbitrary shape, allowing the study of bacterial chemotaxis (Long & Ford, 2009; Mao et al., 2003). Microfluidic devices can be fabricated from a range of materials using different methods, such as photolithography and wet etching methods (Madou, 2011). Fabrication typically consists of forming channels on the surface of a solid substrate, drilling or punching access holes into the substrate, and finally bonding it to another plate to seal the channels. Tubing or reservoirs can then be connected to the access holes, allowing solutions to be introduced. Currently, microfluidic devices are fabricated from glass (Iliescu et al., 2012), duroplastic or thermoplastic materials (Becker & Gartner, 2008), and from the flexible elastomer poly-dimethylsiloxane (PDMS) (McDonald et al., 2000). Due to its suitability to rapid prototyping, PDMS is one of the most commonly used materials for microfluidic systems. It is sometimes required that microchannel surfaces be modified to exhibit certain properties or functional groups, which can be achieved by techniques, such as organosilanes deposition (Glass et al., 2011; Pallandre et al., 2006). Several methods are also available for pumping solutions through microfluidic channels, but the most common are hydrodynamic and electroosmotic flow (EOF)-based pumping (Au et al., 2011).

Many detection methods have been developed for microfluidic devices. Off-chip detection with conventional methods is feasible if a suitable volume of sample can be collected. However, on-chip detection is normally desired to get a fully integrated device or to

observe *in situ* and *real time* effects. Optical detection methods are often employed (Meyer et al., 2011; Zhu et al., 2013) in particular fluorescence (Johnson & Landers, 2004). Many studies have applied microfluidic technology due to its remarkable potentials: small liquid volume control, confining cells and molecules in a spatial geometry, temperature control and precise gradient generation, enabling low cost, rapid and precise analysis.

Microfluidic devices (except for the above described flow chambers) are still not frequently employed. Currently only few commercial suppliers offer microfluidic biofilm devices, the most prominent being the BioFlux by Fluxion Systems (South San Francisco, CA). In this system, biofilm formation can be followed by light microscopy in microfluidic wells (Benoit et al., 2010). The Bioflux provides controlled conditions and the ability of including up to 24 replicates. In addition, applied media volumes are small (ca. 1 mL), making this system highly applicable for screening of biofilm inhibitory agents, antibodies or other compounds. A major drawback of the Bioflux system is the high running cost – one microtiter plate cost about 250 EUR at the time of writing (2016).

Custom-made microfluidic devices using PDMS techniques for manufacturing have been employed by several groups, e. g. systems where compartments are separated by a semi-diffusible membrane to allow the study of nutrient or signal molecules (Kim et al., 2012; Skolimowski et al., 2010, 2012), a system employing a micro-structured surface to study filamentous biofilm (steamer) formation (Hassanpourfard et al., 2014), a simple device for easy microscopic investigations of biofilms using reflection confocal microscopy (Yawata et al., 2010), a system to study the influence of shear stress due to changes in flow conditions (Salta et al., 2013), a system to allow the assessment of the effects of antibiotics in an on-line mixing system (Terry & Neethirajan, 2014), and a system to online study the development of biomass (biofilm thickness) (Meyer et al., 2011). The general usability of microfluidic techniques is, however, still limited by the difficulty of the methodology and the skills needed for successful employment.

## **Measurement of biofilms**

### **Biofilm biomass and viability**

Biofilm biomass and viability can be assessed by different methods that rely on microbiological and molecular methods, or on physical or chemical properties of the biofilm. Microscopy methods are also important tools

for assessing biofilm biomass properties as they allow describing biofilm spatial organization, their heterogeneities and links with the community functions in a more direct way. Table 2 summarizes the methods described below with their applications, advantages and limitations.

### **Microbiological and molecular methods**

The most widely used technique to estimate biofilm cell viability is the determination of colony forming units (CFU) on agar media. Based on the universal dilution series approach used to quantify cells, this technique is available in every microbiological laboratory. However, this method presents serious drawbacks and limitations (Li et al., 2014): (i) the fraction of detached live cells may not be representative of the initial biofilm population and (ii) a subpopulation of biofilm cells can be viable but non-culturable (VBNC) and would not be detected by the CFU approach. Alternatively, flow cytometry, coupled with a few possible fluorophores, has been used to quickly and accurately determine biofilms cell viability (Cerca et al., 2011; Oliveira et al., 2015). While definitively more expensive, flow cytometry resolves both limitations of CFU counting by allowing differentiating between total, dead and VBNC.

Quantification of biofilm viable organisms by quantitative polymerase chain reaction (qPCR) has been proposed as an alternative to culture. However, this approach can overestimate the number of viable cells due to the presence of free extracellular DNA (eDNA) (Klein et al., 2012) and DNA derived from dead cells. To avoid quantification of DNA not derived from living cells, samples can be treated with propidium monoazide (PMA) prior to DNA extraction (Alvarez et al., 2013; Kruger et al., 2014; Yasunaga et al., 2013). This molecule enters only membrane-compromised cells, intercalating between bases, and also interacts with eDNA (Nocker et al., 2007; Waring, 1965). The sample is then exposed to strong visible light, leading to a stable covalent bond of PMA with DNA. This modified DNA is lost during DNA extraction and will not be amplified during qPCR (Nocker et al., 2009). PMA-qPCR has been used to enumerate viable cells in biofilms (Chen & Chang, 2010; Pan & Breidt, 2007) and to quantify individual members in mixed-species biofilms. However, the technique has some drawbacks: (i) discrimination between viable and dead cells is only based on membrane integrity, so the effect of antimicrobials not affecting membrane integrity cannot be monitored (Nocker & Camper, 2009; Tavernier & Coenye, 2015); (ii) viable cells with only a slightly damaged cell membrane may not be accounted for (Strauber & Muller, 2010); (iii) the presence of a high

number of dead cells can affect viable cell quantification (Fittipaldi et al., 2012) and (iv) the presence of PMA-binding compounds in the sample can prevent efficient PMA–DNA binding (Taylor et al., 2014).

### **Physical methods**

Total biofilm biomass can be obtained from dry or wet weight measurements. Trulear and Characklis calculated biofilm biomass as a weight difference between the dried slide with biofilm and the cleaned dried slide before biofilm formation (Trulear & Characklis, 1982). The authors also calculated the volumetric biofilm density as a unit of dry biofilm mass per unit of wet volume. Using another approach to assess biofilm biomass, test surfaces with attached cells were vortexed and the released biofilm components were then filtered (Jackson et al., 2014). Biofilm biomass was expressed as a weight of a dried filter containing biofilm components against the weight of the sterile control filter. The latter method, however, can underestimate biofilm biomass because it does not remove the whole biofilm from the test surface, and small molecules can pass through the filter. This method presents several limitations related to time consumption and lack of sensitivity when detecting small changes in biofilm production.

Electrochemical impedance spectroscopy (ECIS) has been extensively used to study microbial electrochemical systems and can be used to indirectly assess biofilm biomass (Dominguez-Benetton et al., 2012). The principal of ECIS lies in the detection of changes in the diffusion coefficient of a redox solute, which is recorded as an electrochemical reaction measured on the electrode. The reaction of a redox solute (tracer) on the electrode depends on the local mass transfer coefficient, and tracer current reduces gradually with increasing biofilm thickness. ECIS has been improved by direct biofilm observation through the electrode using a digital camera (Cachet et al., 2001).

A physical method extensively used to measure biofilm thickness is based on ultrasonic time-domain reflectometry (Sim et al., 2013). Biofilm thickness is obtained from the difference between acoustic impedance measured on each side of the biofilm interface. Other physical techniques, such as X-ray computed tomography, nuclear magnetic resonance (NMR) imaging and small-angle X-ray/neutron scattering, can be used to study biofilm structures (Chen et al., 2004), providing information about size, shape and orientation of some components rather than biofilm thickness *per se*.

Biofilm thickness can also be indirectly estimated by evaluating the effect of biofilm on fluid transport properties. Biofilm formation increases fluid frictional and

**Table 2.** Biofilm biomass, viability and EPS measurements.

Method	Application	Advantages	Limitations
<i>Microbiological methods</i>			
Colony Formation Units (CFU)	Assesses culturability of microorganisms	Available in all microbiology labs Easy to perform	Only detects the culturable fraction of the biofilm population (dormant, viable but non-culturable cells are not detected) Limited to microorganisms that develop colonies on agar plates Underestimates the number of culturable cells due to microbial aggregation Time-consuming
<i>Molecular methods</i>			
Quantitative polymerase chain reaction (q-PCR)	Assesses total number of cells indirectly, based on the amplification of a targeted DNA fragment during the PCR	Fast method Enables quantification of different species within one sample	Expensive Overestimates the number of cells due to the presence of eDNA
PMA-qPCR	Assesses total number of viable cells by PCR with a prior treatment of the sample with propidium monoazide (PMA) that inactivates eDNA and the DNA of dead cells	Fast method Able to quantify only viable cells eDNA does not interfere with this method	Assesses viability only based on membrane cell integrity
<i>Physical methods</i>			
Weight	Indirect measurement of biofilm biomass by dry or wet weight	Very easy to perform, does not need expensive equipment	Time consuming Low sensitivity and accuracy
Electrochemical impedance spectroscopy	Indirect measurement of biofilm biomass based on an electrochemical reaction	Nondestructive method Real-time and <i>in situ</i> measurements	Difficult interpretation of data due to biofilm heterogeneities
Ultrasonic time-domain reflectometry	Indirect measurement of biofilm biomass and thickness through acoustic impedance	Nondestructive method Real-time and <i>in situ</i> measurements	The special heterogeneity of the biofilm difficult measurements Low sensitivity for thin biofilms
<i>Chemical methods</i>			
Microtiter plate dye-staining for total biomass	Indirect measurement of biofilm biomass by adsorption/desorption of a dye (crystal violet)	Versatility (applicable to a broad range of microorganisms) High-throughput Does not require removal of the biofilm if it has been formed in a microtiter plate	Lack of reproducibility Lack of sensitivity Overestimation or underestimation of biofilm biomass, depending on the washing step A standardized protocol is not available
Microtiter plate dye-staining for biomass metabolic activity	Indirect measurement of biofilm metabolic activity by chemical reduction of a dye	Versatility (applicable to a broad range of microorganisms) High-throughput Does not require removal of the biofilm if it has been formed in a microtiter plate	Low detection limit (>10 <sup>3</sup> –10 <sup>8</sup> CFU/biofilm) Difficult to use in polymicrobial biofilms (due to the different metabolic rates)
Phospholipid based biomass analysis	Indirect measurement of viable cells by the quantification of phospholipids	Versatile (applicable to a broad range of microorganisms) Can estimate viability because phospholipids are rapidly degraded in dead cells	Time consuming Low sensitivity Sensitive to background lipid contamination
<i>EPS measurements</i>			
EPS extraction	Analyze EPS composition	Deciphering sugar and protein composition of EPS	Intracellular content contaminations
Confocal Scanning Laser Microscopy + Fluorescence Lectin Binding Analysis (CLSM + FLBA)	Detect and analyze polysaccharide composition of EPS	Staining and detecting biofilm matrix. Deciphering sugar spatial distribution and composition of EPS	The cost of fluorescent probes could limit the screening. The specific binding of lectins to glycoconjugates is not fully understood
Anti-EPS component antibodies	Detect and localize specific EPS structure	Very high specificity To target a specific component of EPS	The cost of antibody production Threshold of the signal

heat transfer resistance (Trulear & Characklis, 1982). Using a two-component laser Doppler velocimeter, Schultz and Swain measured profiles of the mean and turbulence velocity components in a boundary layer

flow and reported dependence between biofilm thickness and skin friction coefficient (Schultz & Swain, 1999). Another method, the Combined Monitor for Direct and Indirect Measurement of Biofouling

(CMDIMB), monitored fluid transport properties in a heat exchanger unit (Eguia et al., 2008). This enabled biofilm characterization by mathematical calculation of frictional resistance and heat transfer resistance, i.e. the variables that indirectly defined biofouling (mass and thickness) deposited in the unit.

### **Chemical methods**

Chemical methods make use of dyes or fluorochromes that are able to bind to or adsorb onto biofilm components. They are indirect methods and can be used to measure specific biofilm components, such as those comprising EPS. Crystal Violet (CV) staining for biofilm quantification remains the most frequently used quantification technique in microtiter plate assays (Christensen et al., 1985; Fletcher, 1977). These assays stain both live and dead cells as well as some components present in the biofilm matrix, thereby being well suited to quantify total biofilm biomass (Pitts et al., 2003). It can be adapted for various biofilm formation assays but some modifications can influence results. The washing steps aim at removing the unattached cells and the unbound dye, but their stringency can result in detachment and removal of some sessile bacterial cells. The extent of cell detachment upon a passage of an air-bubble is highly dependent on the microbial surfaces, conditioning film and the velocity of air-bubble passage. Rinsing and dipping implicate the contact with a moving air-liquid interface, which leads to the detachment of an unpredictable number of adhering microorganisms (Gomez-Suarez et al., 2001). Therefore, removing or adding the solutions by hand or automatic/robot pipetting is far different from tapping the microtiter plate to discard the liquid or by running tap water to wash the wells. The washing procedure is thus not trivial but must consider the type of biofilm, strength of adherence and bacterial species. Stains other than CV, e.g. safranin, can be used to stain bacterial biomass (Christensen et al., 1982). Regarding release of the bound dye, concentrated ethanol is generally applied but, practically, acetic acid solution (33%) proved to be much quicker and efficient for this purpose (Stepanović et al., 2000). To limit extensive detachment of the sessile biomass, it is recommended to add a fixation step with absolute ethanol, methanol or heat fixation at 60 °C for 1 h which can be applied just before the dye staining step (Stepanovic et al., 2007). The fixation step will also enhance reproducibility of the assay. While being an indirect method for the estimation of the adhered biomass, the microtiter plate dye-staining method offers three main advantages: (i) versatility, since it can be used with a broad range of different

bacterial species, as well as eukaryotic cells such as yeasts or fungi (Reynolds & Fink, 2001); (ii) microorganisms do not need to be detached from the support as required for plate counts, avoiding biased estimate of the number of cells in the biofilm due to the VBNC state; and (iii) the high-throughput capability of the method, allowing testing of many different conditions simultaneously. Limitations include (i) bias of the estimate of sessile development capability of microorganisms forming loose biofilms, due to the washing steps; (ii) the assay correlates with any attached bacterial biomass, which under batch conditions can result both from sessile bacteria development at the surface and from sedimentation/adhesion of planktonic cells due to e.g. gravitation. Appropriate washing steps can remove sedimented non-attached cells limiting or eliminating this problem; (iii) lack of reproducibility (Arnold, 2008; Peeters et al., 2008); (iv) nonspecific nature of CV that does not allow species differentiation in poly-microbial communities; (v) absence of a standardized protocol, despite its widespread use, resulting in a broad variety of staining protocols (Stepanovic et al., 2007) that make comparison of results between studies difficult.

Colorimetric methods have also been used to assess cellular physiology in biofilms. The basic principle is the conversion by cellular metabolic activity of specific substrate into a colored product measurable with a spectrophotometer. Koban and coworkers reported the use of XTT (2,3-bis-(2-methoxy-4-nitro-5-sulfophenyl)-2H-tetrazolium-5-carboxanilide inner salt), a tetrazolium salt, that is cleaved by dehydrogenase enzymes of metabolic active cells in biofilms into strongly colored formazan (Koban et al., 2012; Ramage, 2016; Ramage et al., 2001). Sabaeifard et al. recently optimized another tetrazolium salt, TTC (2,3,5-triphenyl-2H-tetrazolium chloride) to quantify metabolic activity in biofilms (Sabaeifard et al., 2014).

Resazurin, also known as Alamar Blue, is a stable redox indicator that is reduced to resorufin by metabolically active cells (O'Brien et al., 2000; Pettit et al., 2005). This dye is being increasingly used to study microbial biofilms (Peeters et al., 2008; Tote et al., 2009; Van den Driessche et al., 2014), offering multiple advantages compared to the tetrazolium salt assays: (i) conversion of the blue non-fluorescent resazurin to the pink and highly fluorescent resorufin can be monitored visually, by spectrophotometry or spectrofluorometry (for increased sensitivity); (ii) is less time-consuming (Peeters et al., 2008); (iii) resazurin is not toxic to eukaryotic and prokaryotic cells; and (iv) is inexpensive (O'Brien et al., 2000). Overall there is a good correlation between results obtained with resazurin-based quantification and CFU counts (Pettit et al., 2009; Sandberg et al.,

2009; Van den Driessche et al., 2014) although relations should be established using calibration curves based on data obtained with biofilm (not planktonic) cells (Sandberg et al., 2009). One of the drawbacks of the method as originally described is the high lower limit of quantification (more than  $10^6$  to  $10^7$  CFU/biofilm to detect a signal higher than the background) (Sandberg et al., 2009). Recently, an alternative approach was proposed, in which fresh growth medium is added to the biofilm together with the resazurin (Van den Driessche et al., 2014), decreasing the lower limit of quantification to  $10^3$  CFU/biofilm; with this alternative approach the effect of anti-biofilm treatments can be quantified more accurately (Van den Driessche et al., 2014). A second drawback is that different microorganisms metabolize resazurin at a different rate, requiring different incubation times for biofilms formed by different species and making it difficult to apply this method to poly-microbial consortia (Peeters et al., 2008; Sandberg et al., 2009; Van den Driessche et al., 2014).

Colorimetric methods that quantify exopolysaccharides, total proteins and carbohydrates have been applied to quantify biofilm biomass (Dall & Herndon, 1989; Storey & Ashbolt, 2002; Wirtanen & Mattila-Sandholm, 1993). However, amounts of particular EPS components do not necessarily correlate with biofilm biomass. To avoid this, Pinkart and coworkers suggested the measurement of phospholipids, which are cellular components, as these are universally distributed and expressed at a relatively constant level among the microbial community and through the growth cycle (Pinkart et al., 2002). Nevertheless, phospholipids determination is limited by their recovery rate, the amount of background lipid contamination and the sensitivity of analytical equipment (Pinkart et al., 2002).

### Microscopy methods

Several imaging modalities have been used to detect biofilm biomass and cell viability. Here, we discuss several microscopy approaches, highlighting their advantages and disadvantages (Table 3).

Light microscopy remains a useful base-line technique to provide a visual identification of biofilm formation (Figure 9). Hematoxylin and eosin (H&E), periodic acid-Schiff (PAS), and Brown and Brenn Gram staining have been recently proposed as practical, cheap and reliable methods for detection of bacterial biofilms in different infection foci (Akiyama et al., 2003; Bulut et al., 2014; Davis et al., 2008; Hochstim et al., 2010; Oates et al., 2014; Toth et al., 2011; Winther et al., 2009; Zhang et al., 2009). The detection of biofilm by these practical and cost-effective staining methods has been used for a

quantitative assessment of biofilm biomass (Bakke et al., 2001; Bulut et al., 2014; de Carvalho & da Fonseca, 2007) and could have a significant prognostic value (Hong et al., 2014).

Light absorption by biofilms was found to correlate with biofilm cell mass and total biofilm mass. In a method described by de Carvalho and da Fonseca, the structure and the volume of biofilms were studied using an optical microscope, overcoming the need for expensive microscopes (de Carvalho & da Fonseca, 2007). This method is based on the linear relation between the intensity of a pixel in biofilm images grabbed on the *x-y* plane and the corresponding number of cells in the *z* direction, which allows the calculation of the biofilm thickness. Light microscopy is advantageous because it requires simple sample preparation, and is cheap and easy to perform. However, it has some inherent limitations: (i) the level of magnification and resolution necessary to determine inter-cellular and cellular-abiotic relationships; (ii) the saturation of pixel intensity, i.e. after achieving the maximum detectable intensity it will become impossible to discern any further difference in thickness; (iii) morphotypic differentiation is relatively gross and lacks discriminatory detail, especially in thicker specimens. On the other hand, because of its relatively low magnification, light microscopy enables the imaging of larger parts of a sample, compared to electron microscopy. For these reasons, correlative studies using light microscopy and Transmission Electron Microscopy (TEM) or Scanning Electron Microscopy (SEM) provided the best combination (Bulut et al., 2014; Richardson et al., 2009).

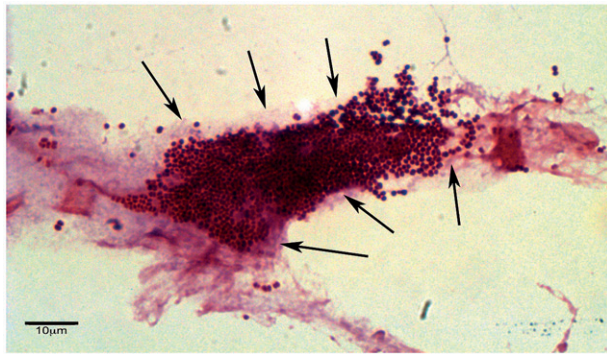
Confocal Laser Scanning Microscopy (CLSM) has emerged in the early 90s as the most versatile powerful microscopic technique to decipher biofilm spatial structure and associated functions (Lawrence et al., 1991; Neu & Lawrence, 2014b). In CLSM, out of focus fluorescent signals are eliminated, and the focal plane is collected with a resolution compatible with single cell visualization (Daddi Oubekka et al., 2012). Multi-acquisitions of such planes at different depths in the sample, combined with dedicated image analysis, make it possible to represent the 3-D architecture of the sample and to extract quantitative structural parameters such as the biofilm bio-volume, thickness and roughness (Bridier et al., 2010). It has been applied successfully in a wide range of biofilms (Guilbaud et al., 2015; Sun et al., 2015; Villacorte et al., 2015). Biofilm CLSM imaging can be performed with a range of fluorescent probes with unique specificities. The stains most widely used to label microbial cells in the biofilm are cell permeant nucleic acid dyes, e.g. SYTO-9 and SYBR-Green (Neu & Lawrence, 2014a, b). Specific microorganisms within a

**Table 3.** Microscopy techniques applied to the study of biofilms.

Microscopy technique	Application	Advantages	Limitations
Light microscopy	Visual identification of biofilm formation Quantitative assessment of biofilm biomass Useful combination with transmission electron microscopy or scanning electron microscopy	Simple sample preparation Cheap and easy to perform Imaging of larger parts of a sample compared to electron microscopy	Limited magnification and resolution Sample staining necessary Morphotypic differentiation relatively gross Lacking discriminatory detail
Confocal laser scanning microscopy	Biofilm visualization and quantification of structural parameters Biofilm spatial structure Spatial distribution of viable bacteria, localized cell death Antimicrobials effect on cell viability	Resolution compatible with single cell visualization Reconstruction of 3-D images of a sample No need for extensive computer processing	Use of fluorophores is required Limited number of reporter molecules (e.g. no universal matrix probes exist) Interference of local properties of the biofilm with the fluorescence probes Natural auto-fluorescence may hide signal of interest Tedious and time-consuming sample preparation Lacks vertical resolution Preparation processes (fixation, dehydration, and coating with a conductive material) can destroy sample structure or cause artifacts
Scanning electron microscopy	Study of the biofilm spatial structure Evaluation of the effects of exposure to antibiofilm drugs Biofilm formation kinetics assessment Qualitative support for findings from quantification methods (high correlation) Possible quantitative analysis using dedicated imaging software	Resolution higher than other imaging techniques (resolves surface details) Good depth of field Ability to image complex shapes Wide range of magnifications (20× to 30,000×)	Tedious and time-consuming sample preparation Lacks vertical resolution Preparation processes (fixation, dehydration, and coating with a conductive material) can destroy sample structure or cause artifacts
Cryo-SEM	Topography/structure of the glycoalyx Structural detail of the internal structure of the biofilm (freeze-fracture) Good for liquid, semi-liquid and beam sensitive samples	High resolution capability when compared to low-vacuum techniques Sample viewed in fully hydrated state Simpler and faster sample preparation than traditional SEM, allowing less sample destruction and artifacts	Lower resolution than conventional SEM Melting and cracking of the frozen surface of the sample at high magnifications due to the heat generated by the focused electron beam Highly expensive and specialized equipment
Environmental-SEM	Imaging of samples in their natural state Dynamic study of gas/liquid/solid interactions <i>in situ</i> and in real time (e.g. <i>in situ</i> observation of the highly hydrated glycoalyx)	Preservation of the biofilm's integrity in its natural state No pretreatment required Visualization of images at high magnification of hydrated and non-conductive living bacterial biofilms	Reduced resolution due to lack of conductivity in wet samples Sample damage caused by the focused electron beam at high magnification due to absence of metal coating
Focused ion beam-SEM	Exploitation of the subsurface structure of biofilms 3-D reconstructions Mainly used to study environmental biofilms	Not prone to relevant artifacts Highly precise cross-section of the sample Exploration the subsurface structure of the biofilm	A vacuum is generally required Possible decrease in resolution caused by ion beam damage
Atomic force microscopy	Quantitative biofilm analysis used to confirm findings obtained with other quantitative or imaging techniques Determination of adhesion forces between biofilm and substratum, as well as cohesive strength Biofilm topography <i>In situ</i> imaging	Nondestructive technique Works under ambient conditions, which minimizes pretreatments and artifacts even on liquid surfaces (enables <i>in situ</i> imaging) Same resolution along and perpendicular to the surface 3-D reconstruction Qualitative and quantitative assessment of living biofilms under physiological-like conditions	Inability to obtain a large area survey scan Sample damage or artifacts caused by tip shape and size (although generally considered negligible)

complex community can be localized using specific oligonucleotides employing fluorescent *in situ* hybridization (FISH) approaches or derived methods (see section below). With laboratory strains, it is also possible to genetically modify organisms to render them

auto-fluorescent, for example through the expression of the Green Fluorescent Protein (GFP) or a multicolor variant (Figure 10(A,B)) (Klausen et al., 2003b; Sanchez-Vizueté et al., 2015; Tolker-Nielsen & Sternberg, 2014). Although the genetic construction of the strains can be



**Figure 9.** Imaging methods for biofilms – Gram stain. Gram-stained section of wound tissue debridement samples collected from patients with chronic diabetic foot wounds. Presumptive bacterial microcolonies and biofilm matrix have been indicated by arrows (Oates et al., 2014). © Andrew McBain. Reuse not permitted.

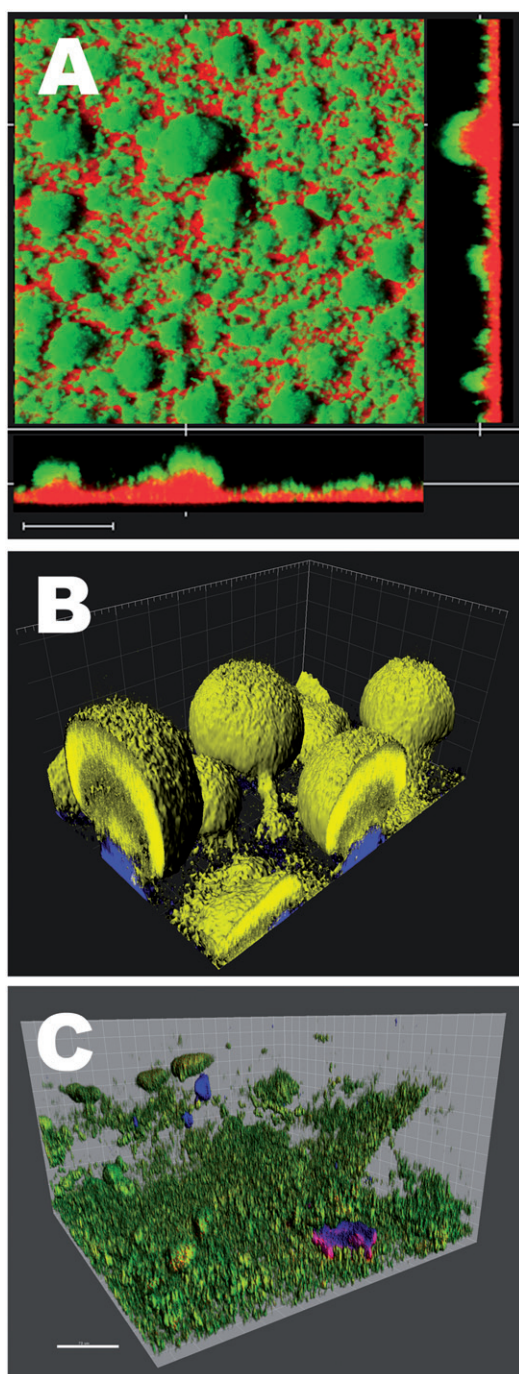
difficult and time consuming, this approach has the unique advantage to be compatible with real-time 4-D ( $x$ - $y$ - $z$ -time) biofilm imaging (Klausen et al., 2003a). In the context of multispecies biofilms, this has allowed the analysis of interspecies competition and interference in-between species (Bridier et al., 2014), and identifying key molecular determinants involved in biofilm formation (Klausen et al., 2003a; Sanchez-Vizueté et al., 2015). Limitations of biofilm analysis by CLSM include: (i) interference of local physico-chemical properties of the biofilm with fluorescence probes and (ii) natural auto-fluorescence of the sample hiding the signal of interest.

Another possibility offered by CLSM is the analysis of cellular death in a biofilm. Several fluorescent dyes are used in CLSM imaging, the live/dead mixture being one of the most popular. This procedure couples green SYTO-9 (cell permeant) and red propidium iodide (cell impermeant) so that bacteria with a compromised membrane appear yellow or red, while the live viable cells appear green. This labeling can be used for example to analyze the spatial distribution of viable bacteria (Hope et al., 2002), observe the existence of localized cell death in biofilms and their regulation (Asally et al., 2012; Ghosh et al., 2013; Guilbaud et al., 2015; Webb et al., 2003), and to assess the effect of several antimicrobials on cell viability (Bridier et al., 2012; Doroshenko et al., 2014; Marchal et al., 2011; Verma et al., 2010). However, CLSM imaging with live/dead staining should be carefully analyzed since differentiation between the red or green channels is often biased by the intensity of the lasers used. Of utmost importance, alive and dead control samples should be used in every experiment. Also, care should be taken when comparing different biofilms, since the laser penetration on biofilms with different depth can also result in

misinterpretation of live/dead data. To decipher the mechanisms of biocide tolerance associated with biofilm architecture, procedures compatible with real-time observation of their action on cell viability were developed (Bridier et al., 2011a, b; Corbin et al., 2011; Davison et al., 2010). Davison and his collaborators proposed an indirect labeling procedure to visualize the spatial and temporal patterns of biocide action against biofilms (Davison et al., 2010). This was however limited to Gram-positive bacteria, so Bridier *et al.* extended the procedure to Gram-negative bacteria using the Chemchrom V6 bioassay (Bridier et al., 2011a, b). Despite intrinsic limitations associated with the need to use fluorophores, CLSM still remains a method of choice for biofilm visualization and quantification.

Scanning electron microscopy is based on surface scattering and absorption of electrons. SEM micrographs have a large depth of field yielding a 3-D appearance, useful for understanding the surface structure of the sample, although lacking vertical resolution (Kotra et al., 2000). Accordingly, SEM has been a preferred method for visualizing biofilms (Figure 11(A)) since it provides information about the spatial structure and detects the presence of EPS (Hung et al., 2013; Rodrigues et al., 2013). It is an extremely useful tool for comparative analysis in biofilm research, especially when evaluating the anti-biofilm effects of a compound/treatment. SEM imaging has been generally performed to qualitatively support findings from other quantification methods showing a high correlation (Di Bonaventura et al., 2003, 2006; Hasan et al., 2015; Li et al., 2015; Orsinger-Jacobsen et al., 2013; Samaranayake et al., 2013; Van Laar et al., 2015). A quantitative SEM approach for both bacterial and fungal biofilms has been proposed by several authors (Bressan et al., 2014; Ceresa et al., 2015; Garcez et al., 2013; Li et al., 2015; Nishitani et al., 2015) where high resolution digital SEM images are acquired for a region-of-interest (ROI) analysis, and the biofilm area measured by dedicated imaging software. SEM has many advantages: (i) higher resolution of visualization (from 50 to 100 nm) and depth of field, compared to other imaging techniques (ii) measure and quantification of data in 3-D; and (iii) wide range of magnifications for the analysis of the biofilm sample ( $20\times$  to  $30,000\times$ ). SEM downsides arise from the tedious and time-consuming sample preparation process, involving fixation, dehydration and coating with a conductive material, which can destroy the structure of samples or cause artifacts (Hannig et al., 2010). Drying causes shrinkage of the biofilm due to the collapse of EPS (Alhede et al., 2012). Critical-point drying is the most frequently used method of drying biofilms for SEM, although resulting in a significant loss of





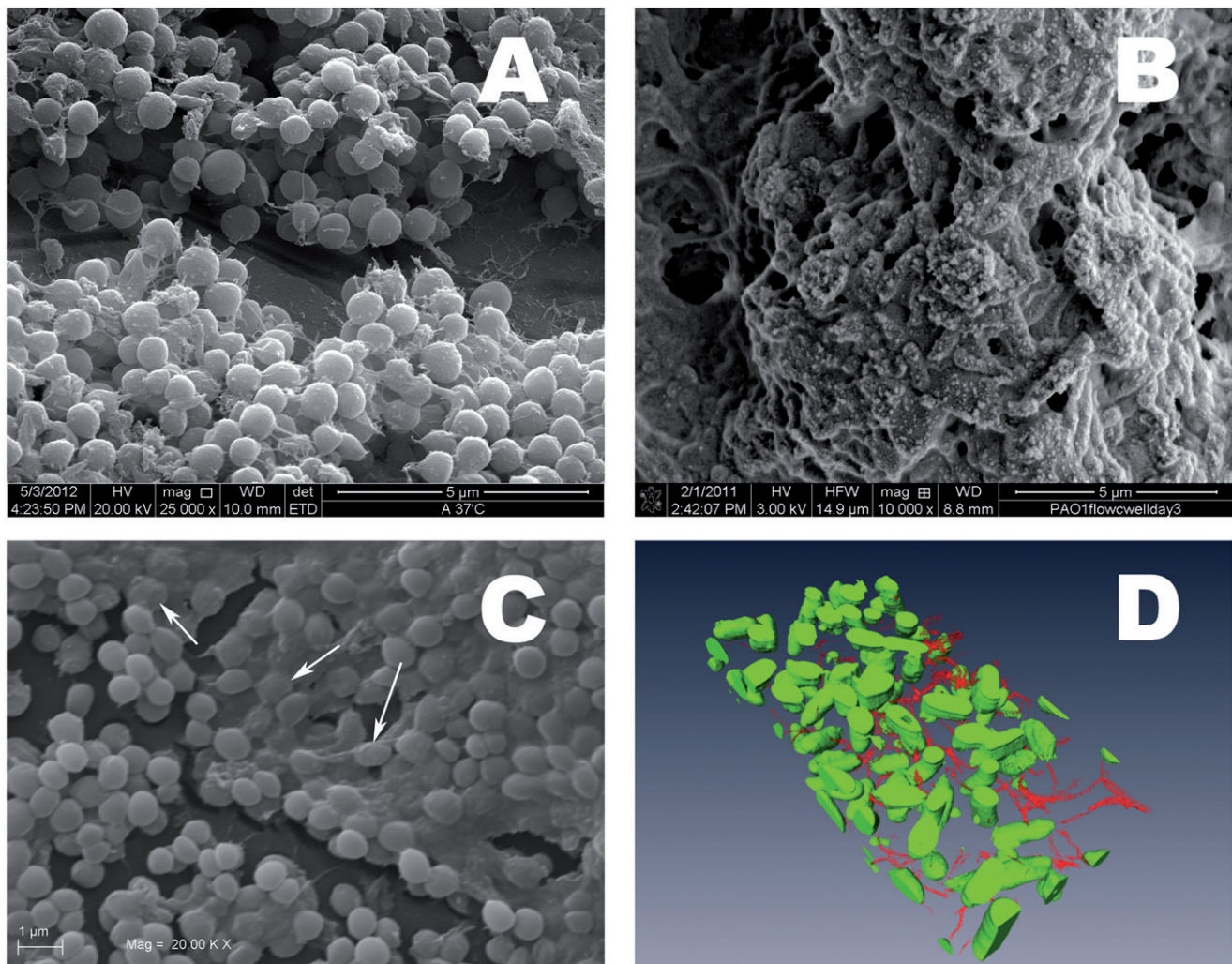
**Figure 10.** Imaging methods for biofilms – confocal microscopy. (A) *P. aeruginosa* biofilm treated with the antibiotic colistin. The cells express green fluorescent protein (Gfp) and are stained with propidium iodide which gives cells with a destroyed membrane potential red color. In this case, the red cells are corresponding to cells being killed by the antibiotic, whereas the green cells are alive. Bar: 30  $\mu\text{m}$ . For details see Haagensen et al. (2007). © Janus Haagensen. Reuse not permitted. (B) Confocal microscopy combined with image processing. *P. aeruginosa* PAO1 wild type cells (labeled yellow with YFP) are inoculated in a flow chamber together with an isogenic strain with a *piA* mutation (blue BFP labeled). After several days of growth, mushroom structures are formed where the blue non-motile forms the stalks and the yellow wild type cells move to the top of the mushroom structures.

The confocal image is treated in Imaris<sup>®</sup> software with surface rendering. Mushrooms are 80–100  $\mu\text{m}$  high. For details see Klausen et al. (2003b). © Mikkel Klausen. (C) Three-dimensional biofilm matrix structure of *C. jejuni* at 48 h using fluorescence lectin binding analysis (FLBA) and confocal laser scanning microscopy (CLSM) observations. Biofilm was stained simultaneously with fluorescent probes: Syto9 in green for cell detection and two fluorescently labeled lectins Concanavaline-A (ConA) in blue to detect  $\alpha$ -D-mannosyl and  $\alpha$ -D-glucosyl residues and wheat-germ agglutinin lectin (WGA) in red to detect N-acetylglucosamine (GlcNAc) residues. For details see Turonova et al. (2016) (see also video S1) © Hana Turoňová. Reuse not permitted.

EPS (Timp & Matsudaira, 2008). The use of sample lyophilization or hexamethyldisilazane is preferable because it is more conservative (Araujo et al., 2003; Di Bonaventura et al., 2008; Karcz et al., 2012). Finally, since SEM imaging requires a high vacuum, specimens must be solid with negligible outgassing. The limitations of SEM have resulted in alternative applications of SEM modalities and preparatory techniques in biofilm studies, such as cryo-SEM and environmental-SEM (ESEM).

**Cryo-SEM:** Cryo-fixation allows the preservation of biofilms in a frozen hydrated state, not requiring the preoperational steps of conventional SEM (Figure 11(B)). This makes sample preparation faster, enabling the investigation of “frozen in time” specimens (Bleck et al., 2010). Cryo-SEM also allows for freeze-fracture, where the frozen biological sample is physically broken apart to expose structural detail of the fracture plane, therefore exposing the internal structure of the biofilm that may reveal how the bacteria are interconnected. Deep etching of ultra-rapidly frozen samples permits visualization of the inner structure of cells and their components (Alhede et al., 2012; Karcz et al., 2012). However, Cryo-SEM has some disadvantages: (i) lower image resolution than conventional SEM, as incomplete sublimation of surface moisture may obscure surface details; (ii) melting and cracking of the frozen surface at high magnifications because of the heat generated by the focused electron beam (Alhede et al., 2012); (iii) requirement of highly expensive and specialized equipment, probably explaining its limited use in biofilm studies.

**ESEM,** unlike Cryo-SEM, retains the integrity of the biofilm in its natural state. Without any pretreatment, the sample is put into a variable pressure chamber, instead of the high vacuum chamber of a traditional SEM, enabling visualization of images at high magnification of hydrated and non-conductive living bacterial biofilms, not affected by dehydration artifacts and loss of mass (Figure 11(C)) (Alhede et al., 2012; Bridier et al.,

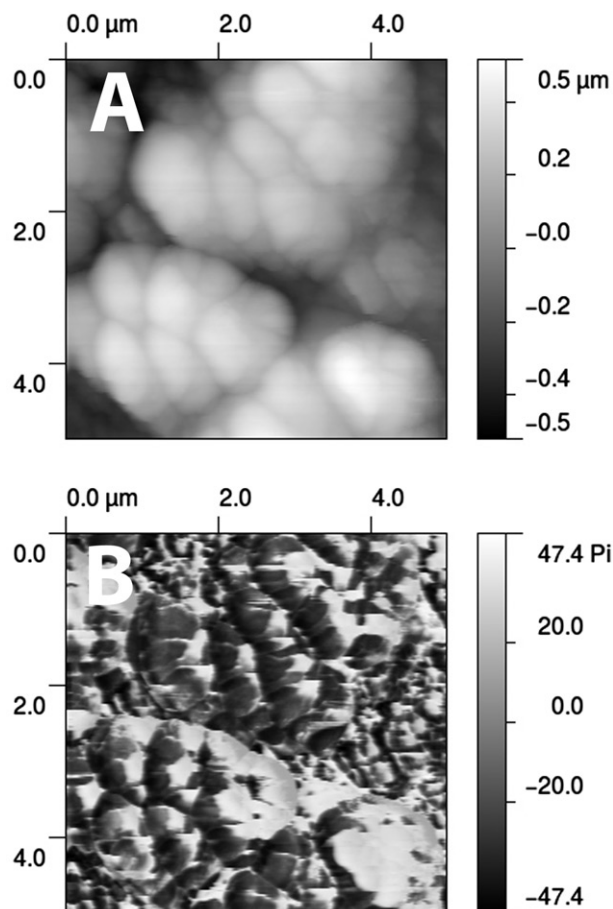


**Figure 11.** Scanning electron image (SEM) of biofilms. (A) Conventional SEM. *Staphylococcus aureus* biofilm grown on a stainless steel surface, at 38°C. © Pierluigi Aldo Di Ciccio. Reuse not permitted. (B) Cryo Scanning electron microscopy (Cryo-SEM). Cryo scanning electron micrographs of *P. aeruginosa* (Alhede et al., 2012). © Morten Alhede and Thomas Bjarnsholt. Reuse not permitted. (C) Environmental SEM (ESEM). Biofilm formed by *Staphylococcus pseudintermedius* onto polystyrene following three-day incubation. Biofilm exhibits multilayered organization, with the presence of bacteria linked and/or covered by abundant EPS matrix (as indicated by arrows). Magnification:  $\times 20,000$ . (Pompilio et al., 2015). © Giovanni Di Bonaventura. Reuse not permitted. (D) Focused Ion Beam SEM (FIB-SEM) reconstruction of a three-day-old *P. aeruginosa* biofilm. The reconstruction is based on imaging of successive slices removed by the ion beam followed by 3-D reconstruction of the biofilm. Matrix components marked red (Alhede et al., 2012). © Morten Alhede and Thomas Bjarnsholt. Reuse not permitted.

2013; Delatolla et al., 2009; Hannig et al., 2010; Karcz et al., 2012; Pompilio et al., 2015). However, reduced resolution can occur because of the lack of conductivity in the wet sample, or when a rapid image capture is required for samples moving or changing their structure during examination. Another inherent limitation is sample damage due to a focused electron beam at high magnification (10,000 $\times$  and more), owing to the absence of metal coating (Alhede et al., 2012; Muscariello et al., 2005).

Focused Ion Beam-SEM (FIB-SEM) is a novel and more sophisticated tool for the exploration of the sub-surface structure of biofilms (Figure 11(D)). A standard SEM viewing is coupled with FIB milling to, similarly to

CLSM, obtain 3-D reconstructions by a process termed “slice and view”. To this, FIB mills away 10-nm thick sections of the sample surface to a specified height, depth and width. The image slices obtained in succession are then stacked by a software to reconstruct the 3-D volume (Alhede et al., 2012). Highly precise in producing a cross-section of the sample and not prone to relevant artifacts, FIB-SEM has been mainly used for studying environmental biofilms, allowing new insights on cell-to-cell and cell-to-EPS connections within the sessile communities (Wallace et al., 2011). Limitations of FIB-SEM include the probability of needing a vacuum, and the possible decrease in resolution caused by ion beam damage.



**Figure 12.** Biofilm of *S. aureus* grown on hydrofluoric acid etched glass slides. (A) Height image recorded in tapping mode. (B) Phase mode image of the same region which maps the elasticity of the region (darker signifying smaller phase difference and hence a more elastic response from the “tap”). © Alokmay Datta. Reuse not permitted.

Atomic force microscopy (AFM) is an emerging and powerful technique for imaging biological samples at the nanometer to micrometer scale under nondestructive conditions. The basic principle is to raster scan a sharp tip over the surface of interest while measuring the interaction between the sample and the probe tip, which is on the end of a flexible cantilever. If an attracting force is sensed, the cantilever bends and the force is gauged by measuring the deflection of the cantilever using a laser beam and photodiode (Dufrene, 2002; Lower, 2011). So, AFM has recently and rapidly evolved into a tool for quantifying the adhesion force between living cells, cells and surface, and even single molecules (Baro & Reifengerger, 2012; Beaussart et al., 2014). First used by Bremer et al. to visualize biofilms (Bremer et al., 1992), AFM has been mainly applied to gain valuable insights in biofilm structure and mechanisms underlying adhesion, as well as single- and multi-strain biofilm formation (Figure 12) (Boyd et al., 2014; Cabral et al., 2014; Lim et al., 2011; Ovchinnikova et al., 2013; Potthoff

et al., 2015). It has also proven useful for quantitative biofilm analysis, especially to confirm findings obtained by quantitative (viable count, CV staining) or other imaging (light microscopy, SEM) techniques (Ansari et al., 2013; Chatterjee et al., 2014; Li et al., 2015; Salunke et al., 2014). Among the characteristics of the sample surface examined, height and roughness analyses from AFM images allow quantification of biofilm biomass in terms of thickness and EPS amount, respectively (Ansari et al., 2013; Chatterjee et al., 2014; Danin et al., 2015; Li et al., 2015; Mangalappalli-Illathu et al., 2008; Nandakumar et al., 2004; Qin et al., 2009; Sharma et al., 2010).

A thorough understanding of how adhesion and viscoelasticity modulate biofilm establishment may be important for the proper design of control strategies. In fact, viscoelastic properties of biofilms influence antimicrobial penetration and removal of biofilm from surfaces and therefore performs a role in their protection against mechanical and chemical challenges (Peterson et al., 2015). On a macroscopic scale, viscoelasticity can be measured by quantifying the compression of the biofilm under a low load (Korstgens et al., 2001). Lau and coworkers later developed and validated an application of AFM, coined micro-bead force spectroscopy (MBFS) for the absolute and simultaneous quantitation of biofilm adhesion and viscoelasticity at the micrometer scale (Lau et al., 2009). This approach was recently used to demonstrate how amyloid protein production dramatically increases the stiffness of *Pseudomonas* biofilms (Zeng et al., 2015).

Contrary to SEM, AFM can: (i) work under ambient conditions, minimizing pretreatment procedures and occurrence of artifacts even on liquid surfaces (Hannig et al., 2010) enabling *in situ* imaging (Muller et al., 2009); (ii) have the same resolution along and perpendicular to the surface; and (iii) provide 3-D images of the surface topography. Another advantage of AFM is the quantitative assessment of biofilm interaction with surfaces and biofilm cohesion (Ahimou et al., 2007), along with qualitative imaging of EPS or its individual polymer molecules (Beech et al., 2002; Remis et al., 2014).

AFM for the study of biofilms presents, however, some limitations: (i) inability to obtain a large area survey scan (typically maximum  $150 \times 150 \mu\text{m}$ ) and to image the side-walls of bacterial cells; (ii) tip shape and size or interactions between tip and sample causing effects on ambient conditions, especially moisture, artifacts, image degradation, although generally considered negligible (Chatterjee et al., 2014); (iii) damaging of the soft and gelatinous nature of biofilms by the imaging of the surface, especially within a liquid environment; (iv)

the need for immobilization of cells during imaging. Imaging in liquid is particularly challenging as lateral forces lead to detachment of cells unless they are firmly immobilized (Meyer et al., 2010), but a recently developed quantitative imaging mode (QI™ mode) eliminates lateral forces because lateral movement is halted during the approach and retraction of the cantilever in each pixel. Other methods of immobilizing the cells have been described (Kang & Elimelech, 2009; Zeng et al., 2014). Imaging of dry biofilms grown in humid air (unsaturated conditions) is unproblematic in terms of cell detachment, and causes little change in morphology, roughness or adhesion forces when compared with moist biofilms, therefore potentially being a decisive factor in this regard (Auerbach et al., 2000), and assuring a better resolution (Auerbach et al., 2000; Hu et al., 2011). Trying to overcome these downsides, Kim and Boehm developed a high-speed ATM (HSAFM) using a force-feedback scheme for imaging large biological samples at a rate of one frame per second, improving the resolution of topographic signals in both time and space in less invasive ways (Kim & Boehm, 2012).

Summarizing, various microscopy techniques provide valuable and complementary information about different aspects of the biofilm's complex structure. A combined approach is therefore recommended to obtain a more realistic biofilm representation. A direct quantification of biomass is, however, possible by both EM and AFM; although theoretically advantageous by being less biased than indirect methods, the laborious nature of the analysis as well as costs limit its application on a large scale.

### **Biofilm matrix**

Bacteria in biofilms can produce organic extracellular compounds that are released into the bulk phase as soluble and insoluble materials. This material refers to the soluble microbial products (SMP) and concrete organic EPS, respectively (Aquino & Stuckey, 2004, 2008). These fractions originate from substrate metabolism, microbial by-products or/and waste release as well as cellular residual content from both injured and dead cells. SMP are released inside and outside the biofilm while EPS reinforce the biofilm structure with interconnected polymeric structures between the biofilm embedded cells or micro-colonies (Lapidou & Rittmann, 2002). However, the nature and function of SMP and EPS depends on the bacterial species and their response to environmental stresses. In the following section, only methods and technologies to analyze EPS will be discussed.

### **Measurement of EPS components**

EPS are mainly composed of polysaccharides, eDNA and proteins secreted by cells within the biofilm, during its establishment and life (Das et al., 2011; Flemming & Wingender, 2010; Sutherland, 2001). However, the distinction between secreted molecules composing the biofilm matrix and those associated with the cellular membrane is sometimes not very clear. Actually, the secreted polysaccharides and complex proteins make a continuum between the membrane-associated molecules and the biofilm matrix, and their interconnection constitutes the cornerstone of the analysis of biofilm matrix, with EPS extraction and purification from cellular components remaining a challenge. Quantification and characterization of carbohydrates and proteins constituting EPS can be approached by *ex situ* and *in situ* methods.

*Ex situ* analyses are strongly dependent on the extraction methods. EPS extraction protocols are based on physical methods (ultrasound, blending or high speed centrifugation, steaming, heating, cation exchange resin or lyophilization) and/or chemical reagents (ethanol, formaldehyde, formamide, NaOH, EDTA or glutaraldehyde) (Adav & Lee, 2008; Azeredo et al., 1998; Brown & Lester, 1980; Chu et al., 2015; Comte et al., 2006; Gong et al., 2009; Kunacheva & Stuckey, 2014; Pan et al., 2010; Tapia et al., 2009). There is no consensus on the best methodology to be used as it depends on biofilm species composition and EPS complexity. In general, extractions using chemical agents increase EPS yields as compared to extractions using only physical methods. For instance, using centrifugation or ultrasounds resulted in 7.2 and 12.7 mg EPS per g dry biofilm, respectively, while extractions using ethylenediaminetetraacetic acid (EDTA) or EDTA + formaldehyde gave 164.5 and 114.7 mg EPS per g of dry biofilm (Pan et al., 2010). The extraction step usually requires optimization depending on biofilms. Testing a combination of mechanical methods associated to chemical agents in conjugation with assays at different ionic strength and duration of exposure constitutes a prerequisite to ensure extraction of enriched fractions of EPS with limitation of intracellular content contaminations. The more adequate extraction protocol depends also upon the scientific question to be addressed. For instance, the binding of ions by the EPS could be affected by extraction using chemical agents or cation exchange resin as the ion strength could alter metal ion complex formation (Comte et al., 2006). In this case, extraction of EPS using chemical reagents is not recommended. The protein fraction of extracted EPS is usually obtained by trichloroacetic acid (TCA)

precipitation while the carbohydrate fraction is purified and concentrated by ethanol precipitation (Jiao et al., 2010). Then, carbohydrates could be characterized using multiple analytical techniques from hydrolyzed polysaccharides to obtain a carbohydrate fingerprint of biofilm EPS while protein diversity could be explored using proteomics approaches (Gallaher et al., 2006; Lilledahl & Stokke, 2015; Speziale et al., 2014; Zhang et al., 2015b).

*In situ* analyses of biofilm EPS have evolved with the microscopy technologies. As EPS contribute to the 3-D structuration of biofilms, their visualization from 3-D microscopy revealed the general architecture of the biofilm, their distribution within the biofilm and their dynamics during adhesion, growth, maturation, dispersal and hyper-colonization of surfaces. Being noninvasive, CLSM represents the methods of choice for the distribution and *in situ* characterization analyses of EPS (Bhardwaj et al., 2013; Neu & Lawrence, 2014b; Watrous & Dorrestein, 2011). Identification of the EPS carbohydrates could be approached using fluorescence lectin-binding analysis (FLBA) which detects glycoconjugates and their distribution within the biofilm (Figure 10(C), and S1) (Marchal et al., 2011; Neu & Lawrence, 2014b, 2015; Turonova et al., 2016; Zippel & Neu, 2011). Characterization of EPS carbohydrate using FLBA depends on the specificity of the lectins used (Weissbrodt et al., 2013; Zhang et al., 2015a). Conventional fluorescence-labeled probes detected with fluorescence correlation spectroscopy (FCS) for the overall structure of the biofilm could be also employed to assess the viscosity and porosity of the biofilm (Peulen & Wilkinson, 2011). FLBA and fluorescence-labeled probe analyses have to be coupled with CLSM analyses and specific cell fluorescent probes to provide 3-D images of the distribution of the EPS according to cell localization. Other matrix components can also be visualized by CLSM using specific stains, such as fluorescein isothiocyanate (FITC) or SYPRO Ruby staining for proteins (Daniels et al., 2013; Hochbaum et al., 2011), Thioflavin T or antibody-labeling of amyloids (Larsen et al., 2008) or cell-impermeant nucleic acid stains for eDNA (Okshevsky & Meyer, 2014; Wang et al., 2015; Webb et al., 2003). The compound 7-Hydroxy-9H-(1,3-Dichloro-9,9-Dimethylacridin-2-one (DDAO) has been the compound of choice for eDNA staining in many publications, but a recent report systematically compared different eDNA staining techniques and concluded that the dye TOTO-1 provides the most reproducible and sensitive detection of eDNA (Okshevsky & Meyer, 2014). Alternatively, some specific fibrous strands of exopolysaccharides in biofilms could be detected using specific antibodies (Choi et al., 2009;

Cramton et al., 1999; Darby et al., 2002; Gerke et al., 1998; Jarrett et al., 2004). This targeted approach requires a well-described implication of specific proteins constituting the biofilm matrix. Besides the cost of antibody production, this approach could be valuable to detect and, combined with microscopy, localize key components in the biofilm matrix.

More recently, Imaging Mass Spectrometry (IMS) has emerged as a new approach to study components in the biofilm matrix. These imaging tools allow 2-D visualization of the distribution of different components (e.g. metabolites, surface lipids, proteins) directly from biological samples, such as biofilms, without the need for chemical tagging or antibodies (Bhardwaj et al., 2013; Watrous & Dorrestein, 2011).

## Initial steps of biofilm formation

### Methods to assess microbial adhesion

Several *in vitro* systems have been developed for assessing bacterial adhesion under controllable and reproducible conditions which resemble those found in natural environments, with flow chambers and microtiter plates being the most widely used platforms. In microtiter plates, adhesion occurs under static or dynamic conditions during a certain period of time, usually 30 min to 2 h after inoculation. After the adhesion process, the substratum is washed for removal of non-adherent microbial cells and then adhered cells can be enumerated *in situ*. The impact of washing was previously discussed in the Biofilm Formation Devices section, and is also relevant here (Bos et al., 1999). Flow chambers are also used to assess adhesion; though having a lower throughput than microtiter plates, these systems provide an adequate control of mass transport mechanism and do not need to employ the washing step to remove loosely adhered cells. The most widespread flow system to study adhesion is the parallel flow chamber developed by Henk Busscher (Bos et al., 1999), where adhesion to surfaces can be studied in controlled hydrodynamic environments, enabling the assessment of adhesion in real-time conditions and allowing measurements of other experimental parameters, such as the initial adhesion rate or removal rate after passage of an air-liquid interface (Busscher & van der Mei, 2006).

### Quantification of adhered cells

The analysis of adhered cells to surfaces can be easily made using microscopy methods. If the surface is transparent then light microscopy can be used; if the adhesion surface is not transparent, epifluorescence

microscopy becomes the best option, requiring the staining of cells with specific fluorochromes. The advantages and limitations of these techniques have been described above, under Microscopy Methods of the Biofilm Biomass and Viability section.

Some systems have been developed for quantifying initial adhesion under flow conditions. Szlavik and co-workers described a system based on microscope slides with a flow perfusion chamber (Szlavik et al., 2012). The system is mounted on an inverted microscope and the bacterial solution degassed and pumped in at varying velocities resulting in different wall shear stresses. Pictures are taken at time intervals at separate vistas in the laminar flow section. Advantages of the system include: (i) possibility to follow and quantify cell adhesion in real-time; (ii) no need for very expensive equipment; and (iii) no need to transform cells. Drawbacks include sensitivity to bulky material (e.g. fat micelles) and inability to study nontransparent surfaces. For real-time observations on nontransparent surfaces, incandescent dark-field illumination or other microscopic methods have been used (Sjollema et al., 1989). Skovager and coworkers used a flow perfusion system set-up combined with fluorescence microscopy, which could also be used for nonreflecting surfaces (Skovager et al., 2012). Here, the cells need to be stained or transformed with GFP, which represents a disadvantage.

### **Measuring adhesion at a molecular level**

Recently it has become possible to measure adhesive properties on a single-cell level by AFM force spectroscopy, allowing for careful analysis of molecular functions of cell surface structures (Camesano et al., 2007). As described above, the AFM cantilever scans across the sample in the x-y direction during imaging. However, if approaching and retracting the cantilever in the vertical direction, the interaction forces between the tip and the sample can be quantified with pico-newton accuracy (Zlatanova et al., 2001). This method has been used to study the interaction forces of single molecules immobilized on the cantilever (Hinterdorfer & Dufrene, 2006), making it possible to map the distribution and interaction forces of e.g. lectin-polysaccharide interactions on the surface of living cells (Francius et al., 2008), and to quantify cell-cell and cell-surface interactions to study how specific bacterial adhesins contribute to bacterial attachment (Das et al., 2011), or how the properties of the abiotic surface (Camesano et al., 2007) and the surrounding liquid (Pinzon-Arango et al., 2009) affect the attachment.

Quartz crystal microbalance (QCM) is another valuable available apparatus that enables the assessment of

microbial adhesion force. The technique is based on piezoelectricity, and by applying an alternating electric field, the crystal starts to oscillate at its resonant frequency, which is dependent on the total oscillating mass. Adsorption of molecules causes an increase in the total oscillating mass, which can be monitored as a decrease in frequency (Cooper & Singleton, 2007). However, as the frequency shifts are proportional to the attached mass only when the attached mass is thin, evenly distributed, rigid and tightly coupled to the surface, it is difficult to apply this technique to biological samples. Non-rigid binding leads to energy dissipation, which can be recorded simultaneously by QCM with dissipation monitoring (QCM-D) (Rodahl et al., 1995). This technique has been used in a wide range of adhesion studies (Olofsson et al., 2005; Otto et al., 1999; Otto & Silhavy, 2002).

Surface Plasmon Resonance (SPR) is an optical detection process based on the fact that adsorbing molecules to the metal sensor chip surface cause changes in the local index of refraction, changing the resonance conditions of the surface plasmon waves. This technique has been used to study adhesion at a molecular level such as the binding properties of purified adhesins to specific receptors immobilized on the SPR sensor chip to study inhibition of binding (Salminen et al., 2007), or the interaction of molecules coating the sensor on the adhesion of pathogens (Oli et al., 2006).

### **Identification and localization of microorganisms in biofilms**

#### **Fluorescence *in situ* hybridization (FISH)**

Fluorescence *in situ* hybridization was developed for identification of bacteria in the 90s (Amann et al., 1990) and offered the first possibility of phylogenetic identification of bacteria by microscopy. Hence, it opened numerous new research avenues to investigate the spatial organization of mixed microbial communities, such as biofilms, where bacteria with different metabolic processes feed of each other's metabolites in environments with steep chemical gradients at the micrometer spatial scale. In FISH, fluorescently labeled oligonucleotide probes (typically 15–25 nucleotides long) hybridize to ribosomal RNA in cells that have been fixed and permeabilized to allow entry of the probe and incubation under controlled conditions to ensure stringent hybridization of the probe to the target sequence. Challenges of the method were initially: (i) to ensure no or very little hybridization to non-target sequences; (ii) simultaneous application of multiple probes and (iii) detection of inactive bacteria with few ribosomes. Although the

method is still widely used in its original form, several developments have increased its performance and broadened its application. A new group of molecules named nucleic acid mimics or analogs have started to replace DNA as the recognition element of the FISH method. Chemically speaking, these mimics differ from DNA or RNA by modifications at the level of the backbone, both in the phosphate group and in the pentose sugar (Nielsen et al., 1991; Vester & Wengel, 2004). While the introduced modifications bring different properties to mimics, they still obey the Watson–Crick base pairing rules, and are hence capable of forming complementary and sequence-specific double strands of nucleic acids. In FISH, the most well-known of these molecules is the peptide nucleic acid (PNA) (Nielsen et al., 1991). PNA has an uncharged, pseudo peptide-like backbone that has shown to contribute to an increased affinity of PNA towards DNA and RNA than of DNA itself. This means that the probe can be shorter, having advantages at the level of probe penetration through the biofilm matrix and the cellular envelope (Cerqueira et al., 2008). The first reports on the use of PNA-FISH in biofilms date back to the early 2000s (Azevedo et al., 2003) and more recent applications mostly focus on clinical biofilms (Bjarnsholt et al., 2009; Freiberg et al., 2014; Kragh et al., 2014; Malic et al., 2009). Similarly to PNA, locked nucleic acids (LNA) also increases the affinity of a molecule towards DNA or RNA, but the most significant difference lies on the fact that individual residues of the probe can be replaced, rather than the whole probe itself, as for PNA. Therefore, LNA is a much more flexible mimic in terms of probe design, a very helpful characteristic when it comes to mismatch discrimination or multiplex experiments (You et al., 2006). LNA-FISH application in biofilms is still in its infancy, but it may pave the way to the development of direct visualization of biofilms within higher-order animals (fluorescence *in vivo* hybridization) (Fontenete et al., 2015).

Adding to a more specific and robust sequence-specific detection of the RNA, improvements have been also introduced at the level of the detection element of FISH, typically a fluorochrome. These modifications are included both to increase signal intensity, such as catalyzed reporter deposition-FISH (CARD-FISH), and to allow multiple bacteria to be identified at the same time, in what is known as a multiplex experiment. In CARD-FISH, the nucleic acid is covalently linked to the enzyme horseradish peroxidase. After exposure to fluorescently-labeled tyramine molecules, it will produce highly reactive intermediates, which will react with neighboring biomolecules and deposit within the cell (Pernthaler et al., 2002). CARD-FISH has been commonly

used in biofilms, but the large size of the enzyme prevents adequate diffusion of the probe through the biofilm structure and the presence of peroxidases may affect the specificity of the method (Pavlekovic et al., 2009). Other simpler options that increase signal intensity of the probe, while not requiring coupling of a large enzyme, are the replacement of the commonly-used cyanine or fluorescein dyes by more photostable dyes such as those from the Alexa Fluor family (which are more expensive), or the coupling of two fluorescent molecules to a single probe ("Double Labelling of Oligonucleotide Probes for FISH", DOPE-FISH) (Stoecker et al., 2010). Both these strategies can actually also contribute to increase the number of species discriminated in multiplex experiments (up to 4 and 6 species, respectively). In terms of number of discriminated species, the most promising method is certainly combinatorial labeling and spectral imaging FISH (CLASI-FISH) that allows the discrimination of up to 28 species simultaneously (Valm et al., 2011). A summary of the FISH variants, and combinations with other methods, is given in Table 4. Although with many advantages, FISH is also associated with limitations such as: (i) requires sample fixation eliminating the possibility to study a sample over time; (ii) requires extensive preparation steps; (iii) requires a genetically-targeted exogenous marker and, consequently, (iv) knowledge of the target bacteria (Beier et al., 2012).

### **Combination of FISH with other methods to combine identity with metabolic function**

The identification of individual bacterial cells by FISH is often accompanied by the desire to understand the role of these bacteria in a mixed microbial community. However, very limited information is available to make suggestions about metabolic phenotypes based on phylogenetic identification. The motivation for linking identity with metabolic phenotypes *in situ* has driven the development of techniques that combine FISH with methods for marker visualization for uptake of isotope-labeled substrates at single-cell spatial resolutions, see Musat et al. for a recent review (Musat et al., 2012).

The first and most widely used of such combinations was developed in 1999 (Lee et al., 1999) with microautoradiography (FISH/MAR). Incubation with radio-isotope labeled substrates prior to fixation and FISH allows very sensitive detection of radioactivity in cells that have taken up the particular substrate, and the MAR image is then overlaid with the FISH image. In biofilms, this technique has been used, for example, to link the spatial organization of microbial communities and their *in situ* function in complex multispecies nitrifying

**Table 4.** FISH techniques related to biofilm.

Technique	Application	Advantages	Limitations
<b>FISH</b> <i>Recognition element: DNA</i> <i>Detection element: fluorochrome</i>	Phylogenetic identification of bacteria by microscopy Spatial organization of mixed microbial communities	Fast and accurate detection of specific DNA Can be performed even in non-actively dividing cells	Insufficient sensitivity (low number of target molecules in cells) Low probe permeability Poor probe hybridization efficiency Very limited number of different target organisms that can be detected simultaneously
<i>FISH variations with improvement on the recognition element</i>			
<b>PNA-FISH</b> <i>Recognition element: peptide nucleic acids (PNA)</i>	Most recent applications on clinical biofilms	Increased affinity towards DNA and RNA Shorter probes facilitate penetration through the biofilm matrix and the cell envelope Shorter hybridization time	Low flexibility of probe design (whole probe has to be replaced if the affinity is to be changed) Low aqueous solubility
<b>LNA-FISH</b> <i>Recognition element: locked nucleic acids (LNA)</i>	Application in biofilms is still in its infancy	Increased affinity towards DNA and RNA Shorter hybridization time More flexible probe design (replacing of individual residues of the probe instead of the whole probe), which helps in mismatch discrimination or multiplex experiments High aqueous solubility	Negative charges of LNA molecules decrease their penetration through the biofilm matrix when compared to PNA
<i>FISH variations with improvement on the detection element</i>			
<b>CARD-FISH</b> <i>Detection element: horseradish peroxidase enzyme</i>	Environmental microbiology Quantitative evaluation of microbial populations of complex ecosystems (e.g. aquatic habitats with slow growing or starving bacteria)	Increased signal intensity	Large size of the enzyme hinders diffusion of the probe through the biofilm structure Presence of peroxidase may affect specificity More complex protocol Discrimination is still limited to up to six species
<b>DOPE-FISH</b> <i>Detection element: two fluorescent molecules in a single probe</i>	Discrimination of species in multiplex experiments	Increased signal intensity Increased <i>in situ</i> accessibility of target sites without affecting probe specificity when compared to CARD-FISH Allows multicolor imaging	Discrimination is still limited to up to six species
<b>CLASI-FISH</b> <i>Detection element: two or more fluorophores for each type of microorganism</i>	Identification of several microbial taxa in a single microscopic image (multiplexing experiments)	Simultaneous discrimination of at least 28 species Allows multicolor imaging	Potential probe binding bias caused by competition of two differentially labeled oligonucleotide probes for the same target site Lower sensitivity than conventional FISH
<i>FISH in combination with other techniques</i>			
<b>FISH/MAR</b> <i>Microautoradiography</i>	Identification of metabolic activity of bacteria at a single cell level Examination of labeled components in complex microbial systems	Very sensitive detection of radioactivity Minimizes risk of cross-feeding (short incubation time with labeled substrate)	Lack of taxonomic resolution of FISH probes Inability to use many probes simultaneously
<b>FISH-Raman</b> <i>Raman microspectroscopy</i>	Ecophysiological investigations of complex microbial communities at a single-cell resolution	It can be applied at the resolution of single cells in complex communities Quantitative if suitable calibrations are performed Can be used with stable isotopes Heavy water (D <sub>2</sub> O) incorporation allows investigation of substrates that cannot be isotopically labeled	Requires incorporation of the isotope to a level of 10 atom% in the cells (in <sup>13</sup> C-labeling) Relatively expensive
<b>FISH-NanoSIMS</b> <i>Nanometer-scale secondary ion mass spectrometry</i>	Single-cell metabolic analysis of uncultured microbial phylogenetic groups Calculation of cell-specific uptake rates	Imaging at sub-micron resolution while maintaining high mass resolution Highest spatial resolution, sensitivity and quantitative analysis of substrate uptake at the single-cell level in biofilms When used with CARD-FISH omits the need of fluorescence microscopy	Low-sample throughput (5–10 images per day) High measurement costs Limited number of available instruments



biofilms (Okabe et al., 2011) and to evaluate the eco-physiological interaction between nitrifying bacteria and heterotrophic bacteria in autotrophic nitrifying biofilms (Kindaichi et al., 2004).

The Raman spectrum is widely used for bacterial identification in clinical microbiology, and Raman microscopy was initially proposed as a FISH replacement (Patzold et al., 2006). However, it is also suited to detect incorporation of isotope-labeled substrates as these lead to shifts in the spectrum for certain cellular compounds (Huang et al., 2007). Most studies using FISH-Raman investigated the incorporation of  $^{13}\text{C}$ -labeled organic substrates, but recently  $\text{D}_2\text{O}$  incorporation was used as a marker for biosynthesis upon addition of selected unlabeled substrates (Berry et al., 2015). This important development allows investigation of substrates that cannot be labeled with isotopes. Mass spectrometry imaging is a powerful way of retrieving information about the chemical composition of a surface. In Time-of-Flight Secondary Ion Mass Spectrometry (ToF-SIMS) the sample surface is bombarded with ions, resulting in release of atoms from the outer few nanometers of the surface, and their identification based on their mass. In SIMS imaging, high resolution in the mass spectrum comes at the price of lower image spatial resolution. However, development of the NanoSIMS allowed imaging at sub-micron resolution while maintaining high mass resolution. This can be used after FISH to pinpoint which cells took up an isotope-labeled substrate, and isotopic ratios can be used to calculate cell-specific uptake rates (Kuypers & Jorgensen, 2007). Fluorescence microscopy can even be omitted by adding  $^{127}\text{I}$ -labeled oligonucleotide probes or using halogen-containing tyramides for CARD-FISH, allowing detection of *in situ* hybridization directly by SIMS (Behrens et al., 2008; Li et al., 2008; Musat et al., 2008). ToF-SIMS has been used for two-dimensional chemical imaging of hydrated biofilms (Hua et al., 2014, 2015) and to study and compare compositional characteristics of extracted EPS fractions and EPS-matrix of intact diatom biofilms (de Brouwer et al., 2006).

A challenge for interpreting the results of all of these methods is the risk of cross-feeding, i.e. that the isotope-labeled compound is taken up by one cell and converted into something else which is taken up by other members of the microbial community. This effect is minimized in FISH/MAR due to the high sensitivity of the technique, which allows for short incubation time with the labeled substrate. Raman, however, requires incorporation of the isotope to a level of 10 atom% in the cells. NanoSIMS offers both the highest spatial resolution, sensitivity and quantitative analysis of substrate

uptake at the single-cell level in biofilms and other mixed microbial communities.

## Biofilm data analysis – image processing and statistical validation

### *Image processing of biofilm images*

In general, image processing software can be divided into two major categories: (i) programs for making pictures for presentation and (ii) programs for making quantitative measurements of biofilm images. Additional categories include database programs for experiment storage, statistical analysis tools, etc. Some of these are not specifically designed for biofilm research and may have unneeded features or miss features needed for proper biofilm analysis. This section will not attempt to include all programs that can be used for biofilm research but will rather focus on representative examples. What a researcher chooses depend on what he or she wants to do. If the aim is to produce pretty, informative images programs in category (i) above should be used. If, on the other hand quantitative measurements are required, e.g. for making statistical analysis and comparison of biofilm experiments, programs in category (ii) should be selected.

Biofilm images are usually recorded with CLSM. Each manufacturer tends to make a proprietary image file format, making interchange of image data and data processing difficult. Recently, a common data format was devised and the major manufacturers of microscopy hardware currently adopt it, at least as an optional export format. The format, Open Microscopy Environment (OME-Tiff), is now standardized and tools exist to convert most proprietary file formats into this (Linkert et al., 2010; Rueden & Eliceiri, 2007), allowing a wider choice of processing software.

### *Software packages for qualitative presentation of biofilm (confocal) images*

Currently, there are numerous software packages available that will allow processing of confocal image stacks to make 2-D data representations, or virtual 3-D representations such as animations. Probably the most used software package currently in the biofilm community is Imaris<sup>®</sup> by Swiss company Bitplane AG (<http://www.bitplane.ch>). This commercial package was designed for taking confocal images and generates pseudo-3-D images with shadow projection, iso-surface presentation, cross-sections and 3-D animations (Figure 10(B), and S1). However, it is rather costly which is why some research groups prefer to use alternative public domain

software packages. Among these, is the popular image processing software ImageJ (Abràmoff et al., 2004), an open system to which independent software developers can make plugins for particular purposes. Currently, more than 325 macros and 500 plugins are available at the ImageJ website (Schneider et al., 2012). Several of these deal with 3-D image processing and CLSM, as the free McMaster Biophotonics Facility (MBF) plugin collection, which can do most of what Imaris can although requiring more effort from the user. Another very popular free software (for noncommercial purposes) is the daime image processing package (Daims et al., 2006), which can do both visualization of image data and some analytical processing. Contrary to the ImageJ plugins, this package was created with biofilm images in mind and can do both their visualization and analysis.

### **Software packages for quantitative analysis of biofilm (confocal) images**

To compare biofilm images, a quantitative measurement of the images, preferentially followed by statistical analysis, can remove the unintentional possibly biased interpretation of the researcher (Kuhn, 1970). Various objective parameters can be used to quantitatively describe biofilms, ranging from the obvious like biomass, biofilm (maximum) height to subtler ones like roughness coefficient and fractal dimension. Common to all is that raw data is the confocal microscopy stack images, a range of individual images (slices) recorded from focal planes in specified positions. Each slice can be represented by one image if only one color is recorded, or several images, one for each channel, if more fluorescence colors are used. The biofilm is represented by pixels having a fluorescence signal above a certain value, the threshold. All gray values below the threshold are regarded as noise.

Several analysis packages are available, each with advantages and drawbacks. One of the most widely used is the Comstat package, originally made as a MATLAB<sup>®</sup> script (Heydorn et al., 2000b) and later rewritten as a plugin (Comstat2) to ImageJ (Vorregaard, 2008). Comstat2 is freely available from <http://www.comstat.dk>. Similar packages are the MATLAB script PHLIP (Mueller et al., 2006) and ISA3D which is a compiled MATLAB script (Beyenal et al., 2004). Daime is the fourth alternative (Daims et al., 2006), designed for presentation of the data and not dedicated to but capable of extracting quantitative data. It is also possible to use more general purpose software, e.g. ImageJ (Abràmoff et al., 2004) with plugins, ImagePro Plus (MediaCybernetics Inc., Rockville, MD), Imaris (Bitplane AG) and Volocity (Perkin Elmer Corp., Waltham, MA).

Quantitation programs have some typical inherent problems. The major problem with all quantitation programs is the determination of the threshold value. Ideally, the determination of what parts of an image is biomass or not should be individual per stack slice and within a slice. A technique called segmentation allows for such higher fidelity of determining the extent of a biofilm (Zielinski et al., 2011). However, since this is technically complicated most programs use different, simpler approaches for determining the threshold. Several of the newer packages offer automatic thresholding which can reduce the workload of the experimenter and remove the operator induced bias, but give different results with different implementations (Lewandowski & Beyenal, 2014). However, unless special conditions apply, most advanced automatic thresholding mechanisms give comparable and acceptable results (Lewandowski & Beyenal, 2014; Zielinski et al., 2011).

Due to the nature of biofilms experiments, a rather large variation of results is typical. Therefore, all quantitation by software should be accompanied by statistical analysis to validate the results (Heydorn et al., 2000a, 2002).

### **Minimum information about a biofilm experiment (MIABiE) initiative**

Biofilms is becoming a data-intensive research field and that demands novel data management and analysis methodologies to enable critical review and independent validation. To this end, the Minimum Information About a Biofilm Experiment (MIABiE) initiative (<http://www.miabie.org>), encompassing an international body of biofilm experts, is working on the definition of guidelines to document biofilms experiments, the standardization of the nomenclature in use and the development of community-oriented computational resources and tools (Lourenço et al., 2014).

Comparison of raw data and unequivocal characterization of experimental methods are essential to evaluate the possible cause(s) of nonconformity across laboratories. It may help to differentiate between procedural discrepancies and natural-occurring biological variation and thus, ensure the reproducibility and ruggedness of the results. So, this section presents databases, controlled vocabularies and software tools, most of which are under the umbrella of MIABiE.

### **Harmonized vocabulary for data interchange**

The MIABiE consortium has prepared a set of guidelines for documenting biofilms experiments and data, namely the minimum information that should be reported to

guarantee the interpretability and independent verification of experimental results, and their integration with knowledge coming from other fields (Lourenço et al., 2014). This practical and semantically structured vocabulary defines the fundamental concepts about experiment design, biofilm recovery, biofilm formation and biofilm characterization (Sousa et al., 2014). It is being used by biofilms-centered databases, such as BioOmics and Morphocol (<http://morphocol.org>), and bioinformatics tools, such as the Biofilms Experiment Workbench (BEW) (see below).

## Databases

The implementation of publicly accessible databases is vital to disseminate results and promote data interchange. BioOmics (<http://biofomics.org>) stands as the first database providing public Web access to biofilms experiments (Lourenço et al., 2012). Experiments are indexed by organism, method of analysis and tested conditions.

Complementary, two recent resources tackle anti-biofilm research, in particular the study of antimicrobial peptides against biofilms. The Biofilm-active antimicrobial peptides (BaAMPS) provides useful physicochemical data on peptides specifically tested against microbial biofilms (<http://www.baamps.it/>) (Di Luca et al., 2015). In turn, the Antimicrobial Combination Network represents synergistic and antagonistic effects of peptide–drug combinations (<http://sing.ei.uvigo.es/antimicrobialCombination>) (Jorge et al., 2016).

## Computerized data operation and analysis

BEW is the first software tool dedicated to biofilms data operation and analysis (Perez-Rodriguez et al., 2015). It supports structured and standardized documentation of experiments, statistical assessment of various analytical results, on-demand and Web-publishable experiment reporting, experiment publication/retrieval in/from public databases, and comparison of results between laboratories. A novel general-purpose data representation format, the Biofilms Markup Language (BML), has been formalized for effectively promoting data interchange across resources and software tools. BEW is publicly and freely available at <http://sing.ei.uvigo.es/bew>.

## Biofilm-omics

All the above has been aimed at describing either handling (cultivation) and basic observation, or analysis of

biofilms. However, due to the biofilm complexity it is necessary to take a birds-eye perspective and use a holistic approach to describe biofilms, and for that -omics analyses can be valuable. This section aims at describing the omics approach to analyze and describe biofilms as the complex structures as they are (Azevedo et al., 2009).

To study global changes at gene-, RNA-, protein- and metabolic levels, different -omic approaches allow characterizing bacterial cell behavior in biofilms, namely genomics, proteomics, transcriptomics and metabolomics. Omics profiling has revealed physiological differences occurring in the course of sessile development in response to interactions with its surroundings (An & Parsek, 2007), whether symbiotic relationships, environmental conditions or surfaces (Chagnot et al., 2013).

A bacterial cell regulates its physiology at different levels (genetic, transcriptional, post-transcriptional, translational and post-translational) but the most immediate and primary regulation level is the enzymatic activity. This point is the cornerstone for correct interpretations of -omic data. While it is well-documented that regulators control the expression of several genes, and can thus affect the overall physiology of the cell (Brul et al., 2002), investigating the physiological response at transcriptomic levels simply provides information about the pool of the whole transcripts at a steady-state at a given time (Vogel & Marcotte, 2012). The different levels of transcript expression presume neither the level of proteins nor the different levels of metabolic fluxes in the cell. Similarly investigating the global protein expression in the cells by proteomics does not provide indication of the specific enzyme activity or metabolic fluxes in different pathways in the conditions investigated. Thus, the different omics are self-complementary to investigate cell physiology in the course of biofilm formation but are not designed to validate their data one with the other (Burgess et al., 2014).

## The use of -omics in biofilm research

Despite major advances in biofilm research, the underlying mechanisms controlling the response of attached or immobilized cells as compared to that of planktonic ones are not fully elucidated, except for a few studies, which have focused on differential expression of proteins in sessile and suspended cells (De Angelis et al., 2015; Planchon et al., 2009). Detailed insight into the global transcriptomic or proteomic properties of biofilms may also enable the identification of proper genetic or proteome markers with relevant functions within the biofilm (Bansal et al., 2007; Doulgeraki et al., 2014; Stipetic et al., 2016). Such information may be of high

relevance for detection of potential persistent strains or for the source attribution of pathogens via tracing of the contamination route, during an outbreak investigation. Transcriptomic studies are also important to understand regulatory pathways in foodborne pathogens and thus understand biofilm physiology (Luo et al., 2013).

### **Analyzing the -omics data**

One of the most critical issues with -omic approaches applied to biofilm is that data result from a whole cell population harvesting. However, a biofilm is, by definition, heterogeneous (Stewart & Franklin, 2008); depending on the localization within the biofilm and the stage of biofilm development, the physiology of bacteria cells can significantly differ. Consequently, -omic profiling corresponds to the average result for an entire but potentially diverse biofilm population. By averaging heterogeneity, any unique pattern for an underrepresented subpopulation can be overlooked or it can also bias the average profiling. Therefore, physiological or genetic characteristics observed from -omic profiling must be interpreted with caution, bearing in mind results are potentially skewed averages. Dynamic growth in flow-cell chambers can circumvent part of the problem by offering more reliable sessile growth conditions than static batch biofilm development. By isolating sub-localized cell populations within a biofilm, laser capture microdissection microscopy is a promising approach that can permit comparing the physiology of cells relative to their spatial distribution within the biofilm (Lenz et al., 2008; Perez-Osorio et al., 2010), e.g. cells in contact with substratum versus cells at the outskirts of the biofilm. However, it appears that the implementation of this technique to sort cells and carry out targeted omics approaches remains complicated and has not, to date, been successful and demonstrated its relevance. For proteomics studies especially, a selective approach targeting a subpopulation would increase the sensitivity and relevance of analyses, in which the global pool of proteins from all the other subpopulations would not mask changes in the protein expression. Besides the problem of heterogeneity of microbial biofilms, one of the main challenges of targeted approaches remains the minimal quantity of biomass to undertake molecular analyses. The development in recent years of new proteomics workflows based on high-resolution mass spectrometry directly coupled to high performance liquid chromatography is a powerful tool for separating and analyzing complex protein mixtures. These technical approaches, called shotgun proteomics, require much lower amounts of protein than

conventional 2-D electrophoresis approaches, and allow to analyze more exhaustively proteomes or sub-proteomes and to perform label-free semi-quantitative comparison.

Another problem relates to the reference to compare the data. Quite often, planktonic cells are used as the control; still remains the question of the most suitable growth phase that could fit the best with the biofilm development stage under consideration. While the use of cells from different growth phases can be argued, none can exactly match any of the biofilm stages; sessile development stages and planktonic growth phases are by definition completely different and any correlation attempt can only be misleading. An important concept to better explore would be to compare biofilms grown under different conditions, for instance after exposure to a disinfectant. In such experiment, the bias of bacterial metabolism would be removed and the omics data obtained would provide insights into how the biofilm reacted to a certain stimuli. Such approach has been used in the medical fields, to better assess how intact biofilms survive to external stimuli (Franca et al., 2014; Scherr et al., 2013). Of course, physiological investigations comparing a wild type strain with an isogenic mutant remain the best and less dubious experimental approach but this is not always applicable when investigating the effect of different environmental conditions on cell physiology.

Furthermore, the presence of the biofilm matrix may present some technical limitations. One of the key aspects for transcriptomic analysis is related with the yield and quality of the mRNA transcripts, and care should be taken when working with low magnitude changes as the differences obtained may be a result of matrix contamination (Carvalho et al., 2013). For proteomic analysis, the biofilm matrix can also be a significant barrier to effectively access the different intracellular, membrane and parietal sub-proteomes (surfaceome) (Desvaux et al., 2009). Furthermore, analysis of the exoproteome, i.e. proteins secreted into the extracellular medium (Desvaux et al., 2009), is also challenging. Indeed, in a submerged biofilm, the culture medium contains planktonic cells whose secreted proteins contaminate those specifically secreted by sessile cells. Some authors circumvent this difficulty by recovering the medium in a flow-cell device or by renewing the culture medium in a static device, thus eliminating the population of planktonic bacteria, just a few hours before recovering the medium containing secreted proteins from sessile cells (Lourenço et al., 2013).

While a more comprehensive picture of the bacterial cell physiology in biofilms is being gained by combining transcriptional and proteomic profiling, as well as

metabolomics, contribution of genomic profiling, e.g. disparity in the genome sequences in the course of biofilm formation or epigenetic regulation due to DNA methylation (methylome) (Sanchez-Romero et al., 2015) to the understanding of phenotypic diversification in the course of sessile development has not attracted so much interest so far. Rather than the illusory goal of correlating data, comparison of the transcriptomic and proteomic analyses allows pinpointing other regulatory mechanisms governing biofilm development especially at post-transcriptional and post-translational levels. Analysis of the genomic diversity in biofilms could further allow identifying at a global scale phase-variation mechanisms, a key but overlooked aspect of epigenetic regulation in bacteria (Henderson et al., 1999), which play an essential role in sessile development (Chauhan et al., 2013). At a larger scale, especially to investigate complex multi-species biofilms, the development of meta-omics is the promise of major breakthrough ahead in our understanding of bacterial interaction and physiology within highly diverse microbial communities (Dugat-Bony et al., 2015).

### Concluding remarks

Much has happened since the revelation by Bill Costerton that biofilms are far more relevant to study than planktonic bacteria. The physiology of single cells and the interactions inside a biofilm have been analyzed at increasingly higher level of detail facilitated by the development of new and better hardware tools, such as microfluidics and high resolution microscopy. The molecular tools are also becoming far more refined and accessible than before, allowing physiological dissection of small, distinct entities within the complex biofilm structures. The development of -omics technologies will enable us to better understand biofilm development and evolution. New technologies, such as Raman spectroscopy, Imaging mass-spectroscopy and Maldi-TOF analysis are being applied for the chemical analysis of single cells and colonies (Harz et al., 2005; Stingu et al., 2008; Watrous & Dorrestein, 2011), and the biofilm research will no doubt benefit from this (Bleich et al., 2015). One problem has still not been solved in a satisfactory manner: the physical dissection and isolation of single cells and matrix components from living biofilms. It is possible to disrupt biofilms by e.g. sonication in order to retrieve single cells but this destroys the spatial relation of the cells. Techniques such as laser dissection may prove useful to cut out functionally distinct niches from a living biofilm, but as of now the resolution is not sufficient for microbial work, and just getting access to the relevant areas of the living biofilm

is difficult if not impossible. This challenge should be solved in the coming years in order to further understand the physiological anatomy of the microbial biofilms and to elucidate their network of communication and other interactions.

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